

Compression algorithm: Huffman encoding

- Named for David Huffman
 - http://en.wikipedia.org/wiki/David A. Huffman
 - Invented while he was a graduate student at MIT.
 - Huffman never tried to patent an invention from his work. Instead, he concentrated his efforts on education.
 - In Huffman's own words, "My products are my students."
- Principles of variable-length character codes:
 - Less-frequent characters have longer codes
 - No code can be a prefix of another code
- We build a tree (based on character frequencies) that can be used to encode and decode messages

Variable-length Codes for Characters

- Assume that we have some routines for packing sequences of bits into bytes and writing them to a file, and for unpacking bytes into bits when reading the file
 - Weiss has a very clever approach:
 - BitOutputStream and BitInputStream
 - methods writeBit and readBit allow us to logically read or write a bit at a time



A Huffman code: HelloGoodbye message C:\Personal\Courses\CS-230\java-source>type HelloGoodbyeOneLine YOU SAY GOODBYE. I SAY HELLO. HELLO. I DON'T KNOW WHY YOU SAY GOODBYE, I SAY HELLO. C:\Personal\Courses\CS-230\java-source>java HuffmanDS <HelloGoodbyeOneLine Encoding of is 00 (frequency was 17, length of code is 2) Encoding of is 0100 (frequency was 4, length of code is 4) Encoding of H is 0101 (frequency was 5, length of code is 4) Encoding of Y is 011 (frequency was 9, length of code is 3) Encoding of Y is 1000000 (frequency was 1, length of code is 6) Encoding of T is 1000010 (frequency was 1, length of code is 7) Encoding of Y is 1000011 (frequency was 1, length of code is 7) Encoding of D is 10001 (frequency was 3, length of code is 5) Encoding of D is 1001 (frequency was 6, length of code is 4) Encoding of D is 11000 (frequency was 2, length of code is 5) Encoding of B is 11001 (frequency was 2, length of code is 5) Encoding of B is 11001 (frequency was 4, length of code is 6) Encoding of S is 11010 (frequency was 4, length of code is 5) Encoding of S is 11010 (frequency was 4, length of code is 6) Encoding of S is 111010 (frequency was 2, length of code is 6) Encoding of W is 111000 (frequency was 2, length of code is 6) Encoding of W is 111000 (frequency was 2, length of code is 6) Encoding of W is 111011 (frequency was 2, length of code is 6) Encoding of W is 111011 (frequency was 2, length of code is 6) Encoding of W is 111011 (frequency was 2, length of code is 6) Encoding of W is 1111011 (frequency was 2, length of code is 6) Encoding of W is 1111011 (frequency was 8, length of code is 6) Encoding of W is 111011 (frequency was 8, length of code is 6) Encoding of W is 1111011 (frequency was 8, length of code is 6) Encoding of W is 1111010 (frequency was 8, length of code is 6) Encoding of W is 1111010 (frequency was 8, length of code is 6) Encoding of W is 1111010 (frequency was 8, length of code is 6) Encoding of W is 1111010 (frequency was 8, length of code is 6) Encoding of W is 1111010 (freque

Build the tree for a smaller message

I 1

Start with a separate tree for each

N 2 character (in a priority queue)

0 3

•Repeatedly merge the two lowest

(total) frequency trees and insert new

E 8 tree back into priority queue

•Use the Huffman tree to encode NATION.

Huffman codes are provably optimal among all single-character codes



What About the Code Table?

- When we send a message, the code table can basically be just the list of characters and frequencies
 - Why?
- Three or four bytes per character
 - The character itself.
 - The frequency count.
- End of table signaled by 0 for char and count.
- Tree can be reconstructed from this table.
- The rest of the file is the compressed message

Huffman Java Code Overview

- This code provides human-readable output to help us understand the Huffman algorithm.
- We will deal with Huffman at the abstract level; "real" code to do actual file compression is found in Weiss chapter 12.
- I am confident that you can figure out the other details if you need them.
- Based on code written by Duane Bailey, in his book JavaStructures.
- A great thing about this example is the use of various data structures (Binary Tree, Hash Table, Priority Queue).

I do not want to get caught up in lots of code details in class, so I will give a quick overview; you should read details of the code on your own.

Some Classes used by Huffman

- **Leaf:** Represents a leaf node in a Huffman tree.
 - Contains the character and a count of how many times it occurs in the text.
- HuffmanTree: Each node contains the total weight of all characters in the tree, and either a leaf node or a binary node with two subtrees that are Huffman trees.
 - The contents field of a non-leaf node is never used; we only need the total weight.
 - compareTo returns its result based on comparing the total weights of the trees.



