

**MA/CSSE 474**

Theory of Computation

Decision Problems, Continued

DFSMs

**Your Questions?**

- Friday's class
- Reading Assignments
- HW1 solutions
- HW2 or HW3
- Anything else

The comic strip is titled "MUSIC SCHOOL". It shows a teacher in a suit pointing at a student and shouting "GET OUT!". The student is being escorted out of the school. In the next panel, the student is talking to another student who says, "THEY THREW ME OUT FOR PLAGIARISM. YOU WERE STEALING SONGS?". The student replies, "NO. I WAS JUST TAKING NOTES." The comic is signed "© 2008 Tribune Content Services, Inc. All rights reserved." and "© 2008 Frank & Ernest by Garry Shandling for UFS, Inc."

## Recap: Turning Problems into Language Recognition Problems

### Cast multiplication as language recognition:

- Problem: Given two nonnegative integers, compute their product.
- Encode the problem: Transform computing into verification.
- The language to be decided:

**INTEGERPROD** = {w of the form:

$\langle int_1 \rangle_x \langle int_2 \rangle = \langle int_3 \rangle$ , where each  $\langle int_n \rangle$  is an encoding (decimal in this case) of an integer, and  $int_3 = int_1 * int_2$ }

$12x9=108 \in \mathbf{INTEGERPROD}$

$12=12 \notin \mathbf{INTEGERPROD}$

$12x8=108 \notin \mathbf{INTEGERPROD}$

## Recap: Show the Equivalence

Consider the multiplication language example:

**INTEGERPROD** = {w of the form:

$\langle int_1 \rangle_x \langle int_2 \rangle = \langle int_3 \rangle$ , where each  $\langle int_n \rangle$  is an encoding (decimal in this case) of an integer, and  $int_3 = int_1 * int_2$ }

Given a multiplication function for integers, we can build a procedure that recognizes the *INTEGERPROD* language: **(easy, we did it last time)**

Given a function  $R(w)$  that recognizes *INTEGERPROD*, we can build a procedure  $Mult(m,n)$  that computes the product of two integers: **(you were supposed to figure this out during the weekend)**

# Regular Languages (formally)

More on Finite State Machines

## Recap - Definition of a DFMS

$M = (K, \Sigma, \delta, s, A)$ , where:

The D is for  
Deterministic

$K$  is a finite set of *states*

$\Sigma$  is a (finite) *alphabet*

$s \in K$  is the *initial state* (a.k.a. start state)

$A \subseteq K$  is the set of *accepting states*

$\delta: (K \times \Sigma) \rightarrow K$  is the *transition function*

Sometimes we will put an M subscript on  $K, \Sigma, \delta, s,$  or  $A$  (for example,  $s_M$ ), to indicate that this component is part of machine M.

## Acceptance by a DFSM

$$M = (K, \Sigma, \delta, s, A)$$

Informally,  $M$  *accepts* a string  $w$  iff  $M$  winds up in some element of  $A$  after it has finished reading  $w$ .

The *language accepted by  $M$* , denoted  $L(M)$ , is the set of all strings accepted by  $M$ .

But we need more formal notations if we want to prove things about machines and languages.

Today we examine the book's notation,  $\vdash$ . Unicode 22A2. That symbol is commonly called *turnstile* or *tee*. It is often read as "derives" or "yields"

## Configurations of a DFSM

A *configuration* of a DFSM  $M$  is an element of:

$$K \times \Sigma^*$$

It captures the two things that affect  $M$ 's future behavior:

- its current state
- the remaining input to be read.

The *initial configuration* of a DFSM  $M$ , on input  $w$ , is:

$$(s_M, w)$$

Where  $s_M$  is the start state of  $M$ .

## The "Yields" Relations

The *yields-in-one-step* relation:  $\vdash_M$  :

$(q, w) \vdash_M (q', w')$  iff

- $w = a w'$  for some symbol  $a \in \Sigma$ , and
- $\delta(q, a) = q'$

The *yields-in-zero-or-more-steps* relation:  $\vdash_M^*$

$\vdash_M^*$  is the reflexive, transitive closure of  $\vdash_M$ .

Note that this accomplishes the same thing as the "extended delta function" that we considered on Day 1. Two notations for the same concept.

