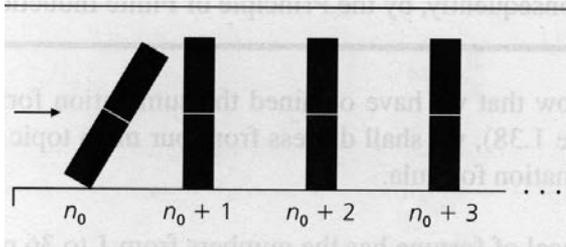
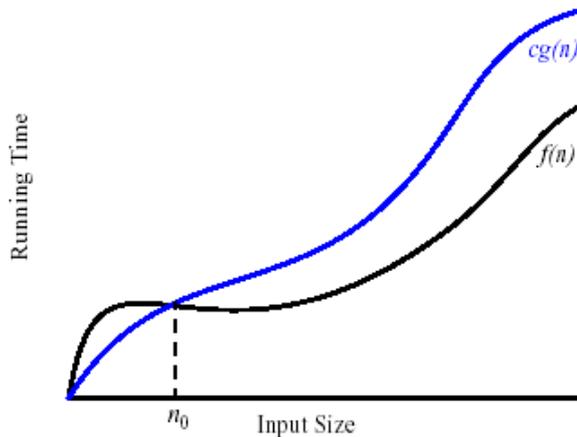


Sit with your "Growable Arrays" partner.



## CSSE 230 Day 2

Growable Arrays Continued  
Induction intro  
Big-Oh and its cousins



Answer Q1 from today's in-class quiz.

# Announcements

- ▶ You will not usually need the textbook in class
- ▶ What to call me?

# Agenda

- ▶ Finish course intro
- ▶ Growable Array recap
- ▶ Exponents and logs (quick)
- ▶ Induction introduction
  
- ▶ Big-Oh and its cousins
  - Big-Omega
  - Big-Theta

# Warm Up and Stretching thoughts

- Short but intense! ~35 lines of code total in our solutions to all but Adder
- Be sure to read the description of how it will be graded
- **Demo:** Running the JUnit tests for test, file, package, and project

**Demo:** Run the Adder program

# 230 is Like Special Forces Training

- ▶ Pushes you to your limits
- ▶ Seems relentless
- ▶ When you are done, you are ready for anything
  
- ▶ But you have to work hard to get there

Be willing to squarely face any deficiencies that you may bring into the course. Don't use them as an excuse, but see them as challenges that you must overcome!

# Grading

Criteria	Weight
In-class quizzes	5%
HW, programs, in-class exercises	30%
Major project	10%
Exam 1	15%
Exam 2	18%
Exam 3 (during finals week)	22%

## ▶ Caveats

- Must get a C on at least one exam to get a C in the course
- Must have **passing exam average** to pass course
- Must demonstrate **individual programming competence**
- **Three or more unexcused absences** may result in failure

# Questions?

- ▶ About the Syllabus?
- ▶ Other administrative details?
- ▶ Written Assignment 1?
  - Due tonight
  - It is substantial (in amount of work, and in course credit)
- ▶ WarmUpAndStretching?

# Growable Arrays Exercise

Daring to double

# Growable Arrays Table

<b>N</b>	<b><math>E_N</math></b>	<b>Answers for problem 2</b>
4	0	0
5	0	0
6	5	5
7	5	$5 + 6 = 11$
10	5	$5 + 6 + 7 + 8 + 9 = 35$
11	$5 + 10 = 15$	$5 + 6 + 7 + 8 + 9 + 10 = 45$
20	15	$\text{sum}(i, i=5..19) = 180$ <b>using Maple</b>
21	$5 + 10 + 20 = 35$	$\text{sum}(i, i=5..20) = 180$
40	35	$\text{sum}(i, i=5..39) = 770$
41	$5 + 10 + 20 + 40 = 75$	$\text{sum}(i, i=5..40) = 810$

# Doubling the Size

- ▶ Doubling each time:
  - Assume that  $N = 5(2^k) + 1$ .
- ▶ Total # of array elements copied:

k	N	#copies
0	6	5
1	11	$5 + 10 = 15$
2	21	$5 + 10 + 20 = 35$
3	41	$5 + 10 + 20 + 40 = 75$
4	81	$5 + 10 + 20 + 40 + 80 = 155$
k	$= 5(2^k) + 1$	$5(1 + 2 + 4 + 8 + \dots + 2^k)$

Express as a closed-form expression in terms of K, then express in terms of N

# Adding One Each Time

- ▶ Total # of array elements copied:

N	#copies
6	5
7	5 + 6
8	5 + 6 + 7
9	5 + 6 + 7 + 8
10	5 + 6 + 7 + 8 + 9
N	???

