

# MA/CSSE 473

## Day 21

Subset Generation

More Decrease and  
Conquer  
Algorithms



## MA/CSSE 473 Day 21

- HW 8 is due Friday.
- HW 9 is due Tuesday. Its "late day" period will extend until Friday at noon.
- Don't forget the Convex Hull implementation problem. It is due 24 days after I assigned it (October 21) , and 10 of those days have already passed.
- HW 10 due Tuesday, Oct 19
- HW 11 Due Friday, Oct 22.
- **Student Questions**
- Exam discussion
- Subset Generation
- More Decrease and Conquer algorithms



## Exam 1 Discussion

- Exam was a little bit long.
  - No one turned it in more than 2 minutes early
  - My remedy: I used 85 as the max score in the ANGEL gradebook
  - So your score is taken as a percentage of 85.
  - For example, 70 points counts as 82.35%
- Grader has not finished grading HW 7.
- If HW7 grading is finished by tomorrow, midterm grades will be based on HW1-7 + Trominoes, Exam1, and ICQs.



## My grade scale approach

- Give many challenging and interesting problems, and adjust the grading scale accordingly:

### Grading Scale

Label	Minimum Percent
A	86.5
B+	80.5
B	74.5
C+	68.5
C	62.5
D+	56.5
D	50.5
F	0



## Rose Grading Philosophy

- From <http://www.rose-hulman.edu/academicpolicies/#grades> :
  - Thorough competence to do excellent work in the field is required for the grades of "B" and "B+" which will not be given for mere compliance with the minimum essential standards of the course.



## Exam 1, problem 4a

(20) Suppose we have a 64-bit machine. Then we can multiply two 32-bit unsigned integers without overflow. Now suppose that we want to multiply 512-bit positive integers, using one of the divide-and-conquer techniques from class. In the in-class algorithms, the recursion stops when the number of bits gets down to one. In this case we can stop the recursion when the number of bits gets to 32.

- a. (5) If we use the standard approach to multiplication, how many 32-bit multiplications are done altogether?

**Multiplying two 512-bit numbers requires four multiplications of 256-bit numbers.**

**Each of those requires four multiplications of 128-bit numbers.**

**Each of those requires four multiplications of 64-bit numbers.**

**Each of those requires four multiplications of 32-bit numbers.**

**Altogether,  $4^4 = 256$  multiplications**



## Exam 1, problem 4b-d

Altogether,  $4^4 = 256$  multiplications

- b. (5) If we use the algorithm based on Gauss's multiplication formula, how many 32-bit multiplications will be done? **Same idea as above, but only 3 multiplications at each step.  $3^4 = 81$**
- c. (5) If we only consider the costs of additions and multiplications, and if a 32-bit integer multiplication takes 5 times as long as a 32-bit integer addition is the method from part (b) likely to be faster than the method from part (a)? Show a calculation that leads to your conclusion.  
**The second algorithm saves  $256 - 81 = 165$  multiplications.  
But it adds  $625 - 81 = 544$  additions.  
If each saved multiplication saves as much time as 5 additions,  
the savings is equivalent to  $165 * 5 = 825$  additions.  
So the answer is "yes".**
- d. (3) Fill in the blank with the correct number:  
If we only consider the costs of additions and multiplications and if a 32-bit integer multiplication takes 3.3 times as long as a 32-bit integer addition, then we can expect that the two approaches will take about the same amount of time. Show how you get your answer.  
 **$544/165 \approx 3.3$ . Either 3 or 4 (or any number in-between) is also an acceptable answer.**

## Exam 1, problem 5a

Suppose that you use this sub-optimal algorithm: Walk 1 step in one direction, then 4 steps in the other direction, then 9, then 16, then 25, 36, ... So at each stage, you walk  $k^2$  steps for some  $k$ , then turn around and walk  $(k+1)^2$  steps in the other direction if you have not found the door.

