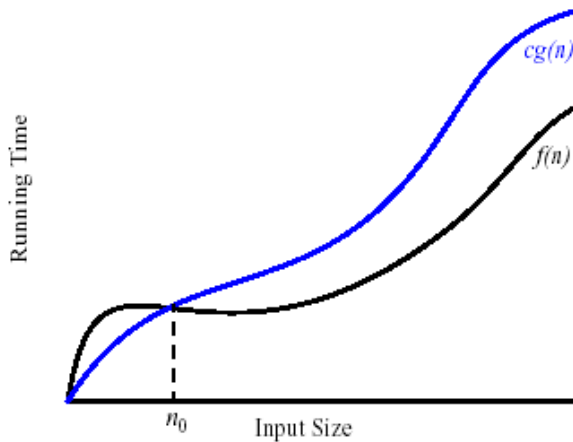


# CSSE 230 Day 2

Growable Arrays Continued  
Big-Oh and its cousins



Submit Growable Array exercise  
Answer Q1-3 from today's in-class quiz.

# Agenda and goals

- ▶ Finish course intro
- ▶ Growable Array recap
- ▶ Big-Oh and cousins
  
- ▶ After today, you'll be able to
  - Use the term *amortized* appropriately in analysis
  - explain the meaning of big-Oh, big-Omega ( $\Omega$ ), and big-Theta ( $\theta$ )
  - apply the definition of big-Oh to prove runtimes of functions

# Announcements and FAQ

- ▶ You will not usually need the textbook in class
- ▶ All should do piazza introduction post (a few students left)

# You must demonstrate programming competence on exams to succeed

- ▶ See syllabus for exam weighting and caveats.
- ▶ Think of every program you write as a practice test
  - Especially HW4 and test 2a

# Warm Up and Stretching thoughts

- Short but intense! ~45 lines of code total in our solutions to all but Adder
- Be sure to read the description of how it will be graded. Note how style will be graded.
- **Demo:** Running the JUnit tests for test, file, package, and project

**Demo:** Run the Adder program

# Questions?

- ▶ About Homework 1?
  - Aim to complete tonight, since it is due after next class
  - It is substantial
  - The last problem (the table) is worth lots of points!
- ▶ About the Syllabus?

# Homework 1 help

How many times does `sum++` run?

```
for (i = 4; i < n; i++)  
    for (j = 0; j <= n; j++)  
        sum++;
```

Why is this one so easy? (does the inner loop depend on outer loop?)

What if inner were `(j = 0; j <= i; j++)`?

# Homework 1 help

How many times does `sum++` run?

```
for (i = 1; i <= n; i *= 2)
    sum++;
```

Be precise, using floor/ceiling as needed, to get full credit.



# Growable Arrays Exercise

Daring to double

# Growable Arrays Table

<b>N</b>	<b><math>E_N</math></b>	<b>Answers for problem 2</b>
4	0	0
5	0	0
6	5	5
7	5	$5 + 6 = 11$
10	5	$5 + 6 + 7 + 8 + 9 = 35$
11	$5 + 10 = 15$	$5 + 6 + 7 + 8 + 9 + 10 = 45$
20	15	$\text{sum}(i, i=5..19) = 180$ <b>using Maple</b>
21	$5 + 10 + 20 = 35$	$\text{sum}(i, i=5..20) = 200$
40	35	$\text{sum}(i, i=5..39) = 770$
41	$5 + 10 + 20 + 40 = 75$	$\text{sum}(i, i=5..40) = 810$

# Doubling the Size

- ▶ Doubling each time:
  - Assume that  $N = 5(2^k) + 1$ .
- ▶ Total # of array elements copied:

k	N	#copies
0	6	5
1	11	$5 + 10 = 15$
2	21	$5 + 10 + 20 = 35$
3	41	$5 + 10 + 20 + 40 = 75$
4	81	$5 + 10 + 20 + 40 + 80 = 155$
k	$= 5(2^k) + 1$	$5(1 + 2 + 4 + 8 + \dots + 2^k)$

Express as a closed-form expression in terms of K, then express in terms of N

# Doubling the Size (solution)

- ▶ Assume that  $N = 5(2^k) + 1$ .
- ▶ Total # of array elements copied  
=  $5(1 + 2 + 4 + 8 + \dots + 2^k)$
- ▶ Do in terms of  $k$ , then in terms of  $N$

# Adding One Each Time

- ▶ Total # of array elements copied:

N	#copies
6	5
7	5 + 6
8	5 + 6 + 7
9	5 + 6 + 7 + 8
10	5 + 6 + 7 + 8 + 9
N	???

