

# MA/CSSE 474 Theory of Computation

More Reduction Examples  
Non-SD Reductions

## Your Questions?

- Previous class days' material
- Reading Assignments
- HW 15 problems
- Final Exam
- Anything else

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### **Excerpt from an obituary in the Terre Haute *Tribune Star*. May 12, 2018:**

Lane was a kind and generous person who never hesitated to offer help to those who asked for it. He had a brilliant mind and keen imagination. He will be missed by his loving family and friends, as well as, the leeches who fed upon his generosity and mischievous spirit; they will have to find a new host now.



## Reducing *Language* $L_1$ to $L_2$

- Language  $L_1$  (over alphabet  $\Sigma_1$ ) is **mapping reducible** to language  $L_2$  (over alphabet  $\Sigma_2$ ) and we write  $L_1 \leq L_2$  if

there is a Turing-computable function  $f : \Sigma_1^* \rightarrow \Sigma_2^*$  such that

$$\forall x \in \Sigma_1^*, x \in L_1 \text{ if and only if } f(x) \in L_2$$



## Using Reduction for Undecidability

$(R \text{ is a reduction from } L_1 \text{ to } L_2) \wedge (L_2 \text{ is in } D) \rightarrow (L_1 \text{ is in } D)$

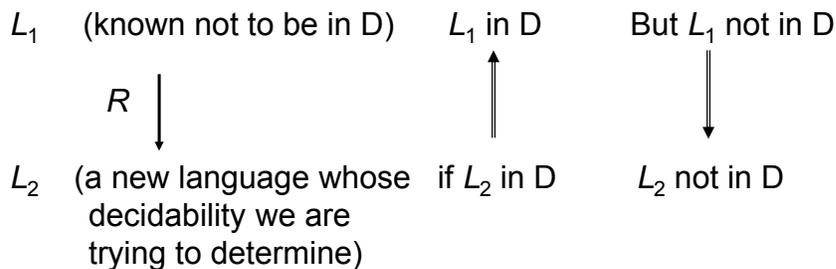
If  $(L_1 \text{ is in } D)$  is false, then at least one of the two antecedents of that implication must be false. So:

If  $(R \text{ is a reduction from } L_1 \text{ to } L_2)$  is true  
and  $(L_1 \text{ is in } D)$  is false,  
then  $(L_2 \text{ is in } D)$  must be false.

**Application:** If  $L_1$  is a language that is known to not be in  $D$ , and we can find a reduction from  $L_1$  to  $L_2$ , then  $L_2$  is also not in  $D$ .

## Using Reduction for Undecidability

Showing that  $L_2$  is not in D:



## To Show $L_2$ undecidable

1. Choose a language  $L_1$  that is already known not to be in D,
  - A. Assume a TM *Oracle* that decides  $L_2$
  - B. show that  $L_1$  can be reduced to  $L_2$

### Details:

2. Define the reduction  $R$ .
3. Describe the composition  $C$  of  $R$  with *Oracle*.
4. Show that  $C$  correctly decides  $L_1$  iff *Oracle* exists. We do this by showing:
  - $R$  can be implemented by Turing machines,
  - $C$  is correct:
    - If  $x \in L_1$ , then  $C(x)$  accepts, and
    - If  $x \notin L_1$ , then  $C(x)$  rejects.

### First Reduction Example:

$H_\varepsilon = \{ \langle M \rangle : \text{TM } M \text{ halts on } \varepsilon \}$

Follow this outline in proofs that you submit.. We will see many examples in the next few sessions.

## show $H_\varepsilon$ in SD but not in D

1.  $H_\varepsilon$  is in SD.  $T$  semidecides it:

$T(\langle M \rangle) =$

1. Run  $M$  on  $\varepsilon$ .
2. Accept.

$T$  accepts  $\langle M \rangle$  iff  $M$  halts on  $\varepsilon$ , so  $T$  semidecides  $H_\varepsilon$ .

\* **Recall:** "M halts on w" is a short way of saying "M, when started with input w, eventually halts"

## $H_\varepsilon = \{\langle M \rangle : \text{TM } M \text{ halts on } \varepsilon\}$

2. **Theorem:**  $H_\varepsilon = \{\langle M \rangle : \text{TM } M \text{ halts on } \varepsilon\}$  is not in D.

**Proof:** by reduction from H to  $H_\varepsilon$ :

$H_\varepsilon \leq H$  is intuitive, the other direction is not so obvious.

