

## Exam 1: Session 12 (Dec 16)

- Resources allowed:
- A double-sided $8.5 \times 11$ sheet of paper with whatever you want on it.
- No books or electronic devices.
- I'll tell you by Tuesday how far the exam will cover.
- HW 5 will be due the following Tuesday..


## Recap: Definition of a DFSM

$M=(K, \Sigma, \delta, s, A)$, where:

| The D is for |
| :--- |
| Deterministic |

$K$ is a finite set of states
$\Sigma$ is a (finite) alphabet
$s \in K$ is the initial state (a.k.a. start state)
$A \subseteq K$ is the set of accepting states
$\delta:(K \times \Sigma) \rightarrow K$ is the transition function

Sometimes we will put an $M$ subscript on $K, \Sigma, \delta, s$, or $A$ (for example, $\mathrm{s}_{\mathrm{M}}$ ), to indicate that this component is part of machine M.

## Recap: Configurations of a DFSM

A configuration of a DFSM $M$ is an element of:

$$
K \times \Sigma^{*}
$$

It captures the two things that affect $M$ s future behavior:

- its current state
- the remaining input to be read.

The initial configuration of a DFSM $M$, on input $w$, is:
$\left(s_{M}, w\right)$

## Recap: The "Yields" Relations

The yields-in-one-step relation: $\left.\right|_{M}$ :
$\left.(q, w)\right|_{M}\left(q^{\prime}, w\right)$ iff

- $w=a w^{\prime}$ for some symbol $a \in \Sigma$, and
- $\delta(q, a)=q^{\prime} \begin{aligned} & \text { In a context where there is only one } \\ & \text { machine under consideration, we may } \\ & \text { sometimes omit the M and simply write }-\end{aligned}$

The yields-in-zero-or-more-steps relation: $\mid-{ }_{-}{ }^{*}$
$\left.\right|_{M}{ }^{*}$ is the reflexive, transitive closure of $\mid-m$.
The yields-in-exactly-n-steps relation: |- $\boldsymbol{m}^{n}$
"Yields in exactly $n$ steps", where $n \geq 0$

## Recap: Computations Using FSMs

A computation by $M$ is a finite sequence of configurations $C_{0}, C_{1}, \ldots, C_{n}$ for some $n \geq 0$ such that:

- $C_{0}$ is an initial configuration,
- $C_{n}$ is of the form $(q, \varepsilon)$, for some state $q \in K_{M}$,
- $\forall \mathrm{i} \in\{0,1, \ldots, \mathrm{n}-1\}\left(C_{\mathrm{i}} \mid-{ }_{M} C_{\mathrm{i}+1}\right)$


## Recap: An Example Computation

An FSM M that accepts decimal representations of odd integers:


On input 235, the configurations are:
$\left(q_{0}, 235\right)$

,
Thus $\left(q_{0}, 235\right) \vdash_{M}{ }^{*}\left(q_{1}, \varepsilon\right)$

## Recap: Accepting and Rejecting

A DFSM $M$ accepts a string $w$ iff:
$\left.\left(s_{M}, w\right)\right|_{M}{ }^{*}(q, \varepsilon)$, for some $q \in A_{M}$
A DFSM $M$ rejects a string $w$ iff:
$\left.\left(s_{M}, w\right)\right|^{-}{ }^{*}(q, \varepsilon)$, for some $q \notin A_{M}$
The language accepted by $M$, denoted $L(M)$, is the set of all strings accepted by $M$.

What is $L(M)$ for the machine on the in-class quiz?
Theorem: Every DFSM M, in configuration (q, w), halts in exactly $|w|$ steps.

Q5,6,7

## Regular Languages

Definition:
A language $L$ is regular iff
$L=L(M)$ for some DFSM M.

## Example

$$
L=\left\{w \in\{a, b\}^{*}:\right.
$$

every a is immediately followed by a b\}.

$\delta$ can also be represented as a transition table:

| state $\downarrow$ input $\rightarrow$ | a | b |
| :---: | :---: | :---: |
| $\mathrm{q}_{0}$ | $\mathrm{q}_{1}$ | $\mathrm{q}_{0}$ |
| $\mathrm{q}_{1}$ | $\mathrm{q}_{2}$ | $\mathrm{q}_{0}$ |
| $\mathrm{q}_{2}$ | $\mathrm{q}_{2}$ | $\mathrm{q}_{2}$ |

$\mathrm{q}_{2}$ is a dead state.

