

# MA/CSSE 473

## Day 10

Primality Testing



### MA/CSSE 473 Day 10

- **A place to read about modular arithmetic,** including exponentiation and inverse:  
Weiss Sections 7.4-7.4.4
- **In-class exam:** Tuesday, October 5
  - You may bring your textbook, plus a two-sided 8.5x11 inch piece of paper containing anything that you can read unaided or with normal eyeglasses.
- **Student Questions**
- Primality Testing, Carmichael numbers



## Recap: Easy Primality Test?

- Is  $N$  prime?
- Pick some  $a$  with  $1 < a < N$
- Is  $a^{N-1} \equiv 1 \pmod{N}$ ? If not,  $N$  is composite.
- But, it is possible that  $N$  may not be prime, but we might just happen to pick an  $a$  for which  $a^{N-1} \equiv 1 \pmod{N}$ 
  - **Example:** 341 is not prime (it is  $11 \cdot 31$ ), but  $2^{340} \equiv 1 \pmod{341}$
- **Definition:** We say that a number  $a$  **passes the Fermat test** iff  $a^{N-1} \equiv 1 \pmod{N}$
- If any integer that is relatively prime to  $N$  fails the test, then at least half of the numbers  $a$  such that  $1 \leq a < N$  also fail it.

"composite"  
means  
"not prime"



Q1

## Where are we now?

- For a moment, we pretend that Carmichael numbers do not exist.
- If  $N$  is prime,  $a^{N-1} \equiv 1 \pmod{N}$  for all  $0 < a < N$
- If  $N$  is not prime, then  $a^{N-1} \equiv 1 \pmod{N}$  for at most half of the values of  $a < N$ .
- $\Pr(\text{algorithm returns true if } N \text{ is prime}) = 1$   
 $\Pr(\text{algorithm returns true if } N \text{ is composite}) \leq \frac{1}{2}$
- How to reduce the likelihood of error?



Q2

## Carmichael Numbers

- A Carmichael N number is a composite number that passes the Fermat test for all  $a$  with  $1 \leq a < N$  and  $\gcd(a, N)=1$ .
  - The smallest Carmichael number is 561
  - We'll see later how to deal with those
  - How rare are they? Let  $C(X)$  = number of Carmichael numbers that are less than  $X$ .

$n$	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
$C(10^n)$	1	7	16	43	105	255	646	1547	3605	8241	19279	44706	105212	246683	585355	1401644	3381806	8220777

- For now, we pretend that we live in a Carmichael-free world



## The algorithm (modified)

- To test  $N$  for primality
  - Pick positive integers  $a_1, a_2, \dots, a_k < N$  at random
  - For each  $a_i$ , check for  $a_i^{N-1} \equiv 1 \pmod{N}$ 
    - Use the Miller-Rabin approach, (next slides) so that Carmichael numbers are unlikely to thwart us.
    - If  $a_i^{N-1}$  is not congruent to 1 (mod  $N$ ), or Miller-Rabin test produces a non-trivial square root of 1 (mod  $N$ )
      - return false
  - return true



## Miller-Rabin test

- A Carmichael N number is a composite number that passes the Fermat test for all  $a$  with  $1 \leq a < N$  and  $\gcd(a, N)=1$ .
- **A way around the problem (Rabin and Miller):**  
Note that for some  $t$  and  $u$  ( $u$  is odd),  $N-1 = 2^t u$ .
- As before, compute  $a^{N-1} \pmod{N}$ , but do it this way:
  - Calculate  $a^u \pmod{N}$ , then repeatedly square, to get the sequence  
 $a^u \pmod{N}, a^{2u} \pmod{N}, \dots, a^{2^t u} \pmod{N} \equiv a^{N-1} \pmod{N}$
- Suppose that at some point,  $a^{2^i u} \equiv 1 \pmod{N}$ , but  $a^{2^{i-1} u}$  is not congruent to 1 or to  $N-1 \pmod{N}$ .
  - then we have found a nontrivial square root of 1  $\pmod{N}$ .
  - We will show that if 1 has a nontrivial square root  $\pmod{N}$ , then  $N$  cannot be prime.



