

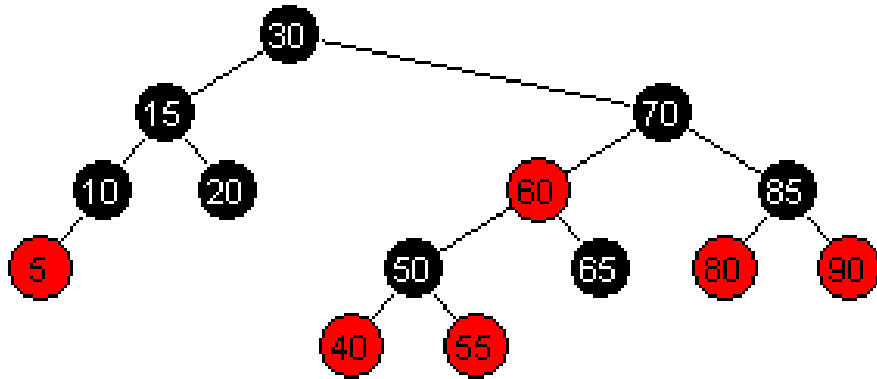
CSSE 230

Red-black trees

After today, you should be able to...

...determine if a tree is a valid red/black tree

...perform top-down insertion in a red/black tree



CSSE 230

Red-black trees

BST with $\log(n)$ runtime guarantee using only two crayons?

Inspired by pre-schoolers?

Exam 2

- ▶ Format same as Exam 1
 - One 8.5x11 sheet of paper (**2-sided**) for written part
 - Same resources as before for programming part
- ▶ Topics: weeks 1–6
 - Reading, programs, in-class, written assignments.
 - Especially
 - Using various data structures (lists, stacks, queues, sets, maps, priority queues)
 - Binary trees, including BST, AVL, **R**/B, and threaded
 - Traversals and iterators, size vs. height, rank
 - Backtracking / Queens problem
 - Algorithm analysis in general

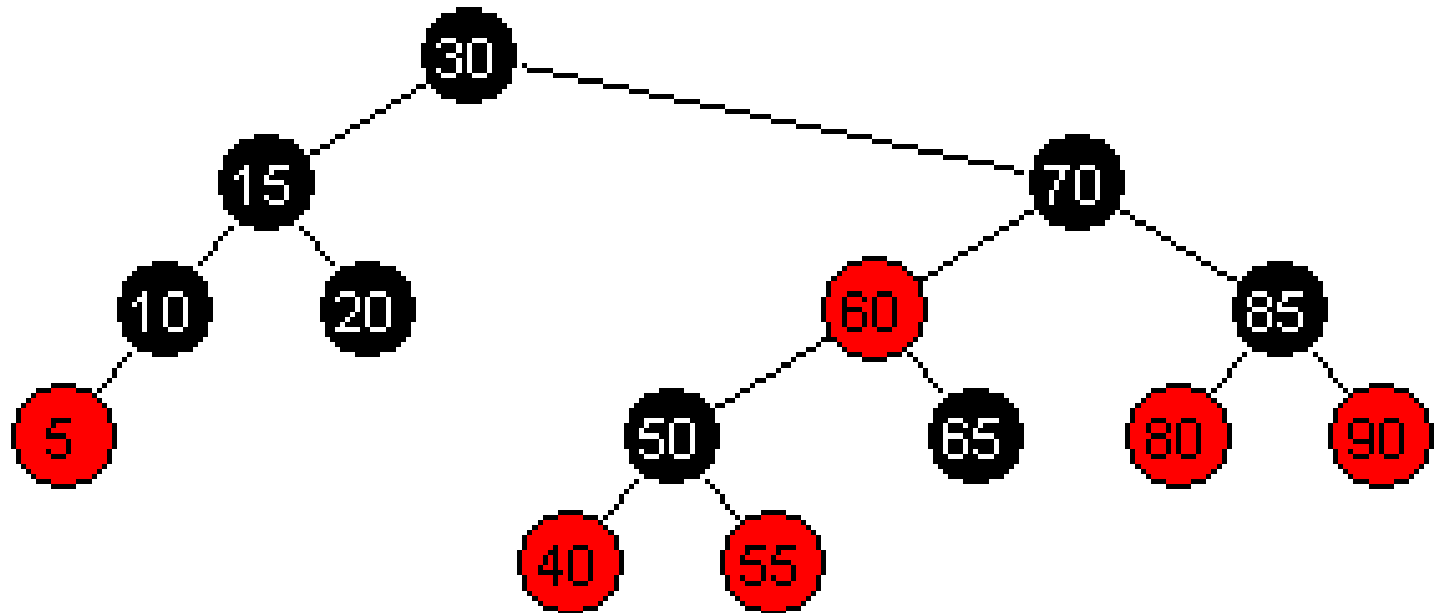
Sample exam on Moodle has some good questions (and extras we haven't done, like sorting)
Best practice: assignments.