Express as a closed-form  
expression in terms of N

# Conclusions

- ▶ What's the **amortized** cost of adding an additional string...
  - in the doubling case?
  - in the add-one case?

Amortized cost means the “average per-operation cost” while adding to a single `GrowableArray` over time.

- ▶ So which should we use?

# Logarithm review

# Review these as needed

- Logarithms and Exponents

- properties of **logarithms**:

$$\log_b(xy) = \log_b x + \log_b y$$

$$\log_b(x/y) = \log_b x - \log_b y$$

$$\log_b x^\alpha = \alpha \log_b x$$

$$\log_b x = \frac{\log_a x}{\log_a b}$$

- properties of **exponentials**:

$$a^{(b+c)} = a^b a^c$$

$$a^{bc} = (a^b)^c$$

$$a^b / a^c = a^{(b-c)}$$

$$b = a^{\log_a b}$$

$$b^c = a^{c \cdot \log_a b}$$



# Practice with exponentials and logs

(Do these with a friend after class, not to turn in)

**Simplify:** Note that  $\log n$  (without a specified) base means  $\log_2 n$ . Also,  $\log n$  is an abbreviation for  $\log(n)$ .

1.  $\log(2n \log n)$

2.  $\log(n/2)$

3.  $\log(\sqrt{n})$

4.  $\log(\log(\sqrt{n}))$

5.  $\log_4 n$

6.  $2^{2 \log n}$

7. if  $n=2^{3k} - 1$ , solve for  $k$ .

Where do logs come from in algorithm analysis?

# Solutions

No peeking!

**Simplify:** Note that  $\log n$  (without a specified) base means  $\log_2 n$ .  
Also,  $\log n$  is an abbreviation for  $\log(n)$ .