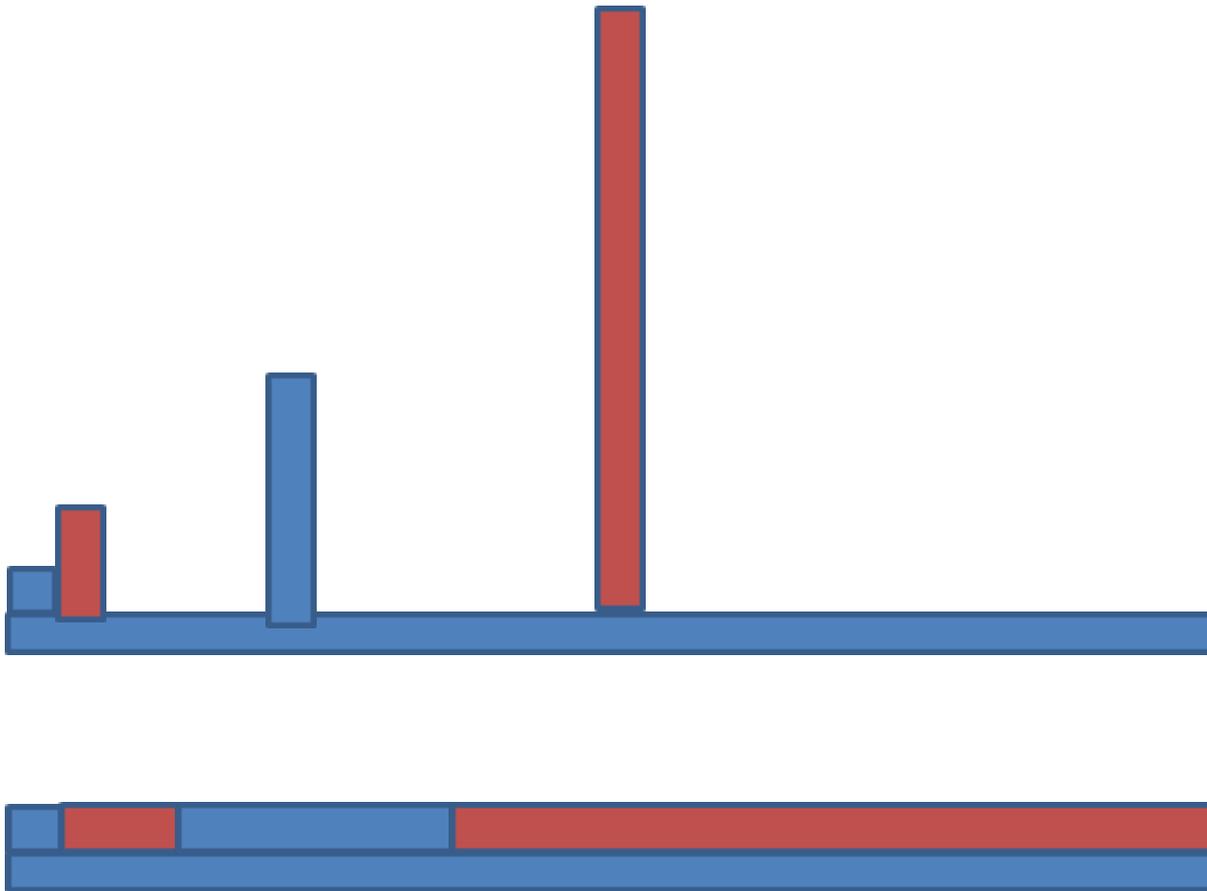
Express as a closed-form  
expression in terms of N

# Conclusions

- ▶ What's the **average** overhead cost of adding an additional string...
  - in the doubling case?
  - in the add-one case?
- ▶ So which should we use?

This is  
sometimes  
called the  
**amortized** cost

# A way to picture the overhead



# More math review

# Review these as needed

- Logarithms and Exponents

- properties of **logarithms**:

$$\log_b(xy) = \log_b x + \log_b y$$

$$\log_b(x/y) = \log_b x - \log_b y$$

$$\log_b x^\alpha = \alpha \log_b x$$

$$\log_b x = \frac{\log_a x}{\log_a b}$$

- properties of **exponentials**:

$$a^{(b+c)} = a^b a^c$$

$$a^{bc} = (a^b)^c$$

$$a^b / a^c = a^{(b-c)}$$

$$b = a^{\log_a b}$$

$$b^c = a^{c \cdot \log_a b}$$

# Practice with exponentials and logs

(Do these with a friend after class, not to turn in)

**Simplify:** Note that  $\log n$  (without a specified) base means  $\log_2 n$ . Also,  $\log n$  is an abbreviation for  $\log(n)$ .