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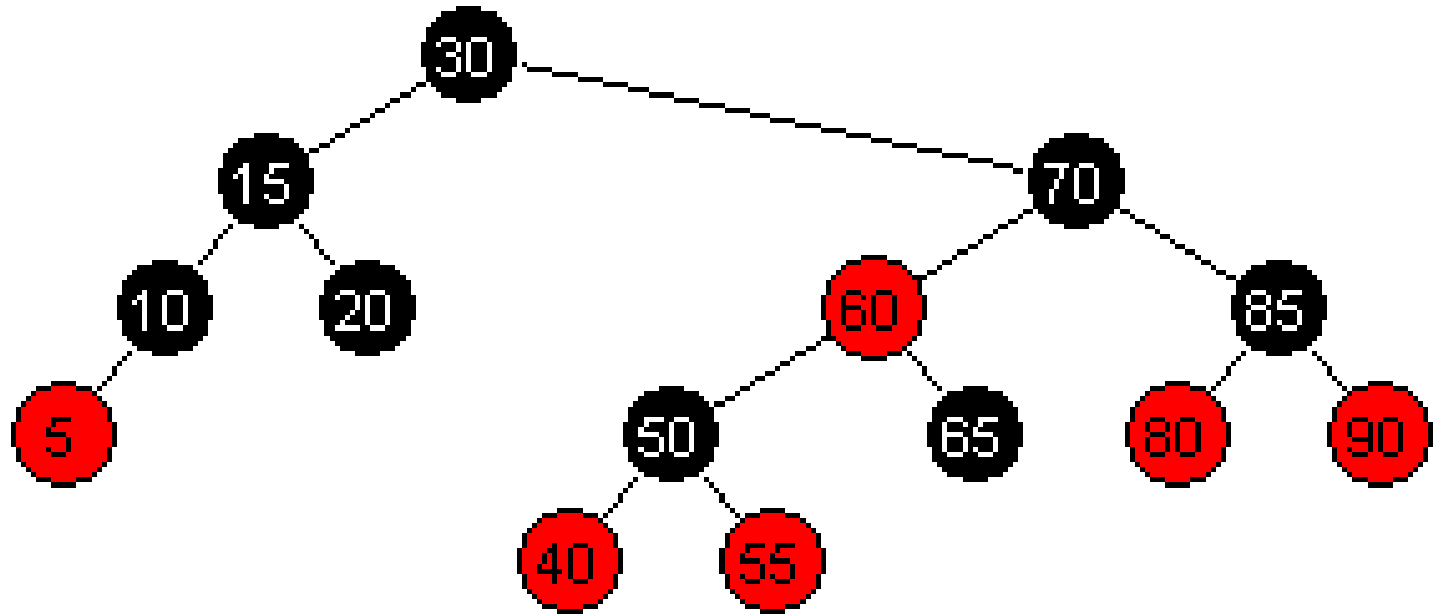
- ▶ Through day 19, WA6, and EditorTrees milestone 2

A red-black tree is a binary tree with 5 properties: 1

1. It is a BST
2. Every node is either colored **red** or black.
3. The root is black.
4. No two successive nodes are **red**.
5. Every path from the root to a null node has the same number of black nodes (“perfect black balance”)



To search a red-black tree, just ignore the colors



Runtime is $O(\text{height})$

Best-case: if all nodes black, it is $\sim \log n$.