1.  $1 + \log n + \log \log n$

2.  $\log n - 1$

3.  $\frac{1}{2} \log n$

4.  $-1 + \log \log n$

5.  $(\log n) / 2$

6.  $n^2$

7.  $n+1=2^{3k}$

$$\log(n+1)=3k$$

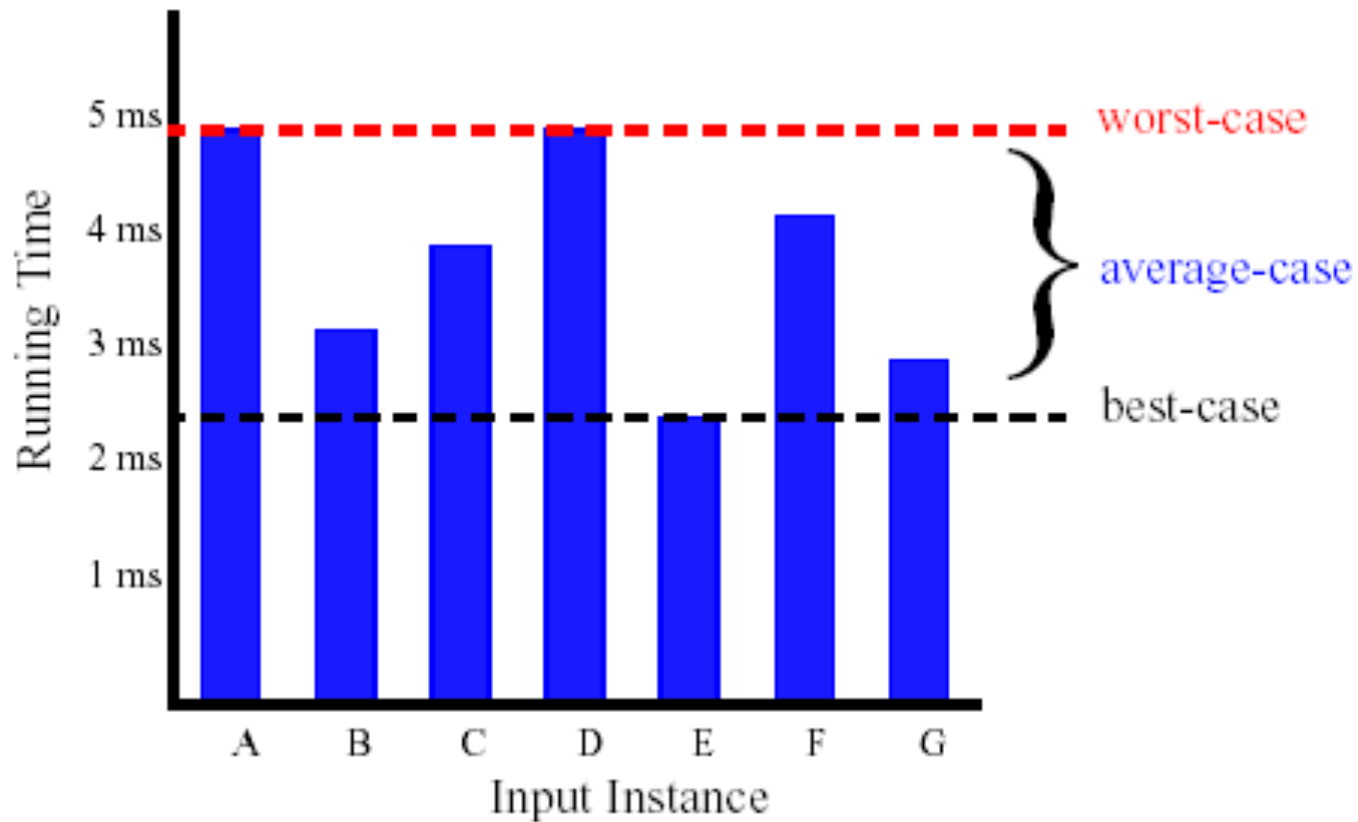
$$k = \log(n+1)/3$$

A: Any time we cut things in half at each step  
(like binary search or mergesort)

# Running Times

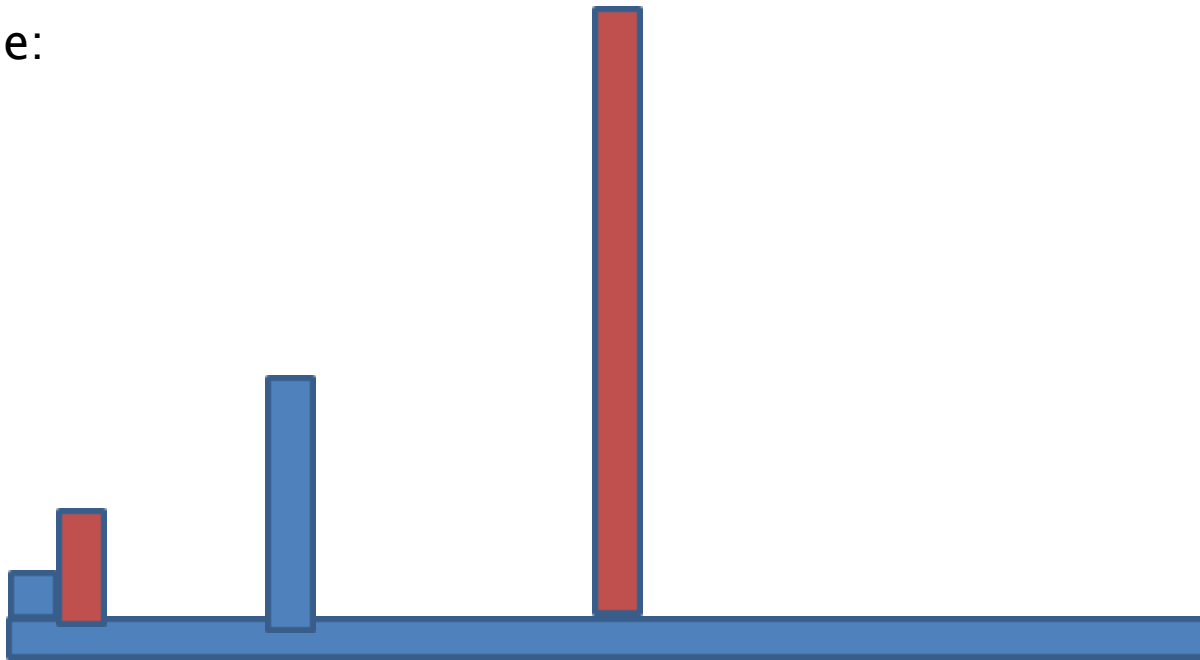
- ▶ Algorithms may have different *time complexity* on different data sets
- ▶ What do we mean by "Worst Case"?
- ▶ What do we mean by "Average Case"?
- ▶ What are some application domains where knowing the Worst Case time complexity would be important?
- ▶ <http://cacm.acm.org/magazines/2013/2/160173-the-tail-at-scale/fulltext>

