

# Reference counting GC

An incremental approach

# RCGC Defined

- Each object has associated count of references to it
  - Object's reference count
- When reference to object created
  - Pointer points from one place to another
    - assignment
  - RC of *pointee* is incremented
- When reference to object is eliminated
  - RC of *object-pointed-from* is decremented
- When RC of object equals zero
  - Object is reclaimed

# Reference counting

- Requires space overhead to store reference count
  - Where is this field stored?
  - Is it visible at the language level?
- Requires time overhead to increment/decrement RCs
  - RCs maintained in real-time
  - RCGC is incremental
- UNIX file system uses reference counting for files and directories

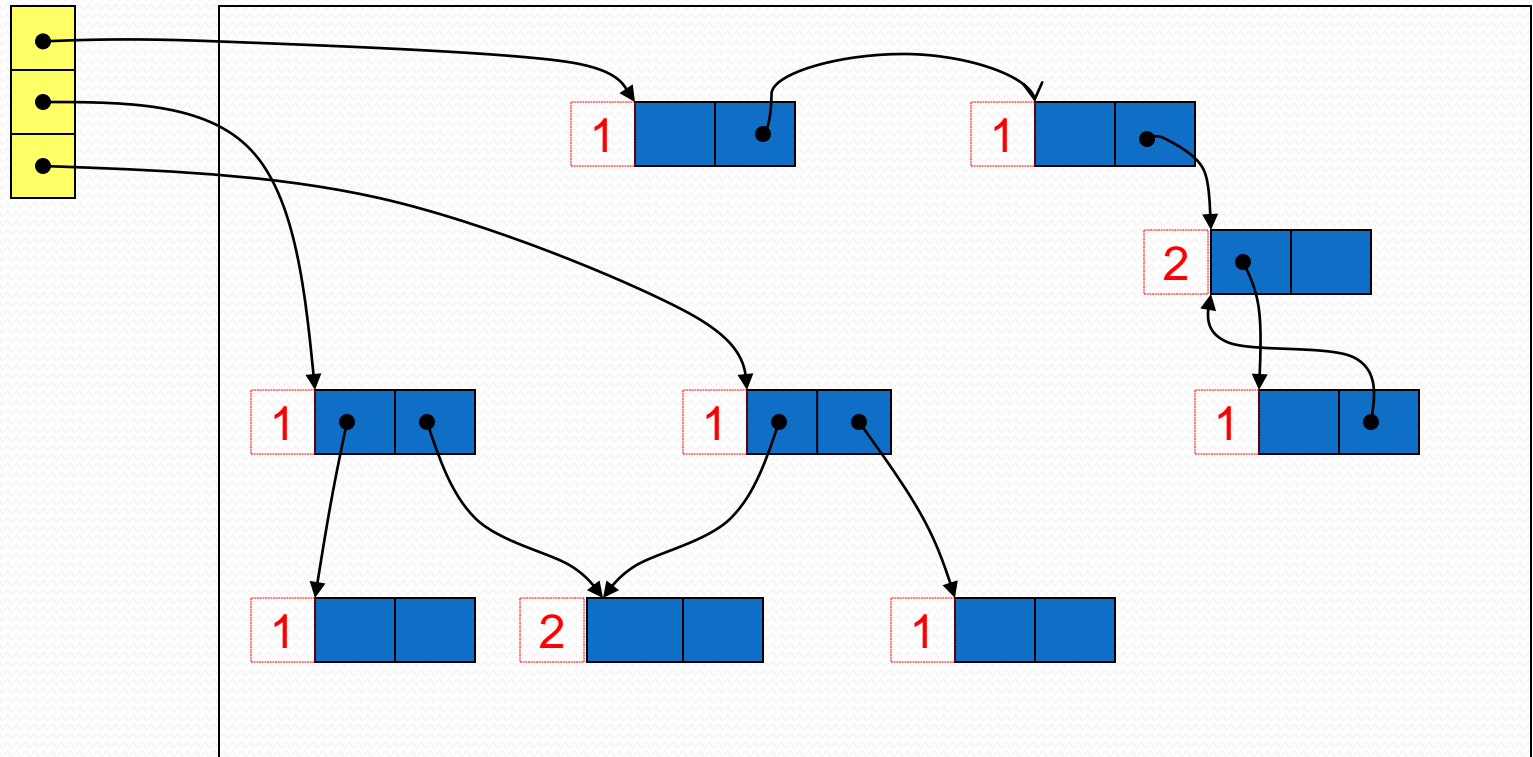
# Reclaiming objects with RCGC

- When an object is reclaimed
  - Its pointer fields are examined
  - RC of any object it hold pointers to is decremented
    - Why?
- Reclaiming one object may
  - Lead to the transitive decrementing of RCs
  - Lead to reclaiming of other objects
    - How?