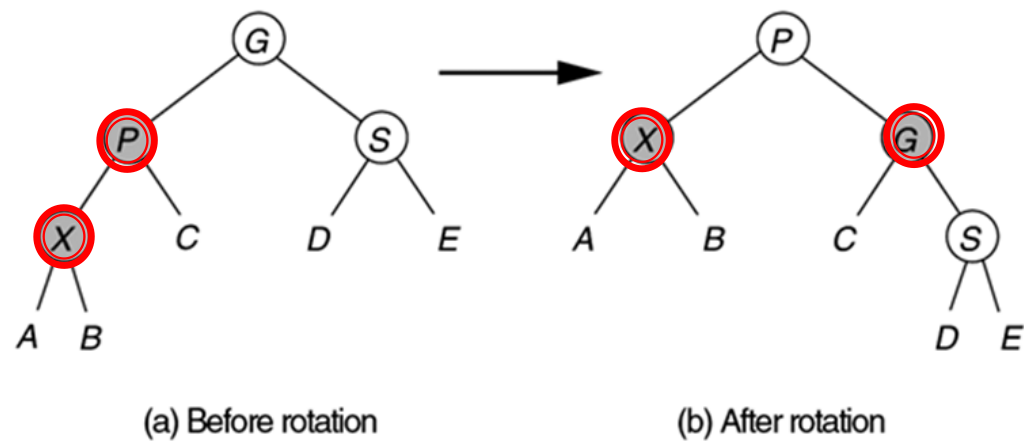
Worst case: every other node on the longest path is red. Height $\sim 2 \log n$.

- ▶ Like BST:
 - Insert at leaf
 - Color it red (to keep perfect black balance)
- ▶ But could make two reds in a row?
 - On the recursive travel back up the tree (like AVL),
 - rotate (single- and double-, like AVL)
 - and recolor (new)
- ▶ Show that three recolor-rotations fix two reds in a row while maintaining black balance.
- ▶ At end, always make root black.

2 Reds in a row, with red outer grandchild and black sibling

figure 19.35

If S is black, a single rotation between parent and grandparent, with appropriate color changes, restores property 3 if X is an outside grandchild.



2 Reds in a row, with red inner grandchild and black sibling

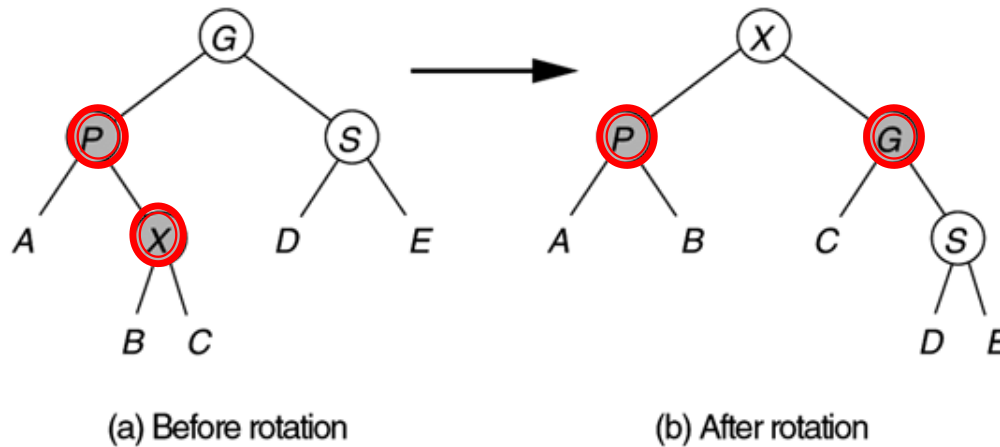


figure 19.36

If S is black, a double rotation involving X , the parent, and the grandparent, with appropriate color changes, restores property 3 if X is an inside grandchild.