## Example (first Carmichael number)

- $N = 561$ . We might randomly select  $a = 101$ .
  - Then  $560 = 2^4 \cdot 35$ , so  $u=35, t=4$
  - $a^u \equiv 101^{35} \equiv 560 \pmod{561}$  which is  $-1 \pmod{561}$   
(we can stop here)
  - $a^{2u} \equiv 101^{70} \equiv 1 \pmod{561}$
  - ...
  - $a^{16u} \equiv 101^{560} \equiv 1 \pmod{561}$
  - So 101 is not a witness that 561 is composite (we say that 101 is a *liar for 561*, if indeed 561 is composite)
- Try  $a = 83$ 
  - $a^u \equiv 83^{35} \equiv 230 \pmod{561}$
  - $a^{2u} \equiv 83^{70} \equiv 166 \pmod{561}$
  - $a^{4u} \equiv 83^{140} \equiv 67 \pmod{561}$
  - $a^{8u} \equiv 83^{280} \equiv 1 \pmod{561}$
  - So 83 is a witness that 561 is composite, because 67 is a non-trivial square root of 1  $\pmod{561}$ .



## Lemma: Modular Square Roots of 1

- If  $s$  is neither 1 or  $-1 \pmod{N}$ , but  $s^2 \equiv 1 \pmod{N}$ , then  $N$  is not prime
- **Proof** (by contrapositive):
  - Suppose that  $N$  is prime and  $s^2 \equiv 1 \pmod{N}$
  - $s^2 - 1 \equiv 0 \pmod{N}$  [subtract 1 from both sides]
  - $(s - 1)(s + 1) \equiv 0 \pmod{N}$  [factor]
  - So  $N$  divides  $(s - 1)(s + 1)$  [def of congruence]
  - Since  $N$  is prime,  $N$  divides  $(s - 1)$  or  $N$  divides  $(s + 1)$  [def of prime]
  - $S$  is congruent to either 1 or  $-1 \pmod{N}$  [def of congruence]
- This proves the lemma, which validates the Miller-Rabin test



## Accuracy of the Primality Test

- Rabin\* showed that if  $N$  is composite, this test will demonstrate its non-primality for at least  $\frac{3}{4}$  of the numbers  $a$  that are in the range  $1 \dots N-1$ , even if  $a$  is a Carmichael number.
- Note that  $\frac{3}{4}$  is the worst case; randomly-chosen composite numbers have a much higher percentage of witnesses to their non-primeness.
- If we test several values of  $a$ , we have a very low chance of flagging a composite number as prime.

\*Journal of Number Theory 12 (1980) no. 1, pp 128-138



## Efficiency of the Test

- Testing an  $n$ -bit number is  $\Theta(n^3)$
- If we use the fastest-known integer multiplication techniques (based on Fast Fourier Transforms), this can be pushed to  $\Theta(n^2 * \log n * \log \log n)$



## Testing "small" numbers

- **Wikipedia article on the Miller-Rabin primality test:**
- When the number  $N$  we want to test is small, smaller fixed sets of potential witnesses are known to suffice. For example, Jaeschke has verified that
  - if  $N < 9,080,191$ , it is sufficient to test  $a = 31$  and  $73$
  - if  $N < 4,759,123,141$ , it is sufficient to test  $a = 2, 7,$  and  $61$
  - if  $N < 2,152,302,898,747$ , it is sufficient to test  $a = 2, 3, 5, 7, 11$
  - if  $N < 3,474,749,660,383$ , it is sufficient to test  $a = 2, 3, 5, 7, 11, 13$
  - if  $N < 341,550,071,728,321$ , it is sufficient to test  $a = 2, 3, 5, 7, 11, 13, 17$ .



## Generating Random Primes

- For cryptography, we want to be able to quickly generate random prime numbers with a large number of bits
- Are prime numbers abundant among all integers? Fortunately, yes
- Lagrange's prime number theorem
  - Let  $\pi(N)$  be the number of primes that are  $\leq N$ , then  $\pi(N) \approx N / \ln N$ .
  - Thus the probability that an  $n$ -bit number is prime is approximately  $(2^n / \ln(2^n)) / 2^n \approx 1.44/n$



## Random Prime Algorithm

- To generate a random  $n$ -bit prime:
  - Pick a random  $n$ -bit number  $N$
  - Run a primality test on  $N$
  - If it passes, output  $N$
  - Else repeat the process
  - Expected number of iterations is  $\Theta(n)$