- (a) (5) After the stage in which you walk  $k^2$  steps in one direction, how far are you from the original starting point? (for example, when  $k=3$ , you are 6 steps away from the start; what is the general formula?)

<u>k</u>	<u>distance from start</u>
1	1
2	$4 - 1 = 3$ [note that $3 = 1 + 2$ ]
3	$9 - 3 = 6$ [note that $6 = 1 + 2 + 3$ ]
4	$16 - 6 = 10$ [note that $10 = 1 + 2 + 3 + 4$ ]
5	$25 - 10 = 15$ [note that $15 = 1 + 2 + 3 + 4 + 5$ ]
6	$36 - 15 = 21$ [note that $21 = 1 + 2 + 3 + 4 + 5 + 6$ ]

So in general, the distance is  $\sum_{i=1..k} i = k(k+1)/2$ . (by formula 2, p 470)

## Exam 1, problem 5b

So in general, the distance is  $\sum_{i=1..k} i = k(k+1)/2$ . (by formula 2, p 470)

(10) As a function of  $N$  (the distance from the original starting point to the door), what is the worst-case total number of steps taken before finding the door? Give a big-theta estimate and show how you get it.

The worst case is when  $N$  is one step past where we end up after the  $k^{\text{th}}$  stage, for example, at distance  $N = k(k+1)/2 + 1$  from the start (for some  $k$ ). Then in the  $k+1^{\text{st}}$  stage, we go  $(k+1)^2$  steps in the other direction, and in the  $k+2^{\text{nd}}$  stage, we have to go  $(k+1)^2 + 1$  additional steps before we reach the door.

The total number of steps is  $S = \sum_{i=1..k} i^2 + 2(k+1)^2 + 1$ . According to formula 3 on p470, the summation is approximately  $k^3/3$ , which is  $\Theta(k^3)$ . The additional two terms are lower order, so  $S$  is  $\Theta(k^3)$ .

From our choice of  $N$ ,  $N$  is  $\Theta(k^2)$ , so  $k$  is  $\Theta(N^{1/2})$ . Putting these together,  $S$  is  $\Theta(k^3)$ , which is  $\Theta(N^{3/2})$ .

Thus  $S$  is  $\Theta(N^{3/2})$ .

## Exam 1, problem 6

(10) A *binary tree* is defined to be either (a) empty, or (b) a root node and two subtrees,  $T_L$  and  $T_R$ , each of which is a binary tree. The *height* of a binary tree is the length of the longest path from the root node to another node in the tree. Note that a tree with one node has height 0, and the empty tree has height -1. We can use the notation  $N(T)$  = the number of nodes in tree  $T$ , and  $H(T)$  = the height of tree  $T$ . Use mathematical induction and the given definition of binary tree to carefully prove that for every binary tree,  $N(T) \leq 2^{H(T)+1} - 1$ . Be sure that your description makes it clear where and how you apply the induction hypothesis.

The induction is on  $H(T)$ . **Base case:**  $H(T) = -1$ .  $N(T) = 0$ .  $2^{H(T)+1} = 1$ . So  $N(T) \leq 2^{H(T)+1} - 1$ .

**Induction step.** Consider a nonempty tree  $T$  whose height is  $H(T)$ . Assume by induction that the property is true for all binary trees whose height is smaller. In particular,  $T$ 's subtrees are shorter, so the property holds for each of them. We also know that  $H(T_L) \leq H(T) - 1$ , and  $H(T_R) \leq H(T) - 1$ . Putting all of this together:

$$\begin{aligned}
 N(T) &= 1 + N(T_L) + N(T_R) \leq 1 + (2^{H(T_L)+1} - 1) + (2^{H(T_R)+1} - 1) && \text{by the induction hypothesis} \\
 &\leq 1 + (2^{H(T)+1-1} - 1) + (2^{H(T)+1-1} - 1) && \text{by the inequality from the above paragraph} \\
 &= 2^{H(T)} + 2^{H(T)} - 1 = 2(2^{H(T)}) - 1 = 2^{H(T)+1} - 1 && \text{by simple algebra}
 \end{aligned}$$