2 Reds in a row, with red outer grandchild and red sibling

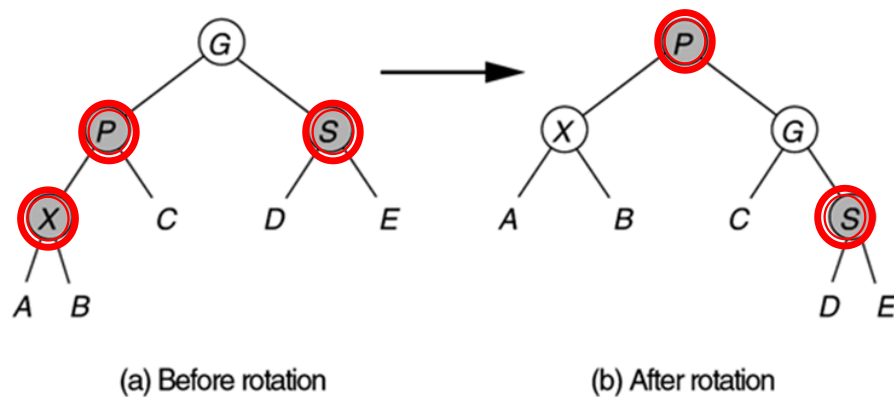


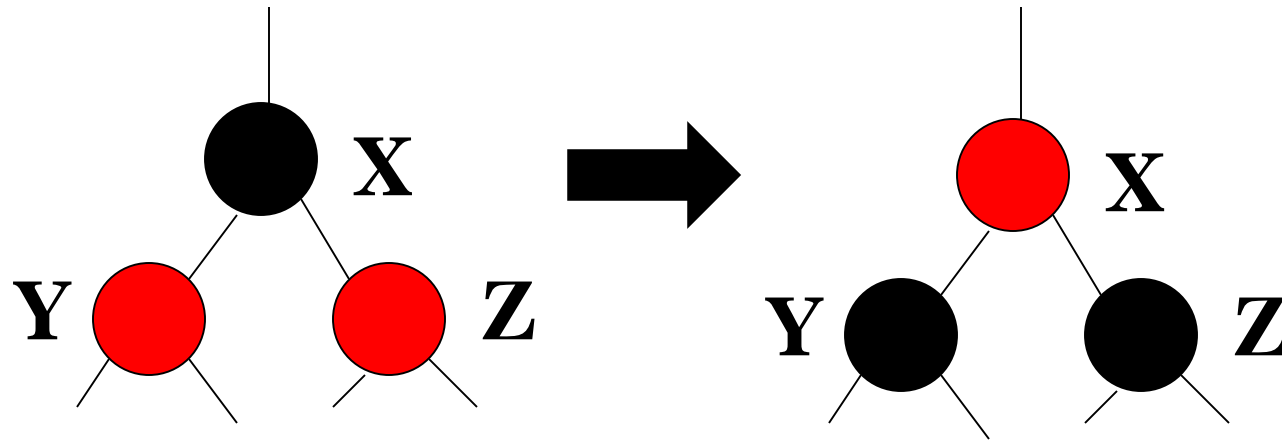
figure 19.37

If S is red, a single rotation between parent and grandparent, with appropriate color changes, restores property 3 between X and P .

Case 3 (red sibling) can force us to do multiple rotations recursively

- ▶ Bottom-Up insertion strategy must be recursive.
- ▶ Solution:
- ▶ On the way down the tree to the insertion point, if ever see a black node with two red children, swap the colors.

Recolor red siblings on way down tree



Situation: A black node with two red children.

- Action:**
- Recolor the node **red** and the children **black**.
 - If the parent is **red**, perform rotations, otherwise continue down the tree

Does this change black balance? No.

- ▶ On the way down the tree to the insertion point, if ever see a black node with two red children, swap the colors.
- ▶ The rotations are done **while traversing down the tree** to the insertion point.
 - If see black node with 2 red children on way down, make parent red and children black.
 - Avoid rotating into case (c) (2 red siblings) altogether.
- ▶ Top-Down insertion can be done with loops without recursion or parent pointers, so is slightly faster.

Rotation summary

- ▶ Rotate when an insertion or color flip produces two successive red nodes.
- ▶ Just like those for AVL trees:
 - If the two red nodes are both left children or both right children, perform a *single rotation*.
 - Otherwise, perform a *double rotation*.
- ▶ Except we recolor nodes instead of adjusting their heights.

1. Insert: 1, 2, 3, 4, 5, 6, 7, 8
2. Insert: 7, 6, 5, 4, 3, 2, 1, 1
 - Relationship with (1)?
 - Duplicates not inserted.
3. Insert: 10, 85, 15, 70, 20, 60, 30, 50, 65, 80, 90, 40, 5, 55
4. Use applet to check your work.

Summary

- ▶ Java uses:
- ▶ Slightly faster than AVL trees
- ▶ What's the catch?
 - Need to maintain pointers to lots of nodes (child, parent, grandparent, great-grandparent, great-great-grandparent)
 - The deletion algorithm is nasty.

java.util

Class TreeMap<K,V>

java.lang.Object

java.util.AbstractMap<K,V>

java.util.TreeMap<K,V>

Type Parameters:

K - the type of keys maintained by this map

V - the type of mapped values

All Implemented Interfaces:

Serializable, Cloneable, Map<K,V>, NavigableMap<K,V>

```
public class TreeMap<K,V>  
extends AbstractMap<K,V>  
implements NavigableMap<K,V>, Cloneable, Se
```

A Red-Black tree based NavigableMap implementation. T

This implementation provides guaranteed log(n) time cost for