Classes used by Huffman, part 2

- Huffman: Contains main The algorithm:
 - Count character frequencies and build a list of Leaf nodes containing the characters and their frequencies
 - Use these nodes to build a sorted list (treated like a priority queue) of single-character Huffman trees
 - do
 - Take two smallest (in terms of total weight) trees from the sorted list
 - Combine these nodes into a new tree whose total weight is the sum of the weights of the new tree
 - Put this new tree into the sorted list

while there is more than one tree left

The one remaining tree will be an optimal tree for the entire message



Leaf node class for Huffman Tree

```
class Leaf { // Leaf node of a Huffman tree.

char ch; // the character represented by this node
int frequency; // frequency of char in the message.

public Leaf(char c, int freq) {
    ch = c;
    frequency = freq;
}
```

The code on this slide (and the next four slides) produces the output shown on the A Huffman code: HelloGoodbye message slide.



Highlights of the HuffmanTree class class HuffmanTree implements Comparable<HuffmanTree> { BinaryNode root; // root of tree int totalWeight; // weight of tree static int totalBitsNeeded; // bits needed to represent entire message // (not including code table). public HuffmanTree(Leaf e) { root = new BinaryNode(e, null, null); totalWeight = e.frequency; public HuffmanTree(HuffmanTree left, HuffmanTree right) { // pre: left and right non-null // post: merge two trees together and add their weights this.totalWeight = left.totalWeight + right.totalWeight; root = new BinaryNode(null, left.root, right.root); public int compareTo(HuffmanTree other) { return (this.totalWeight - other.totalWeight);

Printing a HuffmanTree public void print() { // post: print out strings associated with characters in tree totalBitsNeeded = 0; print(this.root, ""); System.out.println("Total bits required for message: " + totalBitsNeeded); protected static void print(BinaryNode r, String representation) { // post: print out strings associated with chars in tree r, prefixed by representation if (r.getLeft() != null) { // interior node print(r.getLeft(), representation + "0"); // append a 0 print(r.getRight(), representation + "1"); // append a 1 } else { // leaf; print encoding Leaf e = (Leaf) r.getElement(); System.out.println("Encoding of " + e.ch + " is " + representation + " (frequency was " + e.frequency + ", length of code is " + representation.length() + ")"); totalBitsNeeded += (e.frequency * representation.length()); }

Highlights of Huffman class part 1

```
import java.util.HashMap;
import java.util.Scanner;
import java.util.PriorityQueue;
public class Huffman {
    public static void main(String args[]) throws Exception {
        Scanner sc = new Scanner(System.in);
        HashMap<Character, Integer> freq = new HashMap<Character, Integer>();
        String oneLine; // current input line.
        // First read the data and count characters
        // Go through the input line, one character at a time.
        System.out.println("Message to be encoded (CTRL-Z to end):");
        while (sc.hasNext()) {
            oneLine = sc.next();
            for (int i = 0; i < oneLine.length(); i++) {</pre>
                char c = oneLine.charAt(i);
                if (freq.containsKey(c))
                    freq.put(c, freq.get(c) + 1);
                    // first time we've seen c
                    freq.put(c, 1);
            }
        }
```

Remainder of the main() method

```
// Now the table of frequencies of each character is complete.
    // insert each character into a single-node Huffman tree
    PriorityQueue<HuffmanTree> treeQueue = new PriorityQueue<HuffmanTree>();
    for (char c : freq.keySet())
        treeQueue.add(new HuffmanTree(new Leaf(c, freq.get(c))));
    HuffmanTree smallest, secondSmallest;
    // merge trees in pairs until only one tree remains
    while (true) {
        smallest = treeQueue.poll();
        secondSmallest = treeQueue.poll();
        if (secondSmallest == null)
        // add bigger tree containing both to the sorted list.
        treeQueue.add(new HuffmanTree(smallest, secondSmallest));
    // print the only tree that is left.
    smallest.print();
}
```

Summary

- The Huffman code is provably optimal among all single-character codes for a given message.
- Going farther:
 - Look for frequently occurring sequences of characters and make codes for them as well.
- Compression for specialized data (such as sound, pictures, video).
 - Okay to be "lossy" as long as a person seeing/hearing the decoded version can barely see/hear the difference.