1.  $\log(2n \log n)$

2.  $\log(n/2)$

3.  $\log(\sqrt{n})$

4.  $\log(\log(\sqrt{n}))$

5.  $\log_4 n$

6.  $2^{2 \log n}$

7. if  $n=2^{3k} - 1$ , solve for  $k$ .

Where do logs come from in algorithm analysis?

# Solutions

No peeking!

**Simplify:** Note that  $\log n$  (without a specified) base means  $\log_2 n$ .  
Also,  $\log n$  is an abbreviation for  $\log(n)$ .

1.  $1 + \log n + \log \log n$

2.  $\log n - 1$

3.  $\frac{1}{2} \log n$

4.  $-1 + \log \log n$

5.  $(\log n) / 2$

6.  $n^2$

7.  $n+1=2^{3k}$

$$\log(n+1)=3k$$

$$k = \log(n+1)/3$$

**A:** Any time we cut things in half at each step  
(like binary search or mergesort)

# Mathematical Induction

What it is? Why is it a  
legitimate proof method?

# What is mathematical induction?

- ▶ Goal: For some boolean-valued property  $p(n)$ , and some integer constant  $n_0$ , prove that  $p(n)$  is true for all integers  $n \geq n_0$
- ▶ Technique:
  - Show that  $p(n_0)$  is true
  - Show that for all  $k \geq n_0$ ,  $p(k)$  implies  $p(k+1)$

That is, show that whenever  $p(k)$  is true, then  $p(k+1)$  is also true.

# Why does induction work?

- ▶ Goal: prove that  $p(n)$  is true for all  $n \geq n_0$
- ▶ Technique:
  - Show that  $p(n_0)$  is true
  - Show that for all  $k \geq n_0$ ,  $p(k)$  implies  $p(k+1)$

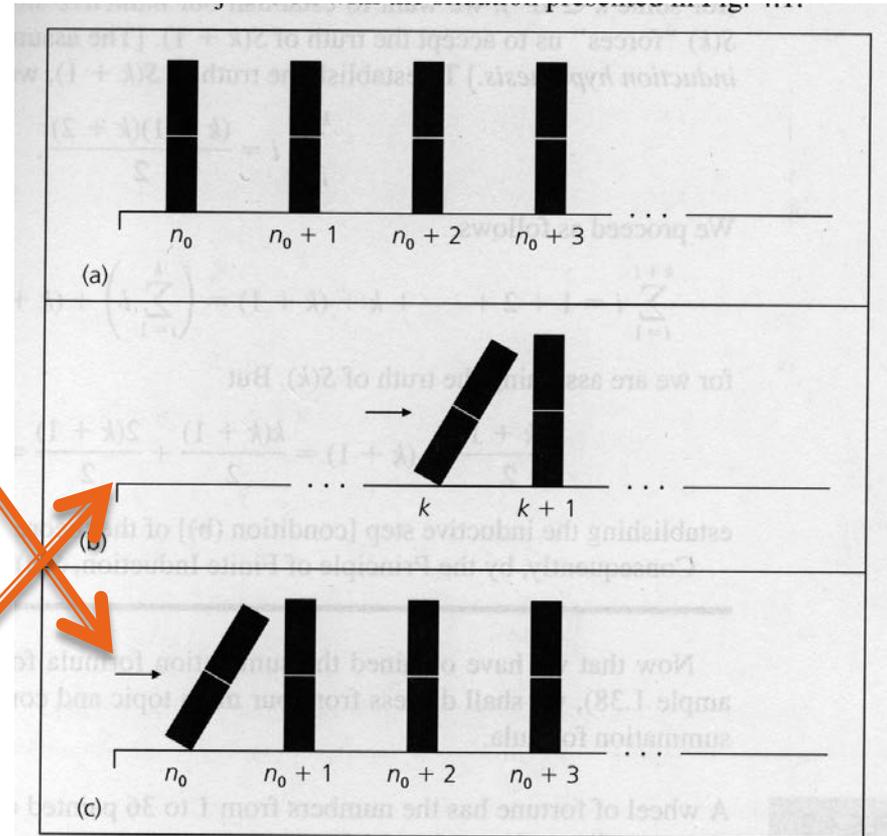


Figure 4.4

From Ralph Grimaldi's  
discrete math book.