$H = \{\langle M, w \rangle : \text{TM } M \text{ halts on input string } w\}$

$R \downarrow$

(? Oracle)  $H_\varepsilon \{\langle M \rangle : \text{TM } M \text{ halts on } \varepsilon\}$

$R$  is a reduction from H to  $H_\varepsilon$ :

$R(\langle M, w \rangle) =$

1. Construct  $\langle M\#\rangle$ , where  $M\#(x)$  operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape and move the head to the left end.
  - 1.3. Run  $M$  on  $w$ .
2. Return  $\langle M\#\rangle$ .

\*

## Proof, Continued

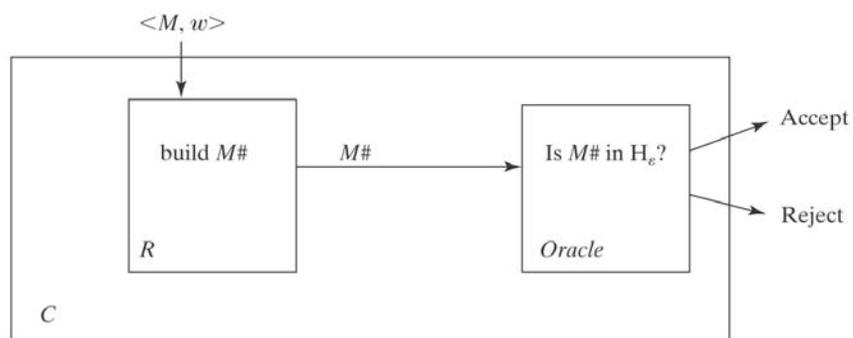
$R(\langle M, w \rangle) =$

1. Construct  $\langle M\# \rangle$ , where  $M\#(x)$  operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape and move the head to the left end.
  - 1.3. Run  $M$  on  $w$ .
2. Return  $\langle M\# \rangle$ .

If *Oracle* exists,  $C = \text{Oracle}(R(\langle M, w \rangle))$  decides  $H$ :

- $C$  is correct:  $M\#$  ignores its own input. It halts on every input or no inputs. So there are two cases:
  - $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ , so  $M\#$  halts on everything. In particular, it halts on  $\epsilon$ . *Oracle* accepts.
  - $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ , so  $M\#$  halts on nothing and thus not on  $\epsilon$ . *Oracle* rejects.

## A Block Diagram of $C$

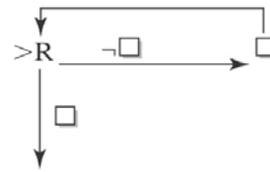


**Note:** In the last two places where  $M\#$  appears in this diagram, it should be  $\langle M\# \rangle$

## R Can Be Implemented as a Turing Machine

$R$  must construct  $\langle M\# \rangle$  from  $\langle M, w \rangle$ . Suppose  $w = aba$ .

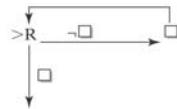
$M\#$  will be:



So the procedure for constructing  $M\#$  is:

a R b R a L<sub>□</sub> M

1. Write:



2. For each character  $x$  in  $w$  do:

2.1. Write  $x$ .

2.2. If  $x$  is not the last character in  $w$ , write  $R$ .

3. Write L<sub>□</sub>  $M$ .

## Conclusion

$R$  can be implemented as a Turing machine.

$C$  is correct.

So, if *Oracle* exists:

$C = \text{Oracle}(R(\langle M, w \rangle))$  decides  $H$ .

But no machine to decide  $H$  can exist.

So neither does *Oracle*.

## This Result is Somewhat Surprising

If we could decide whether  $M$  halts on the specific string  $\varepsilon$ , we could solve the more general problem of deciding whether  $M$  halts on an arbitrary input.

Clearly, the other way around is true: If we could solve  $H$  we could decide whether  $M$  halts on any one particular string.

But we used reduction to show that  $H$  undecidable implies  $H_\varepsilon$  undecidable; this is not at all obvious.

## Different Languages Are We Dealing With?

$$H = \{ \langle M, w \rangle : \text{TM } M \text{ halts on input string } w \}$$
$$R \downarrow$$

(? Oracle)  $H_\varepsilon = \{ \langle M \rangle : \text{TM } M \text{ halts on } \varepsilon \}$

$H$  contains strings of the form:

$$(q00, a00, q01, a10, \leftarrow), (q00, a00, q01, a10, \rightarrow), \dots, aaa$$

$H_\varepsilon$  contains strings of the form:

$$(q00, a00, q01, a10, \leftarrow), (q00, a00, q01, a10, \rightarrow), \dots$$

The language on which some  $M$  halts contains strings of some arbitrary form, for example,