# Reference counting example

Root set

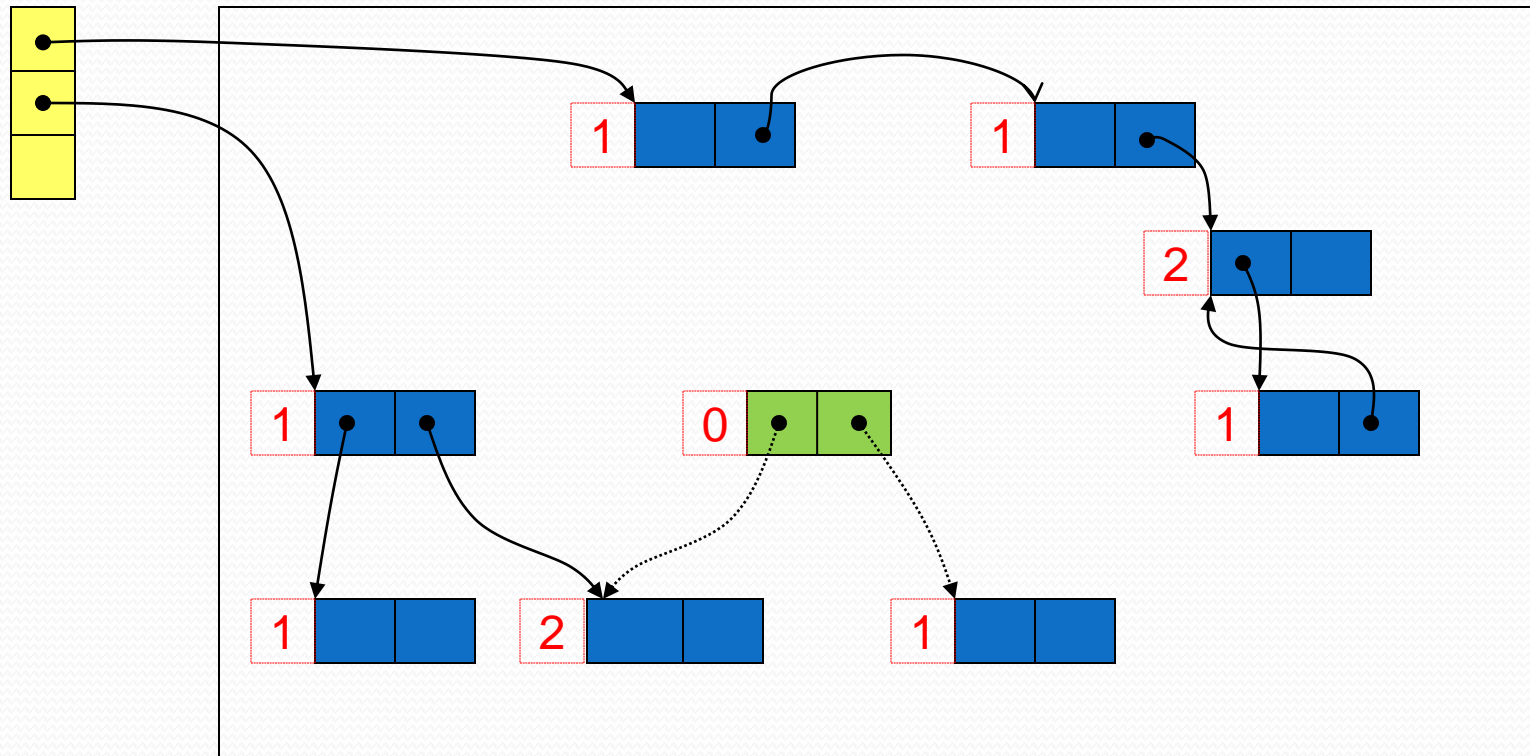
Heap space



# Reference counting example

Root set

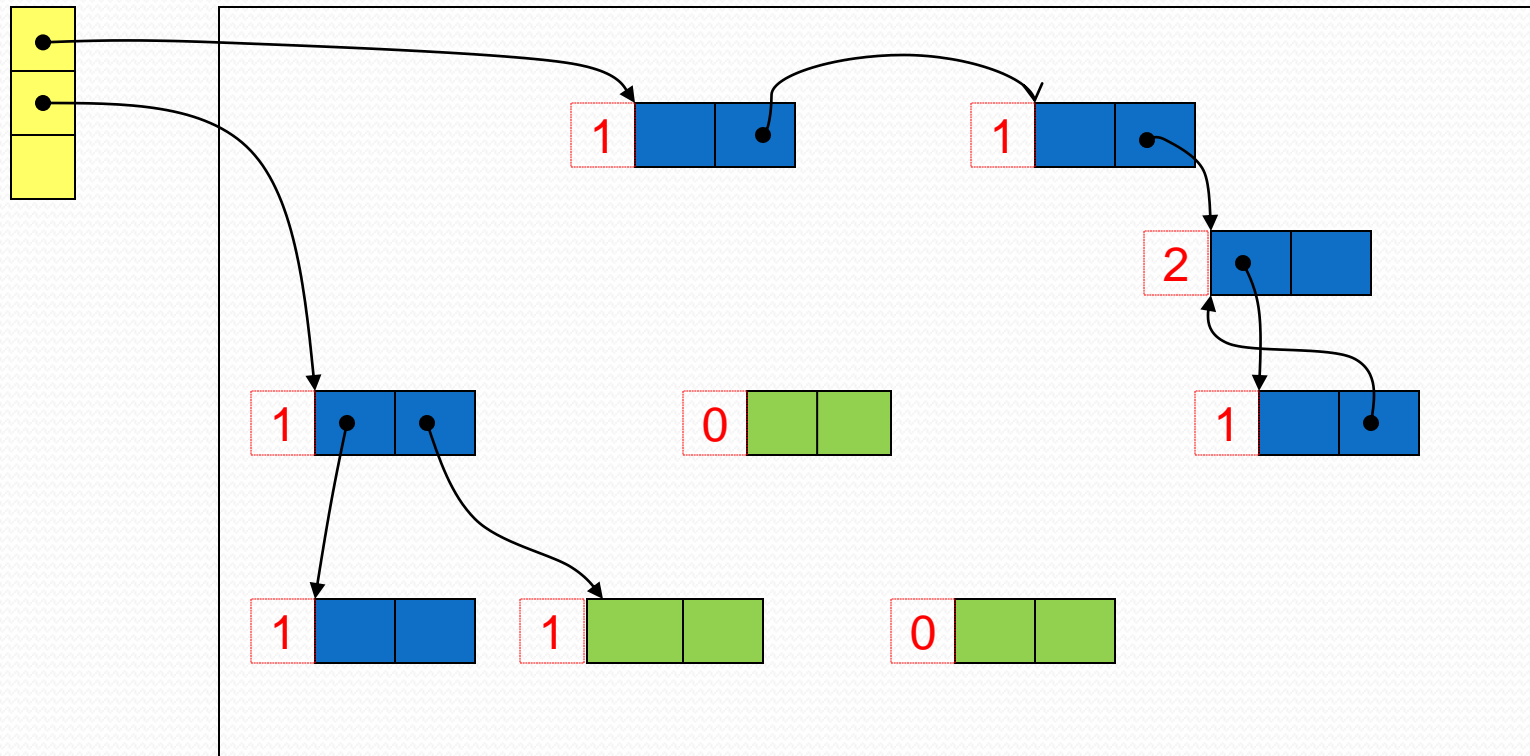
Heap space



# Reference counting example

Root set

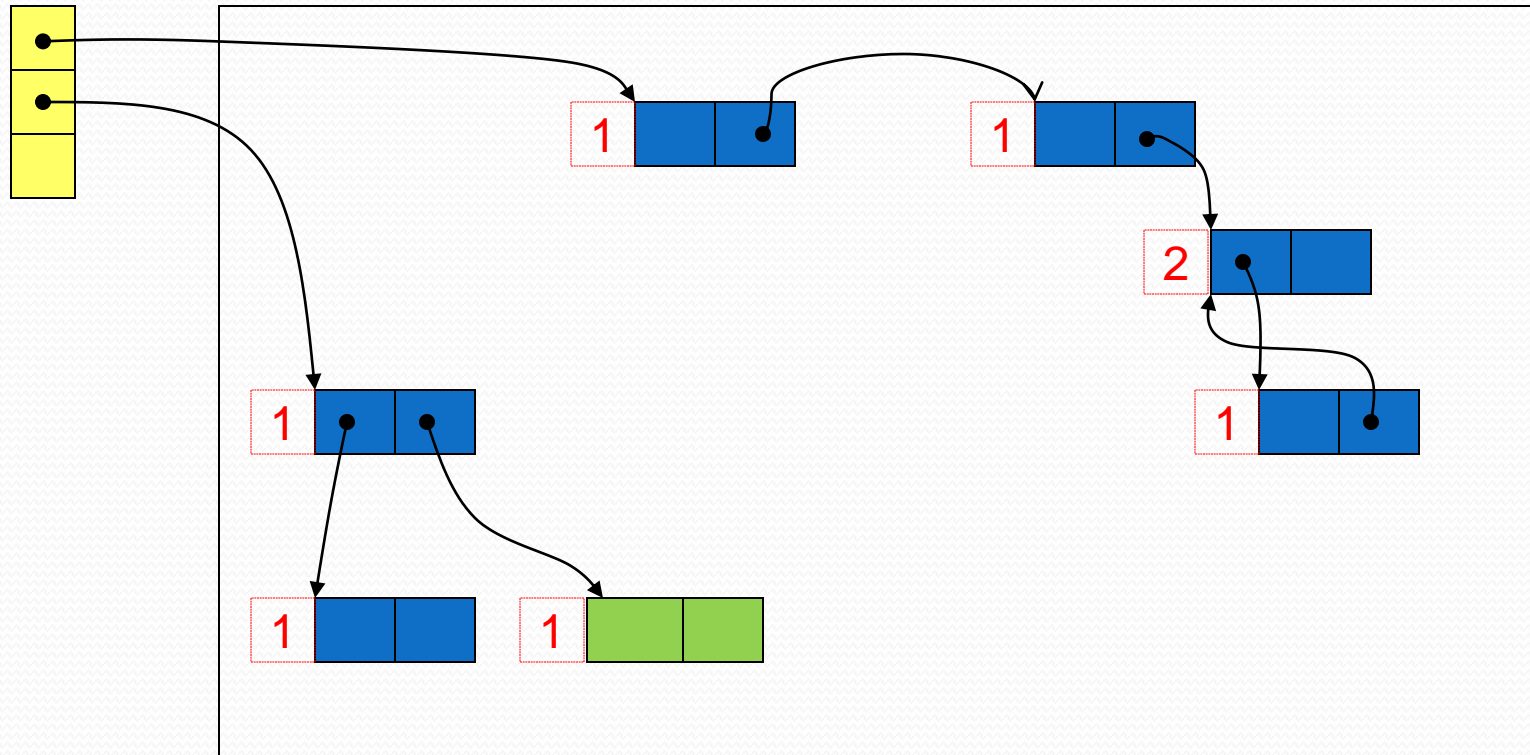
Heap space



# Reference counting example

Root set

Heap space





# RCGC strengths

- Incremental nature of operation
  - Updating RCs interleaved with program execution
  - Can easily be made completely real-time
    - Transitive reclamation of large data structures can be deferred
    - Keep list of freed objects whose RCs have not been processed
  - Good for interactive applications (good response time)
- Easy to implement
- Can reuse freed storage immediately
- Good spatial locality
  - Access pattern to virtual memory no worse than application

# Reference counting weaknesses

- RC takes up space
  - A whole machine word
    - Ability to represent any # of pointers the system can accommodate
- RC consumes time
  - Updating pointer to point to a new object
    - Check to see that it is not a reference to self
    - Decrement RC of old *pointee*, possibly deleting it
    - Update pointer with address of new *pointee*
    - Increment RC of new *pointee*