# Average Case and Worst Case



# Worst-case vs amortized cost for adding an element to an array using the doubling scheme

Worst-case:  
 $O(n)$



amortized:  
 $O(1)$



Note: average case means averaged over *inputs*, amortized cost means averaged over *time*.

# Asymptotics: The “Big” Three

Big-Oh

Big-Omega

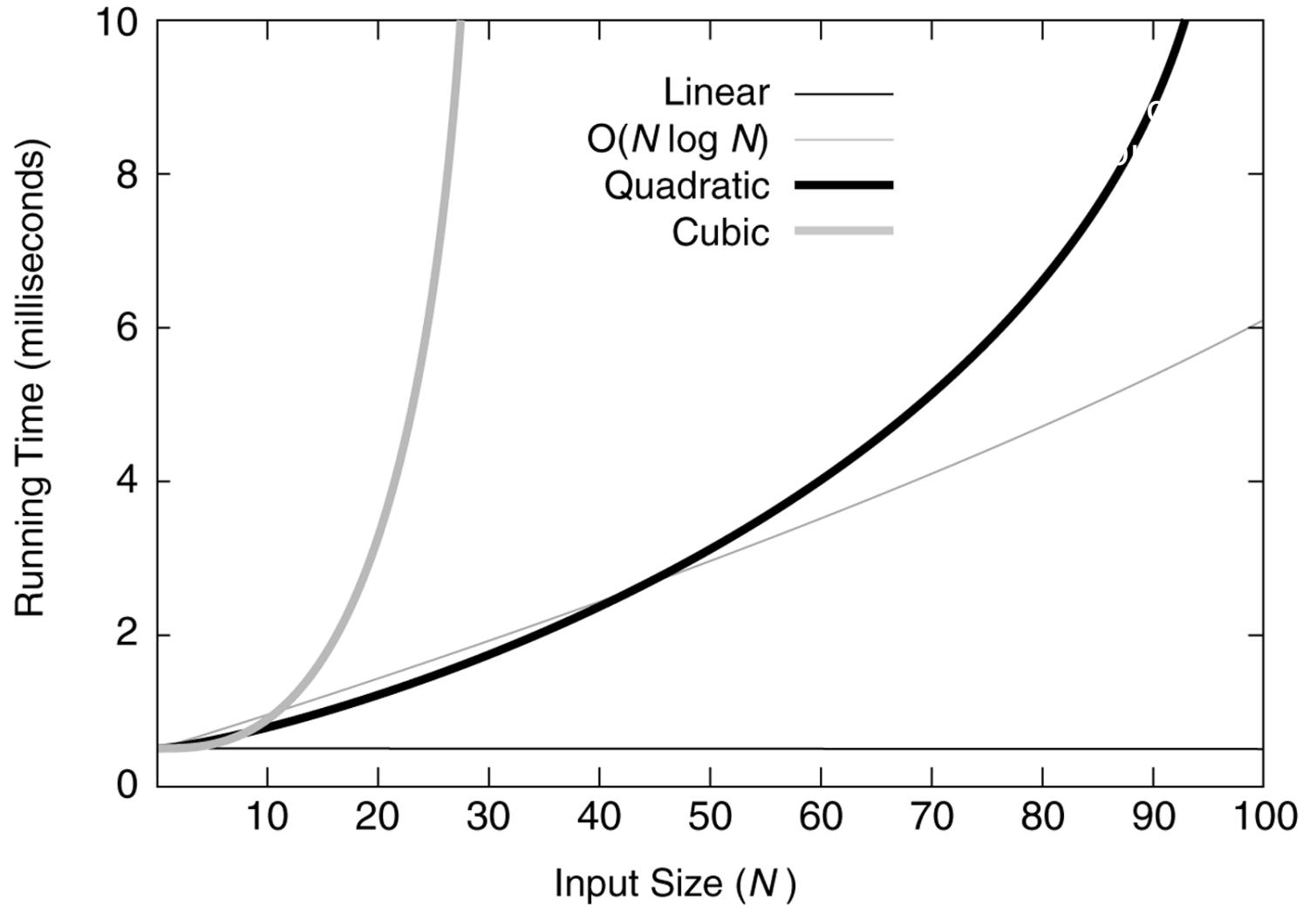
Big-Theta

# Asymptotic Analysis

- ▶ We only care what happens when  $N$  gets large
- ▶ Is the function linear? quadratic?  
exponential?