(letting  $\Sigma = \{a, b\}$ ): aaaba

## Different Machines Are We Dealing With?

$H = \{ \langle M, w \rangle : \text{TM } M \text{ halts on input string } w \}$

$R \downarrow$

(?Oracle)  $H_\epsilon = \{ \langle M \rangle : \text{TM } M \text{ halts on } \epsilon \}$

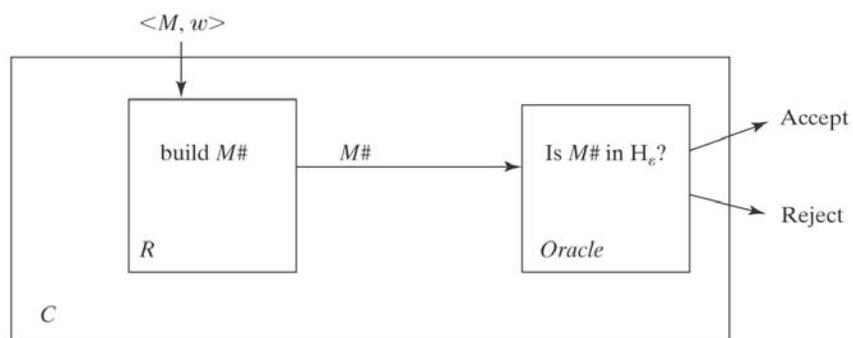
$R$  is a reduction from  $H$  to  $H_\epsilon$ :

$R(\langle M, w \rangle) =$

1. Construct  $\langle M\# \rangle$ , where  $M\#(x)$  operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$  on  $w$ .
2. Return  $\langle M\# \rangle$ .

- *Oracle* (the hypothesized machine to decide  $H_\epsilon$ ).
- $R$  (the machine that builds  $M\#$ . Actually exists).
- $C$  (the composition of  $R$  with *Oracle*).
- $M\#$  (the machine we will pass as input to *Oracle*). Note that we never run it.
- $M$  (the machine with an encoding whose membership in  $H$  we are interested in determining; thus also an input to  $R$ ).

## A Block Diagram of $C$



**Note:** In the last two places where  $M\#$  appears in this diagram, it should be  $\langle M\# \rangle$



## Another Way to View the Reduction

```
// let L = {<M> | M is a TM that halts when its input is epsilon}  
// if L is decidable, let the following function decide L:
```

```
boolean haltsOnEpsilon(TM M); // defined in magic.h
```

```
// HaltsOn decides H using HaltsOnEpsilon  
// ∴ HaltsOn reduces to HaltsOnEpsilon:
```

```
bool haltsOn(TM M, string w) {  
    void wrapper(string iDontCare) { // a nested TM  
        M(w);  
    } { // end of nested TM  
    return haltsOnEpsilon(wrapper);  
}
```

If HaltsOnEpsilon is a decision procedure, so is HaltsOn.  
But of course HaltsOn is not, so neither is HaltsOnEpsilon.



## Important Elements in a Reduction Proof

- A clear declaration of the reduction “from” and “to” languages.
- A clear description of  $R$ .
- If  $R$  is doing anything nontrivial, argue that it can be implemented as a TM.
- Note that machine diagrams are not necessary or even sufficient in these proofs. Use them as thought devices, where needed.
- Run through the logic that demonstrates how the “from” language is being decided by the composition of  $R$  and *Oracle*. You must do both accepting and rejecting cases.
- Declare that the reduction proves that your “to” language is not in  $D$ .

## The Most Common Mistake: Doing the Reduction Backwards

The right way to use reduction to show that  $L_2$  is not in D:

1. Given that  $L_1$  is not in D,
  2. Reduce  $L_1$  to  $L_2$ , i.e., show how to solve  $L_1$   
(the known one) in terms of  $L_2$  (the unknown one)
- $L_1$   
↓  
 $L_2$

Doing it wrong by reducing  $L_2$  (the unknown one) to  $L_1$ :

If there exists a machine  $M_1$  that solves  $H$ , then we could build a machine that solves  $L_2$  as follows:

1. Return  $(M_1(\langle M, \epsilon \rangle))$ .

This proves nothing. It's an argument of the form:

If *False* then ...

## Next Example:

$H_{\text{ANY}} = \{\langle M \rangle : \text{there exists at least one string on which TM } M \text{ halts}\}$

**Theorem:**  $H_{\text{ANY}}$  is in SD.

**Proof:** by exhibiting a TM  $T$  that semidecides it.

What about simply trying all the strings in  $\Sigma^*$  one at a time until one halts?

