

Relational Model and Relational Algebra

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Administrative Notes

- Grading Weights
- Schedule Updated



Review – ER Design Techniques

- ❑ Avoid redundancy and don't duplicate data
- ❑ Don't use entity set when attribute will do
- ❑ Limit use of weak entity sets



Review – Relations

- Formally
 - Tuple: an ordered list
 - Each value drawn from some domain
 - n -tuple: an ordered list of length n
 - Relation: a **set** of n -tuples
- Informally:
 - Relation: a table with unique rows
 - Rows = tuples; Columns = attributes;
 - Values in column = domain
- *Database*: a collection of relations



Review – Schemas

- Relation schema
 - Describes a relation
 - *RelationName (AttrName1, AttrName2, ...)*
 - Or *RelationName (AttrName1:type1, ...)*
- Database schema
 - Set of all the relation schema for the DB's relations



Review – Converting ER Diagrams

- Entity sets become relations
 - Columns are attributes of entity set
- Relationships also become relations
 - Columns are keys of participating entity sets
- Can avoid relations for many-one relationships
 - Add key of the one to the relation of the many



Relational Model

- Structure – sets of n -tuples
- Basic Operations – the relational algebra
 - Set Union, Intersection, and Difference
 - Selection
 - Projection
 - Join



More On Structure

- Let $R(A1, A2, \dots, An)$ be a relation schema
- For each tuple of the relation R ...
 - Its i th element comes from **domain** of A_i
- Write “ $r(R)$ ” for a **value** of R
 - $r(R) \subseteq \text{dom}(A1) \times \text{dom}(A2) \times \dots \times \text{dom}(An)$
- Write $t \in r(R)$ for a tuple in R
- Write $t[K]$ for subtuple of t ,
where K is a set of attribute names



Relational Integrity Constraints

- Conditions that must hold for a relation instance to be **valid**
- Two main types
 - Entity Integrity
 - Referential Integrity
- Need a few more terms before we can define these...



Keys, Formally

- Some terms:
 - **Superkey** of R : set of attributes SK of R such that no two tuples in any valid instance $r(R)$ have the same value for SK
 - **Key** of R : a minimal superkey K
 - Remove any attribute from K and it's no longer a superkey
 - **Candidate key**: any one of several keys
 - **Primary key**: the chosen key, PK , for the relation



Entity Integrity Defined

- Let DB be a database schema
 - $DB = \{R1, R2, \dots, Rn\}$
 - Where each Ri is a relation schema
- **Entity integrity:** for every tuple t in every relation Ri of DB , $t[PKi] \neq \text{null}$, where PKi is the primary key of Ri
 - Primary keys can't be null!

Foreign Keys

- Specify **relationship** between tuples in different relations
- Referencing relation, $R1$, has foreign key attributes FK
- Referenced relation, $R2$, has primary key attributes PK
- For $t1 \in R1$, $t1[FK] = t2[PK]$ for some $t2 \in R2$
- Shown with arrows...





Example – Foreign Keys

- Easy to identify foreign keys when converting from ER Diagram, they encode relationships
- Can also find them in relation schemas



Referential Integrity Defined

- The value of the foreign key of a referencing relation can be either:
 - the value of an existing primary key in the referenced relation, **or**
 - null



Relational Model

- Structure – sets of n -tuples satisfying
 - Entity Integrity
 - Referential Integrity
- Basic Operations – the relational algebra
 - Set Union, Intersection, and Difference
 - Selection
 - Projection
 - Join



What is an “Algebra”?

- Name from Muhammad ibn Musa al-Khwarizmi’s (780–850) book *al-jabr*
 - About arithmetic of variables
- An Algebra includes
 - Operands – variables or values
 - Operators – symbols denoting operations



Relational Algebra

- A formal model for SQL
- Operands
 - Relations
 - Variables
- Operators
 - Formal analogues of DB operations



Basic Set Operators

- Intersection
 - $R1 \cap R2$
 - All tuples that are in both $R1$ and $R2$
- Union
 - $R1 \cup R2$
 - Any tuple that is in either $R1$ or $R2$ (or both)
- Difference
 - $R1 \setminus R2$
 - All tuples that are in $R1$ but are not in $R2$
- $R1$ and $R2$ must be **compatible** – attribute types match



Selection, σ

- **For picking rows** out of a relation
- $R1 \leftarrow \sigma_C(R2)$
 - C is a boolean condition
 - $R1$ and $R2$ are relations
 - $R1$ **gets** every tuple of $R2$ that satisfies C
- Selection is commutative
 - $\sigma_{C1}(\sigma_{C2}(R2)) = \sigma_{C2}(\sigma_{C1}(R2)) = \sigma_{C1 \wedge C2}(R2)$

Projection, π

- **For picking columns** out of a relation
- $R1 \leftarrow \pi_L(R2)$
 - L is a list of attribute names from $R2$'s schema
 - $R1$ and $R2$ are relations
 - Attributes of $R1$ are given by L
 - $R1$ **gets** every tuple of $R2$ but just attributes from L
- Is Projection commutative?
 - $\pi_{L1}(\pi_{L2}(R2)) =? \pi_{L2}(\pi_{L1}(R2))$



Product

- **Combining tables** *without* matching
- $R \leftarrow R1 \times R2$
 - $R1$ and $R2$ are relations
 - Pair every tuple from $R1$ with every tuple from $R2$
 - R gets every attribute of $R1$ and every attribute of $R2$
 - Can use $R1.A$ naming convention to avoid collisions
- If $R1$ has 10 rows and $R2$ has 42, how many in R ?

Theta-Join

- **Combining tables** *with* matching
- $R \leftarrow R1 \bowtie_C R2$
 - $R1$ and $R2$ are relations
 - C is a boolean expression over attributes of $R1$ and $R2$
 - Pair every tuple from $R1$ with every tuple from $R2$ **where C is true**
 - R gets every attribute of $R1$ and every attribute of $R2$
 - $R1 \bowtie_C R2 = \sigma_C(R1 \times R2)$
- If $R1$ has 10 rows and $R2$ has 42, how many in R ?



Equijoin

- A theta-join using an equality comparison
- Really just a θ word, but you might see it



Natural Join

- Joins two relations by:
 - Equating attributes of the same name
 - Projecting out one copy of each shared attribute
- $R \leftarrow R1 * R2$



Dangling Tuple Problem

- Suppose DEPT_LOCATION had no entry for Houston
- Consider:
 - $R \leftarrow DEPARTMENT * DEPT_LOCATIONS$
- What happens to Headquarters?



Outer Joins

- Solve the *dangling tuple* problem
- If a tuple would be dropped by the join, then include it and use null for the other attributes
- Shown as a bow tie with “wings”
 - Wings point to relation whose tuples **must** appear

Renaming

- $\rho_{R1(A1, \dots, An)}(R2)$
 - Rename $R2$ to $R1$
 - Rename attributes to $A1, \dots, An$
- Usually just play fast and loose:
 - $R1 \leftarrow \rho_{A1, \dots, An}(R2)$, or
 - $R1(A1, \dots, An) \leftarrow R2$



Combining Expressions

□ Nesting:

■ $R \leftarrow \pi_L(\sigma_C(R1 \times R2))$

■ Work inside out like you're used to

□ Sequencing:

■ $Rc \leftarrow R1 \times R2$

$R_s \leftarrow \sigma_C(Rc)$

$R \leftarrow \pi_L(R_s)$



Homework Problem 6.18

- Parts a–d and g
- Begin in class, may work in groups of 2–3
 - Please note your partners on the sheet