# Figure 5.1

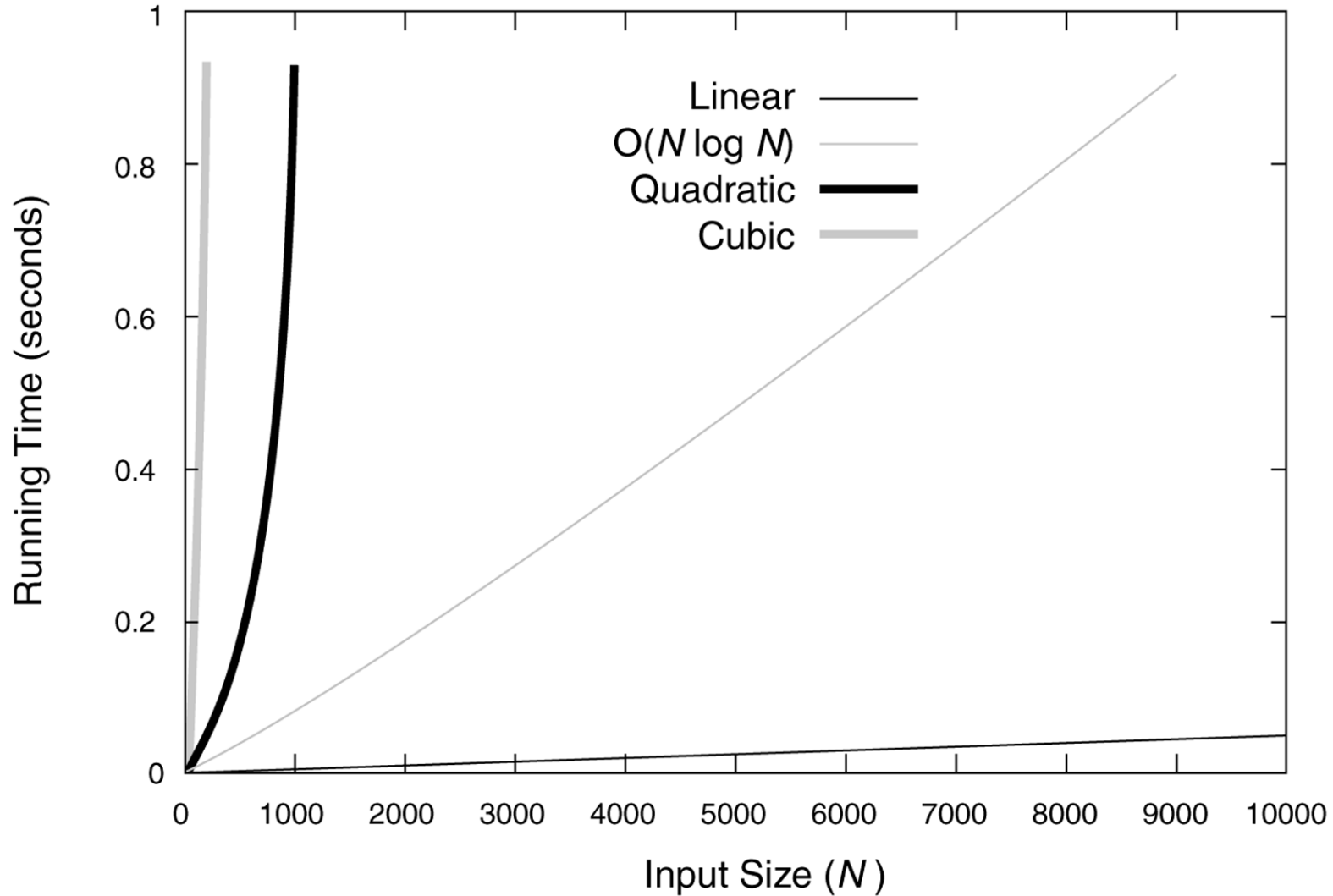
Running times for small inputs





## Figure 5.2

Running times for moderate inputs



## Figure 5.3

Functions in order of increasing growth rate

The answer to most big-Oh questions is one of these functions

FUNCTION	NAME
$c$	Constant
$\log N$	Logarithmic
$\log^2 N$	Log-squared
$N$	Linear
$N \log N$	$N \log N$
$N^2$	Quadratic
$N^3$	Cubic
$2^N$	Exponential

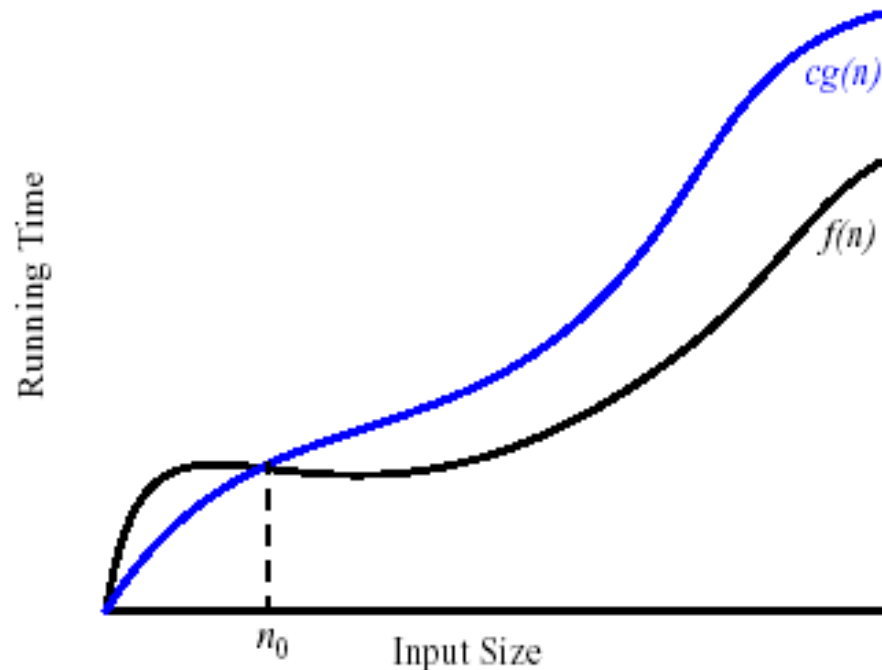
a.k.a "log linear" ←

# Simple Rule for Big-Oh

- ▶ Drop lower order terms and constant factors
- ▶  $7n - 3$  is  $O(n)$
- ▶  $8n^2 \log n + 5n^2 + n$  is  $O(n^2 \log n)$

# Definition of Big-Oh

- ▶ Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if and only if  $f(n) \leq c g(n)$  for all  $n \geq n_0$ .
- ▶ Two constants:  $c > 0$  is a real number and  $n_0 \geq 0$  is an integer.
- ▶  $f(n)$  and  $g(n)$  are functions over non-negative integers.