## $H_{ANY}$ is in SD

$T(\langle M \rangle) =$

1. Use **dovetailing**\* to try  $M$  on all of the elements of  $\Sigma^*$ :

$\varepsilon$  [1]  
 $\varepsilon$  [2] a [1]  
 $\varepsilon$  [3] a [2] b [1]  
 $\varepsilon$  [4] a [3] b [2] aa [1]  
 $\varepsilon$  [5] a [4] b [3] aa [2] ab [1]

2. If any instance of  $M$  halts, halt and accept.

$T$  will accept iff  $M$  halts on at least one string. So  $T$  semidecides  $H_{ANY}$ .

\* [http://en.wikipedia.org/wiki/Dovetailing\\_\(computer\\_science\)](http://en.wikipedia.org/wiki/Dovetailing_(computer_science))

## $H_{ANY}$ is not in D

## Hidden: $H_{ANY}$ is not in D

$H = \{ \langle M, w \rangle : \text{TM } M \text{ halts on input string } w \}$

$R \downarrow$

(?Oracle)  $H_{ANY} = \{ \langle M \rangle : \text{there exists at least one string on which TM } M \text{ halts} \}$

$R(\langle M, w \rangle) =$

1. Construct  $\langle M\# \rangle$ , where  $M\#(x)$  operates as follows:
  - 1.1. Examine  $x$ .
  - 1.2. If  $x = w$ , run  $M$  on  $w$ , else loop.
2. Return  $\langle M\# \rangle$ .

If Oracle exists, then  $C = \text{Oracle}(R(\langle M, w \rangle))$  decides H:

- $R$  can be implemented as a Turing machine.
- $C$  is correct: The only string on which  $M\#$  can halt is  $w$ . So:
  - $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ . So  $M\#$  halts on  $w$ . There exists at least one string on which  $M\#$  halts. Oracle accepts.
  - $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ , so neither does  $M\#$ . So there exists no string on which  $M\#$  halts. Oracle rejects.

But no machine to decide H can exist, so neither does Oracle.

## Hidden: (Another $R$ That Works)

**Proof:** We show that  $H_{ANY}$  is not in D by reduction from H:

$H = \{ \langle M, w \rangle : \text{TM } M \text{ halts on input string } w \}$

$R \downarrow$

(?Oracle)  $H_{ANY} = \{ \langle M \rangle : \text{there exists at least one string on which TM } M \text{ halts} \}$

$R(\langle M, w \rangle) =$

1. Construct the description  $\langle M\# \rangle$ , where  $M\#(x)$  operates as follows:
  - 1.1. Erase the tape.
  - 1.2. Write  $w$  on the tape.
  - 1.3. Run  $M$  on  $w$ .
2. Return  $\langle M\# \rangle$ .

If Oracle exists, then  $C = \text{Oracle}(R(\langle M, w \rangle))$  decides H:

- $C$  is correct:  $M\#$  ignores its own input. It halts on everything or nothing. So:
  - $\langle M, w \rangle \in H$ :  $M$  halts on  $w$ , so  $M\#$  halts on everything. So it halts on at least one string. Oracle accepts.
  - $\langle M, w \rangle \notin H$ :  $M$  does not halt on  $w$ , so  $M\#$  halts on nothing. So it does not halt on at least one string. Oracle rejects.

But no machine to decide H can exist, so neither does Oracle.

## The Steps in a Reduction Proof

1. ★ Choose an undecidable language to reduce from.
2. ★ Define the reduction  $R$ .
3. Show that  $C$  (the composition of  $R$  with *Oracle*) is correct.

★ indicates where we make choices.

## Undecidable Problems (Languages That Aren't In D)

The Problem View	The Language View
Does TM $M$ halt on $w$ ?	$H = \{ \langle M, w \rangle : M \text{ halts on } w \}$
Does TM $M$ not halt on $w$ ?	$\neg H = \{ \langle M, w \rangle : M \text{ does not halt on } w \}$
Does TM $M$ halt on the empty tape?	$H_\epsilon = \{ \langle M \rangle : M \text{ halts on } \epsilon \}$
Is there any string on which TM $M$ halts?	$H_{\text{ANY}} = \{ \langle M \rangle : \text{there exists at least one string on which TM } M \text{ halts} \}$
Does TM $M$ accept all strings?	$A_{\text{ALL}} = \{ \langle M \rangle : L(M) = \Sigma^* \}$
Do TMs $M_a$ and $M_b$ accept the same languages?	$\text{EqTMs} = \{ \langle M_a, M_b \rangle : L(M_a) = L(M_b) \}$
Is the language that TM $M$ accepts regular?	$\text{TMreg} = \{ \langle M \rangle : L(M) \text{ is regular} \}$

Next: We examine proofs of some of these (some are also done in the textbook)