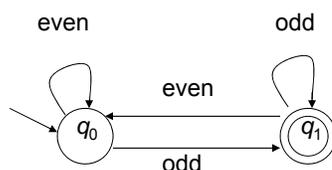
## Computations Using FSMs

A *computation* by  $M$  is a finite sequence of configurations  $C_0, C_1, \dots, C_n$  for some  $n \geq 0$  such that:

- $C_0$  is an initial configuration,
- $C_n$  is of the form  $(q, \varepsilon)$ ,  
for some state  $q \in K_M$ ,
- $\forall i \in \{0, 1, \dots, n-1\} (C_i \vdash_M C_{i+1})$

## An Example Computation

A FSM  $M$  that accepts decimal representations of odd integers:



On input 235, the configurations are:

$$\begin{aligned} (q_0, 235) &\vdash_M (q_0, 35) \\ &\vdash_M (q_1, 5) \\ &\vdash_M (q_1, \varepsilon) \end{aligned}$$

$$\text{Thus } (q_0, 235) \vdash_M^* (q_1, \varepsilon)$$

## Accepting and Rejecting

A DFSM  $M$  **accepts** a string  $w$  iff:

$$(s_M, w) \vdash_M^* (q, \varepsilon), \text{ for some } q \in A_M$$

A DFSM  $M$  **rejects** a string  $w$  iff:

$$(s_M, w) \vdash_M^* (q, \varepsilon), \text{ for some } q \notin A_M$$

The **language accepted by**  $M$ , denoted  $L(M)$ , is the set of all strings accepted by  $M$ .

**Theorem:** Every DFSM  $M$ , in configuration  $(q, w)$ , halts after  $|w|$  steps.

Thus every string is either accepted or rejected by a DFSM.

## Proof of Theorem

**Theorem:** Every DFMSM  $M$ , in configuration  $(q, w)$ , halts after  $|w|$  steps.

**Proof:** by induction on  $|w|$

**Base case:**  $n = 0$ , so  $w$  is  $\varepsilon$ , it halts after 0 steps.

**Induction step:** Assume true for strings of length  $n$  and show for strings of length  $n+1$ .

Let  $w \in \Sigma^*$ ,  $w \neq \varepsilon$ . Then  $|w| = n+1$  for some  $n \in \mathbb{N}$ .

So  $w$  must be  $au$  for some  $a \in \Sigma$ ,  $u \in \Sigma^*$ ,  $|u| = n$ .

Let  $q'$  be  $\delta(q, a)$ . By definition of  $\vdash$ ,  $(q, w) \vdash_M (q', u)$

By the induction hypothesis, starting from configuration  $(q', u)$ ,  $M$  halts after  $n$  steps.

Thus, starting from the original configuration,  $M$  halts after  $n+1$  steps.

## Regular Language Formal Definition

Definition:

A language  $L$  is *regular*

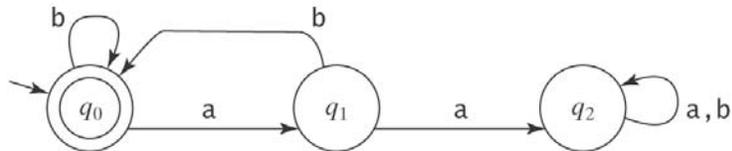
iff

$L = L(M)$  for some DFMSM  $M$

## Example

$$L = \{w \in \{a, b\}^* :$$

every a is immediately followed by a b\}.



$\delta$  can also be represented as a **transition table**:

state ↓ input →	a	b
q <sub>0</sub>	q <sub>1</sub>	q <sub>0</sub>
q <sub>1</sub>	q <sub>2</sub>	q <sub>0</sub>
q <sub>2</sub>	q <sub>2</sub>	q <sub>2</sub>

q<sub>2</sub> is a **dead state**.

## Exercises: Construct DFSA for

$$L = \{w \in \{0, 1\}^* : w \text{ has odd parity}\}.$$

i.e. an odd number of 1's.

$$L = \{w \in \{a, b\}^* :$$

no two consecutive characters are the same\}.