# To *prove* Big Oh, find 2 constants

- ▶ A function  $f(n)$  is (in)  $O(g(n))$  if there exist two positive constants  $c$  and  $n_0$  such that *for all*  $n \geq n_0$ ,  $f(n) \leq c g(n)$

- ▶ **Q: How to prove that  $f(n)$  is  $O(g(n))$ ?**

**A: Give  $c$  and  $n_0$**

Assume that all functions have non-negative values, and that we only care about  $n \geq 0$ . For any function  $g(n)$ ,  $O(g(n))$  is a set of functions.

- ▶ Ex:  $f(n) = 4n + 15$ ,  $g(n) = ???$ .

# To *prove* Big Oh, find 2 constants

- ▶ A function  $f(n)$  is (in)  $O(g(n))$  if there exist two positive constants  $c$  and  $n_0$  such that for all  $n \geq n_0$ ,  $f(n) \leq c g(n)$
- ▶ **Q: How to prove that  $f(n)$  is  $O(g(n))$ ?**  
**A: Give  $c$  and  $n_0$**
  
- ▶ Ex 2:  $f(n) = n + \sin(n)$ ,  $g(n) = ???$

# Hidden: Answers to examples

- ▶  $f(n) = n + 12$ ,  $g(n) = ???$ .
  - $g(n) = n$ . Then  $c = 3$  and  $n_0 = 6$ , or  $c = 4$  and  $n_0 = 4$ , etc.
- ▶  $f(x) = x + \sin(x)$ :  $g(n) = n$ ,  $c = 2$ ,  $n_0 = 1$
- ▶  $f(x) = x^2 + \text{sqrt}(x)$ :  $g(n) = n^2$ ,  $c = 2$ ,  $n_0 = 1$

# Big-Oh, Big-Omega and Big-Theta

$O()$

$\Omega()$

$\theta()$

- ▶  $f(n)$  is  $O(g(n))$  if  $f(n) \leq cg(n)$  for all  $n \geq n_0$ 
  - So big-Oh ( $O$ ) gives an upper bound
- ▶  $f(n)$  is  $\Omega(g(n))$  if  $f(n) \geq cg(n)$  for all  $n \geq n_0$ 
  - So big-omega ( $\Omega$ ) gives a lower bound
- ▶  $f(n)$  is  $\theta(g(n))$  if it is both  $O(g(n))$  and  $\Omega(g(n))$   
Or equivalently:
  - ▶  $f(n)$  is  $\theta(g(n))$  if  $c_1g(n) \leq f(n) \leq c_2g(n)$  for all  $n \geq n_0$ 
    - So big-theta ( $\theta$ ) gives a tight bound
- ▶ True or false:  $3n+2$  is  $O(n^3)$
- ▶ True or false:  $3n+2$  is  $\Theta(n^3)$



- ▶ True or false:  $3n+2$  is  $O(n^3)$
- ▶ True or false:  $3n+2$  is  $\Theta(n^3)$

# Uses of $O$ , $\Omega$ , $\Theta$

- ▶ By definition, applied to *functions*.

“ $f(n) = n^2/2 + n/2 - 1$  is  $\Theta(n^2)$ ”

- ▶ Can also be applied to an *algorithm*, referencing its **running time**: e.g., when  $f(n)$  describes the number of executions of the most-executed line of code.

“selection sort is  $\Theta(n^2)$ ”

- ▶ Finally, can be applied to a *problem*, referencing its **complexity**: the running time of the best algorithm that solves it.

“The sorting problem is  $O(n^2)$ ”

# Big-Oh Style

- ▶ Give tightest bound you can
  - Saying  $3n+2$  is  $O(n^3)$  is true, but not as useful as saying it's  $O(n)$
  - On a test, we'll ask for  $\Theta$  to be clear.
- ▶ Simplify:
  - You could also say:  $3n+2$  is  $O(5n-3\log(n) + 17)$
  - And it would be technically correct...
  - It would also be poor taste ... and your grade will reflect that.

# Efficiency in context

- ▶ There are times when one might choose a higher-order algorithm over a lower-order one.
- ▶ Brainstorm some ideas to share with the class

C.A.R. Hoare, inventor of quicksort, wrote:  
*Premature optimization is the root of all evil.*