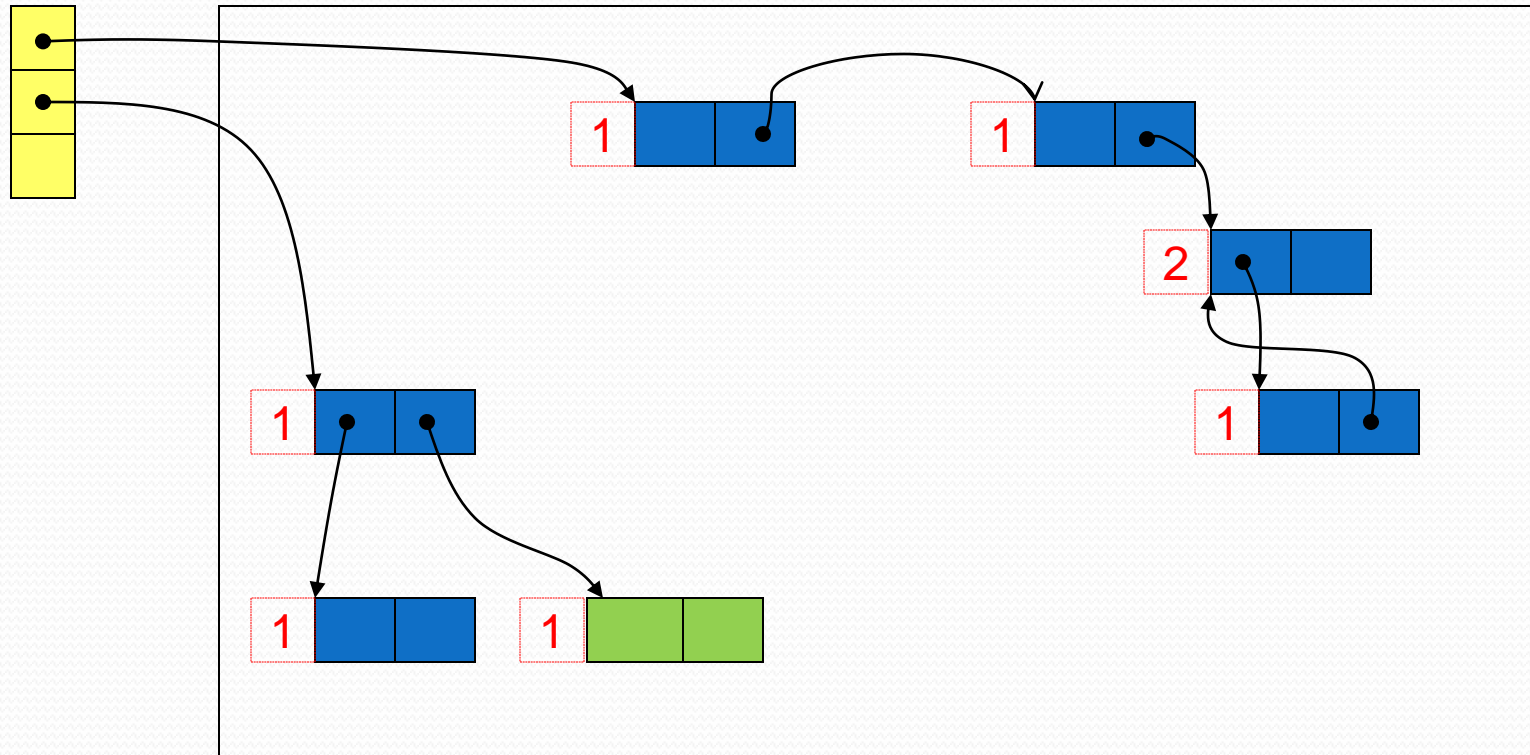
# Reference count weaknesses

- One missed RC update can result in dangling pointers or memory leak
- Cannot reclaim circular structures