Kruskal and Prim

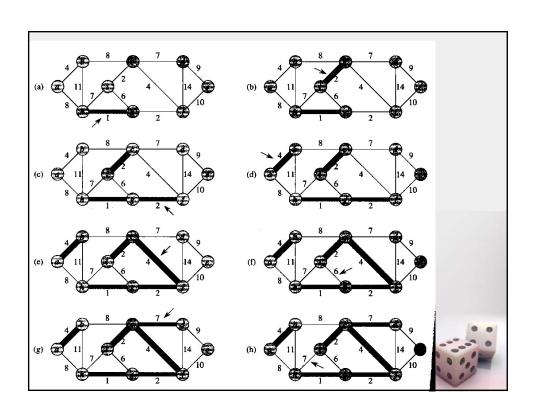
ALGORITHMS FOR FINDING A

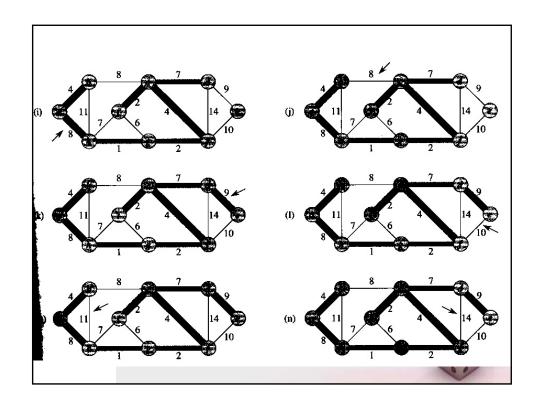
MINIMAL SPANNING TREE

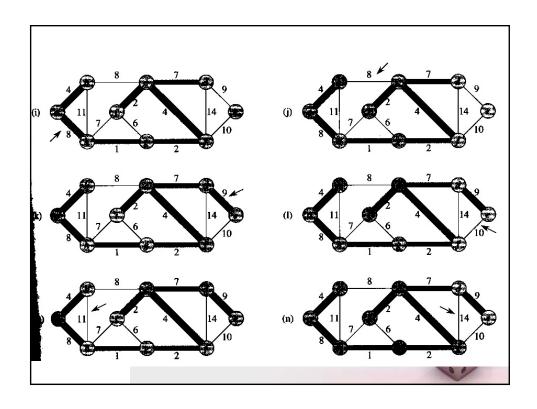
Kruskal's algorithm

- A greedy algorithm.
- To find a MST (minimal Spanning Tree):
- Start with a graph T containing all of G's n vertices and none of its edges.
- for i = 1 to n 1:
 - Among all of G's edges that can be added without creating a cycle, add to T an edge that has minimal weight.
 - Details of Data Structures for Kruskal later





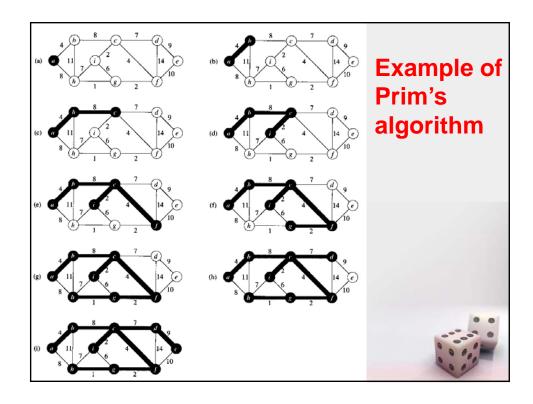




Prim's algorithm

- Start with T as a single vertex of G (which is a MST for a single-node graph).
- for i = 1 to n 1:
 - Among all edges of G that connect a vertex in T to a vertex that is not yet in T, add a minimum-weight edge (and the vertex at the other end of T).
 - Details of Data Structures later





Next steps ...

- These algorithms seem simple enough, but do they really produce a MST?
- We begin with a lemma that is the crux of both proofs.
- Then we see how to represent the data so we can calculate it efficiency



MST lemma

- Let G be a weighted connected graph,
- let T be any MST of G,
- let G' be any subgraph of T, and
- let C be any connected component of G'.
- Then:
 - If we add to C an edge e=(v,w) that has minimum-weight among all edges that have one vertex in C and the other vertex not in C,
 - G has an MST that contains the union of G' and e.

[WLOG, v is the vertex of e that is in C, and w is not in C]

Summary: If G' is a subgraph of an MST, so is $G' \cup \{e\}$