$$L = \{w \in \{a, b\}^* : \#_a(w) \geq \#_b(w)\}$$

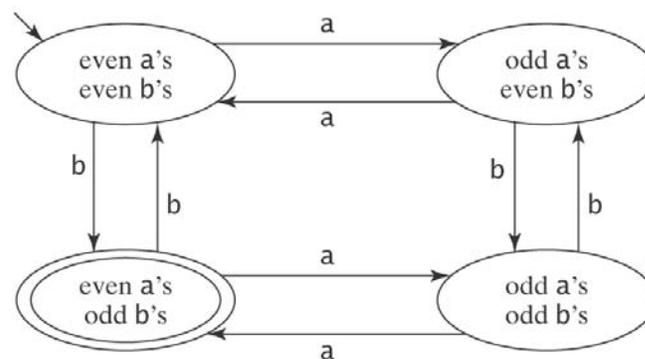
$$L = \{w \in \{a, b\}^* : \forall x, y \in \{a, b\}^* (w = xy \rightarrow |\#_a(x) - \#_b(x)| \leq 2)\}$$

## MORE DFMSM EXAMPLES

### Examples: Programming FSMs

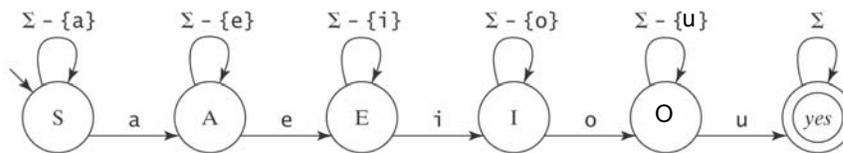
Cluster strings that share a “future”.

$L = \{w \in \{a, b\}^* : w \text{ contains an even number of a's and an odd number of b's}\}$



## Vowels in Alphabetical Order

$L = \{w \in \{a - z\}^* : \text{all five vowels, } a, e, i, o, \text{ and } u, \text{ occur in } w \text{ in alphabetical order}\}.$

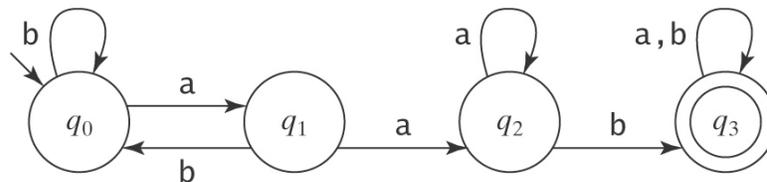


THIS EXAMPLE MAY BE **facetious!**

## Negate the condition, then ...

$L = \{w \in \{a, b\}^* : w \text{ does not contain the substring } aab\}.$

Start with a machine for the complement of  $L$ :



How must it be changed?

## The Missing Letter Language

Let  $\Sigma = \{a, b, c, d\}$ .

Let  $L_{Missing} =$   
 $\{w \in \Sigma^* : \text{there is a symbol } a_i \in \Sigma \text{ that does not}$   
 $\text{appear in } w\}$ .

Try to make a DFSA for  $L_{Missing}$ .

Expressed in first-order logic,

$$L_{Missing} = \{w \in \Sigma^* : \exists a \in \Sigma (\forall x, y \in \Sigma^* (w \neq xay))\}$$

**NONDETERMINISM**

## Nondeterminism

- A **nondeterministic** machine in a given state, looking at a given symbol (and with a given symbol on top of the stack if it is a PDA), may have a choice of several possible moves that it can make.
- If there is a move that leads toward acceptance, it makes that move.

## Necessary Nondeterminism?

- As you saw in the homework, a PDA is a FSM plus a stack.
- Given a string in  $\{a, b\}^*$ , is it in  $\text{PalEven} = \{ww^R : w \in \{a, b\}^*\}$ ?
- PDA
- **Choice:** Continue pushing, or start popping?
- This language can be accepted by a nondeterministic PDA but not by any deterministic one.

## Nondeterministic value-added?

- Ability to recognize additional languages?
  - FSM: no
  - PDA : yes
  - TM: no
- Ease of designing a machine for a particular language
  - Yes in all cases

We will prove  
these later

## A Way to Think About Nondeterministic Computation

1. *choose* (action 1;;  
action 2;  
...  
action  $n$  )

First case: Each action will return True, return False, or run forever.

If any of the actions returns TRUE, *choose* returns TRUE.

If all of the actions return FALSE, *choose* returns FALSE.

If none of the actions return TRUE, and some do not halt, *choose* does not halt.

2. *choose*( $x$  from  $S$ :  $P(x)$ )

Second case:  $S$  may be finite, or infinite with a generator (enumerator).

If  $P$  returns TRUE on some  $x$ , so does *choose*

If it can be determined that  $P(x)$  is FALSE for all  $x$  in  $P$ , *choose* returns FALSE.

Otherwise, *choose* fails to halt.