## Parity Checking

$L=\left\{w \in\{0,1\}^{*}: w\right.$ has odd parity $\}$.
l.e. an odd number of 1 's.

## Even a Regions

$L=\left\{w \in\{a, b\}^{*}\right.$ : every a region in $w$ has even length $\}$.

Note that by "a region", we mean a maximal sequence of consecutive as.

This would be a good example for practice later

## Checking Consecutive Characters

$L=\left\{w \in\{a, b\}^{*}:\right.$
no two consecutive characters are the same\}.

## The Language of Floating Point Numbers is Regular

> Example strings:
> $+3 \cdot 0,3 \cdot 0,0.3 \mathrm{E} 1,0.3 \mathrm{E}+1,-0 \cdot 3 \mathrm{E}+1,-3 \mathrm{E} 8$

The language is accepted by the DFSM:


We will now take a very quick look at a few DFSM examples


## Programming FSMs

Cluster strings that share a "future".
Let $L=\left\{w \in\{a, b\}^{*}: w\right.$ contains an even number of a's and an odd number of b's\}


## Vowels in Alphabetical Order

$L=\left\{w \in\{a-z\}^{*}:\right.$ all five vowels, $a, e, i, o$, and $u$, occur in $w$ in alphabetical order\}.


## Programming FSMs

$L=\left\{w \in\{a, b\}^{*}: w\right.$ does not contain the substring aab $\}$.
Start with a machine for $\neg L$ :


How must it be changed?

## A Building Security System

$L=\{$ event sequences such that the alarm should sound\}


## The Missing Letter Language

Let $\Sigma=\{a, b, c, d\}$.
Let $L_{\text {Missing }}=$
$\left\{w\right.$ : there is a symbol $a_{i} \in \Sigma$ not appearing in $\left.w\right\}$.
Try to make a DFSM for $L_{\text {Missing }}$ :

## The Missing Letter Language

Let $\Sigma=\{a, b, c, d\}$.
Let $L_{\text {Missing }}=$
$\left\{w\right.$ : there is a symbol $a_{i} \in \Sigma$ not appearing in $\left.w\right\}$.
Try to make a DFSM for $L_{\text {Missing }}$ :

Do this on your own if you want extra practice. For now, think about why it is hard.

## Definition of an NDFSM

$M=(K, \Sigma, \Delta, s, A)$, where:
$K$ is a finite set of states
$\Sigma$ is an alphabet
$s \in K$ is the initial state
$A \subseteq K$ is the set of accepting states, and
$\Delta$ is the transition relation. It is a finite subset of

$$
(K \times(\Sigma \cup\{\varepsilon\})) \times K
$$

Another way to present it: $\Delta:(K \times(\Sigma \cup\{\varepsilon\})) \rightarrow 2^{K}$

## Accepting by an NDFSM

$M$ accepts a string $w$ iff there exists some path along which $w$ drives $M$ to some element of $A$.

The language accepted by $M$, denoted $L(M)$, is the set of all strings accepted by $M$.

## Sources of Nondeterminism



## Optional Substrings

$L=\left\{w \in\{a, b\}^{*}: w\right.$ is made up of an optional a followed by a followed by zero or more b's\}.


## Multiple Sublanguages

$L=\left\{w \in\{a, b\}^{*}: w=a b a\right.$ or $|w|$ is even $\}$.


## The Missing Letter Language

Let $\Sigma=\{\mathrm{a}, \mathrm{b}, \mathrm{c}, \mathrm{d}\}$. Let $L_{\text {Missing }}=\{w$ : there is a symbol $a_{i} \in \Sigma$ that does not appear in $\left.w\right\}$


## Pattern Matching

$L=\left\{w \in\{a, b, c\}^{*}: \exists x, y \in\{a, b, c\}^{*}(w=x\right.$ abcabb $\left.y)\right\}$.
A DFSM:


An NDFSM:


## Pattern Matching: Multiple Keywords

$$
L=\left\{w \in\{\mathrm{a}, \mathrm{~b}\}^{*}: \exists x, y \in\{\mathrm{a}, \mathrm{~b}\}^{*}\right.
$$

$$
((w=x \text { abbaa } y) \vee(w=x \text { baba } y))\}
$$



