The Federal Bureaucracy
I. Why Bureaucracy?II. The Development of Bureaucracy in America
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## Why Bureaucracy?

* "Bureaucrat" a pejorative term in US
* Yet want government to protect the environment, ensure auto safety, keep terrorist off our planes, etc.
* To do so need to form bureaucracies Max Weber's Principles of Bureaucracy... Hierarchical, division of labor, impersonal, following defined/abstract rules, striving for specified goals
* As government expands, bureaucracy expands
* So American's have a "love-hate" relationship with bureaucracy


## Development of Bureaucracy in America

* Spoils Systeme winning party appointed supporters to government positions

Assassination of James Garfield (1881)

* Penalleton Act (1883): Civil Service Reform (Merit System)
* Hatch Act (1939): Prohibition on Political Activities
- Revised in 1993; now more "no politics while on duty"


## Figure 8-1 Changes in Federal Civilian Employment

Number of federal civilian employees $(1,000$ s)
4,500


Sources: Harold W. Stanley and Richard G. Neimi, Vital Statistics on American Politics, 1999-2000 (Washington, D.C.: CQ Press, 2000), 259-260; data for 1999-2004 from U.S. Office of Personnel Management, Federal Civilian Workforce Statistics, Employment and Trends, bimonthly release.

## Organization of the Federal Bureaucracy

* Only about 15 percent in Washington area.
* More than 25 percent of the civilian employees work for military; another 30 percent work for the Postal Service.
* Less than 10 percent work for welfare agencies.
* Diverse group more demographically representative of the nation than politicians.
* Most are white-collar workers: secretaries, clerks, lawyers, inspectors, and... ENGINEERS!!!!


## Organization of the Federal Bureaucracy

* Cabinet Departments (e.g., State, Commerce, Education)
* Independent Agencies (c.g., NASA, CLA, EPA)
* Independent Regulatory Commissions (e.g., Federal Reserve, SEC, FCC, FTC)
* Government Corporations (e.go, US Postal Service, Corporation for Public Broadcasting, TVA, FDIC)


## Size of the Bureaucracy

## TABLE 8-3

## Cabinet Department Staff and Budget

| Department | Personnel <br> (thousands) | Budget <br> (billion dollars) |
| :--- | :---: | :---: |
| Agriculture | 109.8 | 81.8 |
| Commerce | 36.0 | 6.2 |
| Defense | 700.0 | 428.9 |
| Education | 4.5 | 64.3 |
| Energy | 16.1 | 23.3 |
| Health and Human |  |  |
| $\quad$ Services | 67.0 | 574.7 |
| Homeland Security | 183.0 | 31.0 |
| Housing and Urban |  |  |
| $\quad$ Development | 10.6 | 38.9 |
| Interior | 71.4 | 8.9 |
| Justice | 112.6 | 20.7 |
| Labor | 17.3 | 57.0 |
| State | 30.3 | 28.0 |
| Transportation | 58.6 | 59.0 |
| Treasury | 115.9 | 403.8 |
| Veterans Affairs | 218.3 | 67.3 |

Source: U.S. Office of Management and Budget, Budget of the United States Government Fiscal Year 2005, at http://whitehouse.gov/omb/budget/fy2005.

## Who Controls the Bureaucracy?

* Congress

Budget
Oversight

- President

Budget (Office of Management and Budget)

- Appointments (with Senate)
* Courts
- Administrative law courts


## Bureaucratic Autonomy

## * Agency Control

Regulation and Administrative Discretion

* Agency Interests

Bureaucratic Culture and Bureaucratic Capture

- Political Alliances
- Iron Triangles and Issue Networks

Bureaucrats are not just referees, but active players in the political game!

## Bureaucracy and the Size of Government

Q: Can the size of the bureaucracy be controlled?

## In many respects... $\mathbf{N O !}$

* Shift to State and Local Government
- Federal Spending Largely Uncontrollable
* Federal Spending has Not Increased Much Relative to Overall Economy


## "Iron Triangles"

Public and other interests largely blocked out....


## Growth of State \& Local Bureaucracy

## Figure 3-4 The Postwar Growth of Government Occurred at the Local Level

Number of public civilian employees (millions)


Sources: 1949, 1952, 1954, 1959, 1964, 1969-1988: U.S. Advisory Commission on Intergovernmental Relations, Significant Features of Fiscal Federalism, 1990, vol. 2 (Washington, D.C.: U.S. Advisory Commission on Intergovernmental Relations, 1990), 177; 1989-1992: 1994, 151; other years: U.S. Bureau of the Census, Historical Statistics of the United States, Series Y189-198 (Washington, D.C.: U.S. Government Printing Office, 1975), 1100; Bureau of the Census, Statistical Abstract of the United States: 2000 (Washington, D.C.: Government Printing Office, 2000), Tables 524 and 525; Statistical Abstract of the United States: 2004 (Washington, D.C.: Government Printing Office, 2004), Table 453

## Discretionary and Non-Discretionary Federal Spending, 2008



OMB, Budget of the United States Government, Fiscal Year 2008

## Federal Spending as a percentage of GDP



Source: Census Bureau, Statistical Abstract of the United States

## American Government Exam \#1