## Exam 1, problem 8

(5) If we use brute force integer multiplication and addition, and brute-force matrix multiplication, what is the big-theta running time (in terms of  $n$ ) for multiplying  $n \times n$  matrices of  $n$ -bit integers? Circle one

$\Theta(n^2)$   $\Theta(n^3)$   $\Theta(n^4)$   $\Theta(n^5)$   **$\Theta(n^6)$**   $\Theta(n^7)$   $\Theta(n^8)$   $\Theta(n^9)$   $\Theta(n^{10})$   $\Theta(n^{11})$

**Each numeric multiplication is  $n^2$ . A single matrix multiplication requires  $n^3$  of those, and there are  $n-1$  matrix multiplications.**

Partial credit: : 2 points if you said  $n^5$  or  $n^7$ .

**Interesting note:** This is the only problem that I re-used, unchanged, from the Fall 2008 Exam 1 that I emailed to everyone out on Monday.

Surprisingly, of all the problems on the exam, the one you got to see beforehand is the one whose overall percentage score was lowest.



## Questions on any other problems?



Subsets of a set

## BACK TO GENERATION OF COMBINATORIAL OBJECTS



## Generate All Subsets of a Set

- Sample Application:
  - Solve the knapsack problem
  - In the brute force approach, we try all subsets
- If  $A$  is a set, the set of all subsets is called the **power set** of  $A$ , and often denoted  $2^A$
- If  $A$  is finite, then  $|2^A| = 2^{|A|}$
- Thus we know how many subsets we need to generate.



## Generate all Subsets of $\{a_0, \dots, a_{n-1}\}$

- Decrease by one:
- Generate  $S_{n-1}$ , the collection of the  $2^{n-1}$  subsets of  $\{a_0, \dots, a_{n-2}\}$
- Then  $S_n = S_{n-1} \cup \{s \cup \{a_{n-1}\} : s \in S_{n-1}\}$
- You'll do details of this in the homework.
- Another approach:
  - Each subset of  $\{a_0, \dots, a_{n-1}\}$  corresponds to a bit string of length  $n$ , where the  $i^{\text{th}}$  bit is 1 iff  $a_i$  is in the subset



## Another approach:

- Each subset of  $\{a_0, \dots, a_{n-1}\}$  corresponds to an bit string of length  $n$ , where the  $i^{\text{th}}$  bit is 1 if and only if  $a_i$  is in the subset

```
def allSubsets(s):  
    n = len(s)  
    subsets=[]  
    for i in range(2**n):  
        subset = []  
        current = i  
        for j in range(n):  
            if current % 2 == 1:  
                subset += [s[j]]  
            current /= 2  
        subsets += [subset]  
    return subsets
```

Output:

```
[[], [0], [1],  
[0, 1], [2],  
[0, 2],  
[1, 2],  
[0, 1, 2]]
```



## Gray Code

- Named for Frank Gray
- An ordering of the  $2^n$  n-bit binary codes such that any two consecutive codes differ in only one bit
- Example:  
000, 010, 011, 001, 101, 111, 110, 100
- Note also that only one bit changes between the last code and the first code.
- A Gray code can be represented by its **transition sequence**: which bit changes each time  
**In above example:** 1, 0, 1, 2, 1, 0, 1
- In terms of subsets, the transition sequence tells which element to add or remove from one subset to get the next subset



Q1

## Generating a Transition Sequence

- Binary Reflected (Gray) Code
- $T_1 = 0$
- $T_{n+1} = T_n, n, T_n^{\text{reversed}}$
- What are  $T_2, T_3, T_4$
- Show that  $T_n$  is always a palindrome and that  
 $T_{n+1} = T_n, n, T_n$

Q2 should say, "Show the transition sequence for ..."



Q2-3