

Class 21

DP FOR INTEGER KNAPSACK
MEMOIZATION

Knapsack Complexity

n items; weights w_i ; values v_i ; capacity W



Dynamic Programming for Knapsack

Let $F(i, j)$ be the value of an optimal solution for the first i items that fit into a knapsack of capacity j .

This solution may not include item i .

In this case, the solution reduces to $F(i - 1, j)$

If item i is included in the solution then the solution consists of any subset that has capacity $j - w_i \geq 0$.

The value of that subset is $v_i + F(i - 1, j - w_i)$

Dynamic Programming for Knapsack

We get:

$$F(i, j) = \begin{cases} \max\{F(i - 1, j), v_i + F(i - 1, j - w_i)\} & \text{if } j - w_i \geq 0, \\ F(i - 1, j) & \text{if } j - w_i < 0. \end{cases}$$

It is convenient to define the initial conditions as:

$$F(0, j) = 0 \text{ for } j \geq 0 \quad \text{and} \quad F(i, 0) = 0 \text{ for } i \geq 0.$$

Dynamic Programming for Knapsack

Graphically speaking, we get:

		0	$j-w_i$	j	W
	0	0	0	0	0
	$i-1$	0	$F(i-1, j-w_i)$	$F(i-1, j)$	
w_i, v_i	i	0		$F(i, j)$	
	n	0			goal

Dynamic Programming for Knapsack

Consider the following problem:

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

capacity $W = 5$.

DP for Knapsack

item	weight	value
1	2	\$12
2	1	\$10
3	3	\$20
4	2	\$15

capacity $W = 5$.

We will solve it as follows:

		capacity j					
		0	1	2	3	4	5
	0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	10	12	22	22	22
$w_3 = 3, v_3 = 20$	3	0	10	12	22	30	32
$w_4 = 2, v_4 = 15$	4	0	10	15	25	30	37

DP with Memory for Knapsack

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ALGORITHM MFKnapsack( $i, j$ )
//Implements the memory function method for the knapsack problem
//Input: A nonnegative integer  $i$  indicating the number of the first
//       items being considered and a nonnegative integer  $j$  indicating
//       the knapsack capacity
//Output: The value of an optimal feasible subset of the first  $i$  items
//Note: Uses as global variables input arrays Weights[1.. $n$ ], Values[1.. $n$ ],
//and table  $F[0..n, 0..W]$  whose entries are initialized with  $-1$ 's except for
//row 0 and column 0 initialized with 0's
if  $F[i, j] < 0$ 
    if  $j < \text{Weights}[i]$ 
         $value \leftarrow \text{MFKnapsack}(i - 1, j)$ 
    else
         $value \leftarrow \max(\text{MFKnapsack}(i - 1, j),$ 
             $\text{Values}[i] + \text{MFKnapsack}(i - 1, j - \text{Weights}[i]))$ 
     $F[i, j] \leftarrow value$ 
return  $F[i, j]$ 

```

DP with Memory for Knapsack

```

if  $F[i, j] < 0$ 
  if  $j < \text{Weights}[i]$ 
     $value \leftarrow \text{MFKnapsack}(i - 1, j)$ 
  else
     $value \leftarrow \max(\text{MFKnapsack}(i - 1, j),$ 
       $\text{Values}[i] + \text{MFKnapsack}(i - 1, j - \text{Weights}[i]))$ 
   $F[i, j] \leftarrow value$ 
return  $F[i, j]$ 

```

		capacity j						
		i	0	1	2	3	4	5
		0	0	0	0	0	0	0
$w_1 = 2, v_1 = 12$	1	0	0	12	12	12	12	12
$w_2 = 1, v_2 = 10$	2	0	—	12	22	—	22	22
$w_3 = 3, v_3 = 20$	3	0	—	—	22	—	32	32
$w_4 = 2, v_4 = 15$	4	0	—	—	—	—	37	37

DP for Knapsack Analysis

Table has nW entries

To determine each entry, 2 options. 1 subproblem for each.

Efficiency: $\Theta(nW)$

Can improve slightly if we work top-down recursively, and only compute table values once (we say the function computations are **memoized**).

Will only compute table entries necessary to solve the overall problem

- Faster, but in most cases, stays $\Theta(nW)$
- If $\text{gcd}(w_1, \dots, w_n) = k$, then becomes $\Theta(nW/k)$