# Reference counting example

Root set

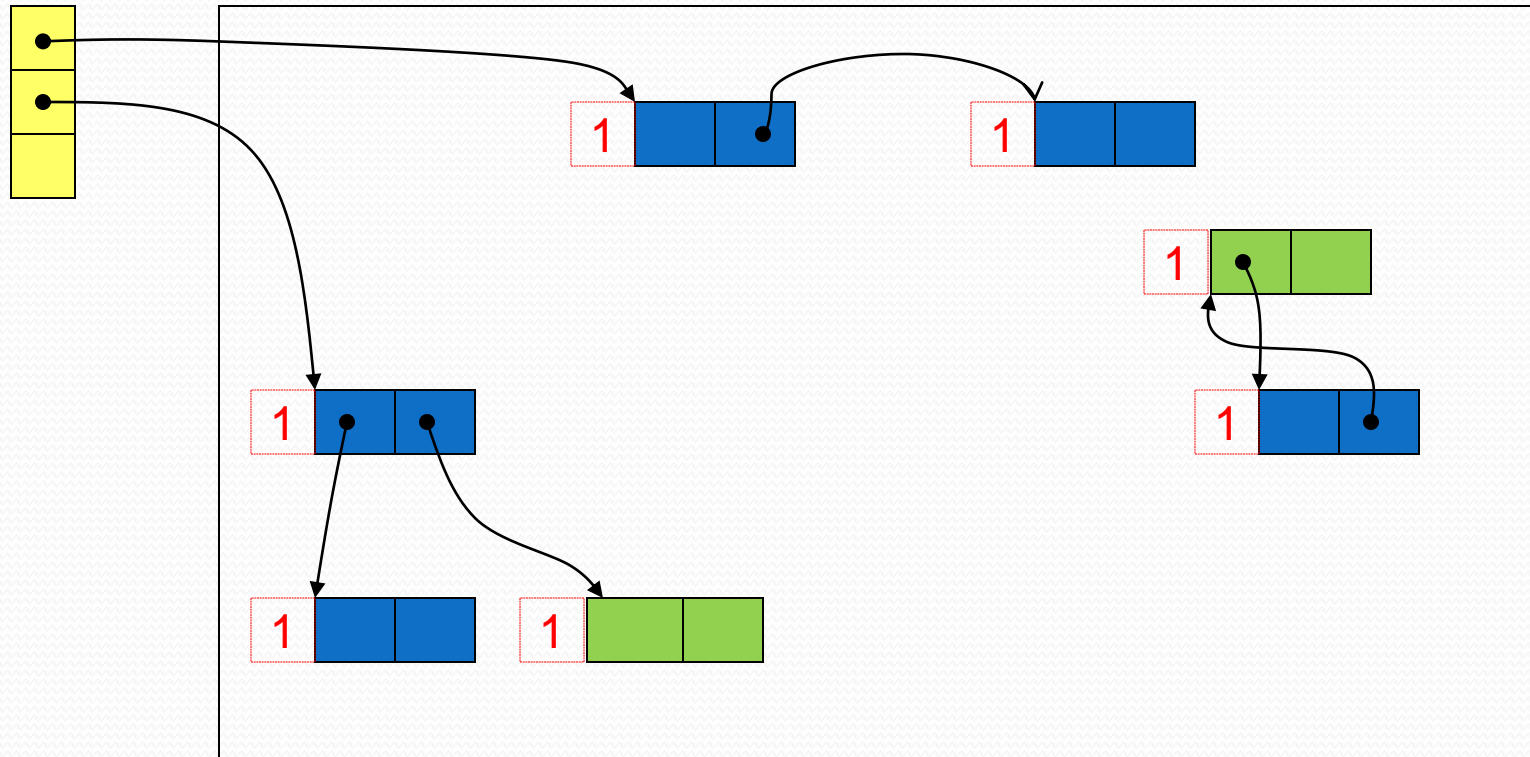
Heap space



# Reference counting example

Root set

Heap space

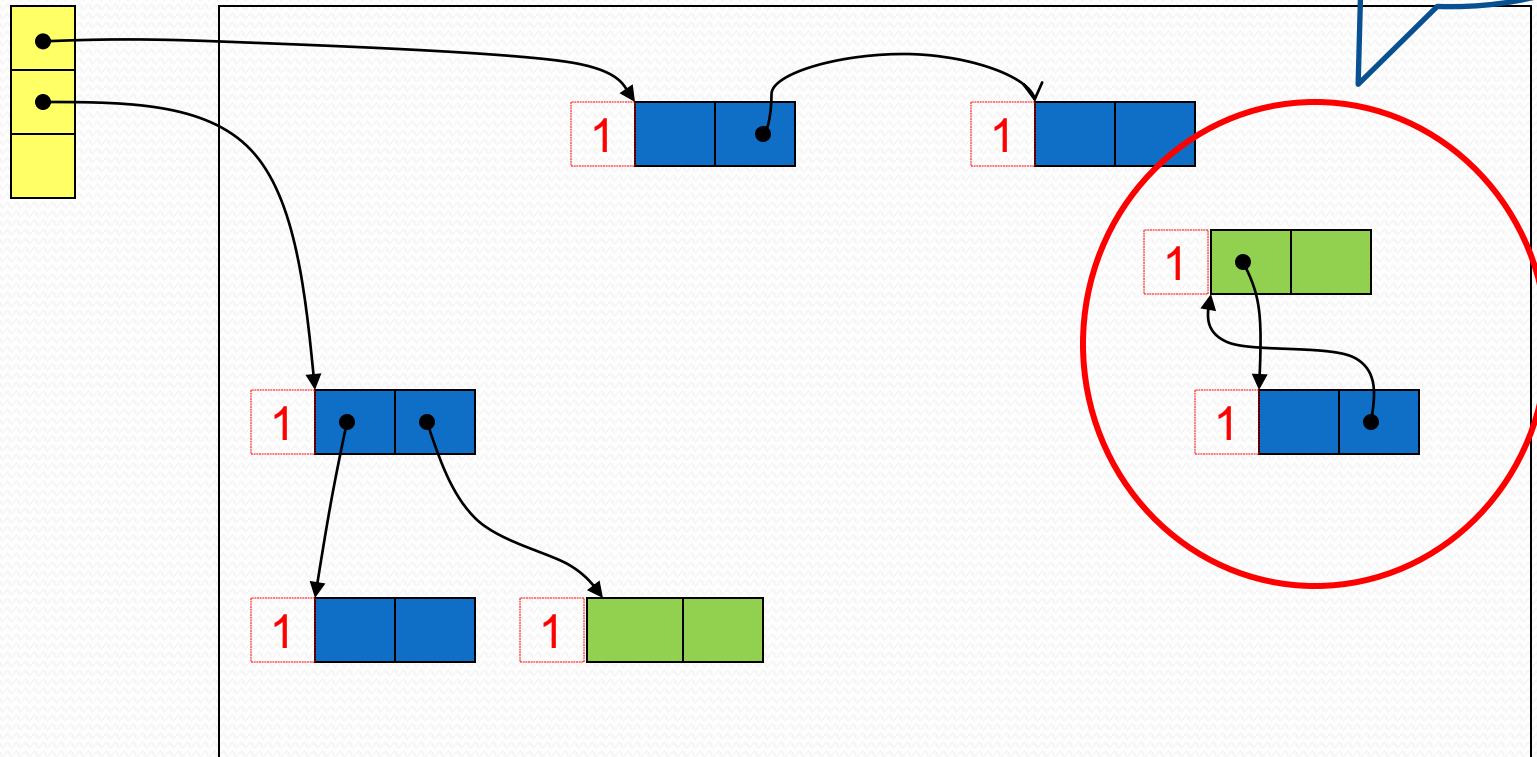


# Reference counting example

**Memory leak**

Root set

Heap space



# RCGC algorithm: RC allocation

```
allocate() {  
    newCell = freeList  
    freeList = next(freeList)  
    return newCell  
}
```

```
new(){  
    if (freeList == NULL){  
        abort "Memory exhausted"  
    }  
    newCell = allocate()  
    RC(newCell) = 1  
    return newCell  
}
```

# RCGC algorithm: Updating pointers

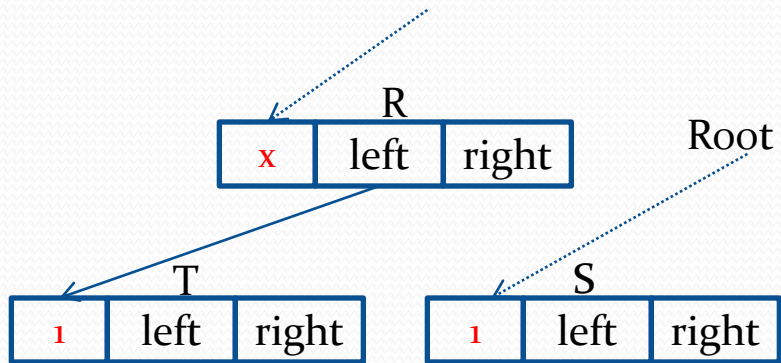
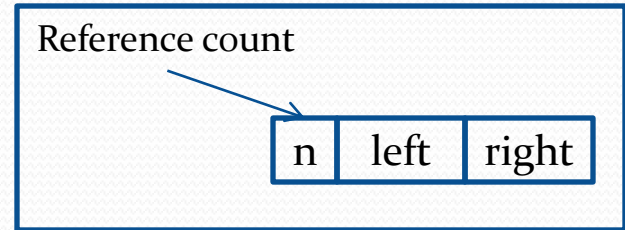
```
free(N) {  
    next(N) = freeList  
    freeList = N  
}
```

```
delete(T){  
    RC(T) = RC(T) - 1  
    if RC(T) == 0  
        for U in children(T)  
            delete(*U)  
    free(T)  
}
```

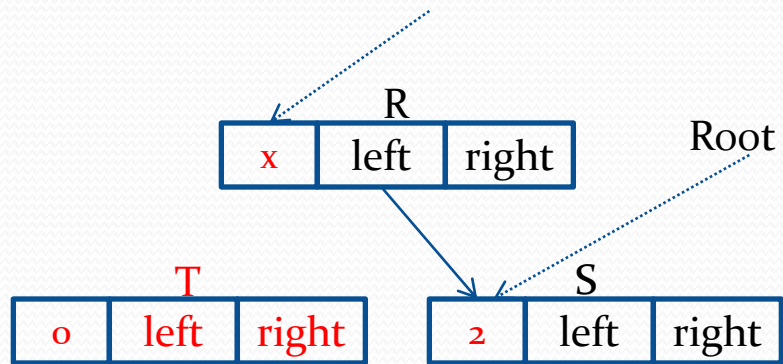
```
update(R, S){  
    RC(S) = RC(S) + 1  
    delete(*R)  
    *R = S  
}
```



# An example



# An example



# An example