[dominoes video](#)

# More formally

- ▶ We can prove induction works using one assumption: the **Well-Ordering Principle**
- ▶ The Well-Ordering Principle says
  - Every **non-empty set** of **non-negative integers** has a smallest element

**Note:** This slide and the next two are no longer part of CSSE 230. They are included in case you are interested. You will not be required to know or understand their contents

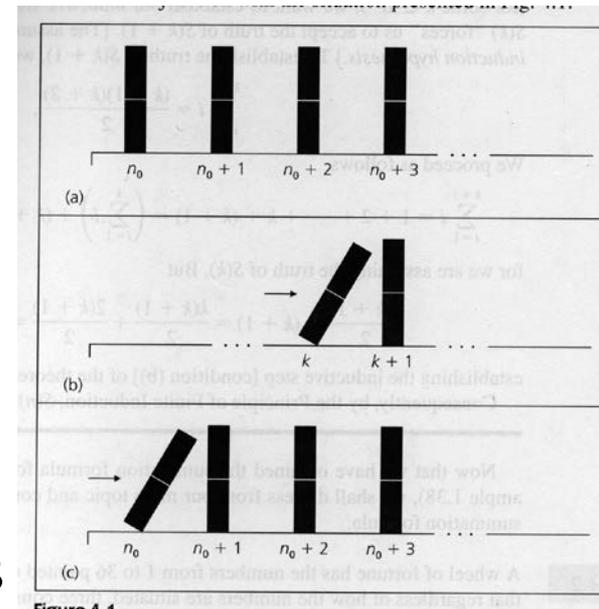
# Sketch of Proof that Induction works

## ▶ Given:

- $p(n_0)$  is true, and
- For all  $k \geq n_0$ ,  $p(k)$  implies  $p(k+1)$

## ▶ Then:

- Let  $S = \{n \geq n_0 : p(n) \text{ is false}\}$ . Intuitively,  $S$  is dominoes that don't fall down
- Assume  $S$  isn't empty and show that it leads to a contradiction.
- By WOP,  $S$  has a minimum element, call it  $n_{\min}$
- $n_{\min} > n_0$  by first "given" and definition of  $S$
- So  $n_{\min} - 1 \geq n_0$  and  $p(n_{\min} - 1)$  is true
  - $p(n_{\min} - 1)$  is true or else  $n_{\min} - 1$  would be in  $S$ , and so  $n_{\min}$  would not be the smallest element
- By second "given",  $p(n_{\min} - 1 + 1) = p(n_{\min})$  is true
- Ack! It's both true and false! So  $S$  must actually be empty



# Proof that induction works

In case you want more details than we did in class.

- ▶ Hypothesis:
  - a)  $p(n_0)$  is true.
  - b) For all  $k \geq n_0$ ,  $p(k)$  implies  $p(k+1)$ .

**Desired Conclusion:** If  $n$  is any integer with  $n \geq n_0$ , then  $p(n)$  is true. **If this conclusion is true, induction is a legitimate proof method.**

- ▶ **Proof:** Assume a) and b). Let  $S$  be the set  $\{n \geq n_0 : p(n) \text{ is false}\}$ . **We want to show that  $S$  is empty;** we do it by contradiction.
  - **Assume that  $S$  is non-empty.** Then the well-ordering principle says that  $S$  has a smallest element (call it  $s_{\min}$ ). We try to show that this leads to a contradiction.
  - Note that  $p(s_{\min})$  has to be false. **Why?**
  - $s_{\min}$  cannot be  $n_0$ , by hypothesis (a). Thus  $s_{\min}$  must be  $> n_0$ . **Why?**
  - Thus  $s_{\min} - 1 \geq n_0$ . Since  $s_{\min}$  is the smallest element of  $S$ ,  $s_{\min} - 1$  cannot be an element of  $S$ . **What does this say about  $p(s_{\min} - 1)$ ?**
    - $p(s_{\min} - 1)$  is true.
  - By hypothesis (b), using the  $k = s_{\min} - 1$  case,  $p(s_{\min})$  is also true. This **contradicts** the previous statement that  $p(s_{\min})$  is false.
  - Thus **the assumption that led to this contradiction** ( $S$  is nonempty) **must be false.**
  - Therefore  $S$  is empty, and  $p(n)$  is true for all  $n \geq n_0$ .

# A simple proof by induction

- ▶  $P(n): 1 + 2 + 3 + \dots + n = n(n+1)/2.$
- ▶ Base case
- ▶ Induction hypothesis
- ▶ Induction step

# Interlude

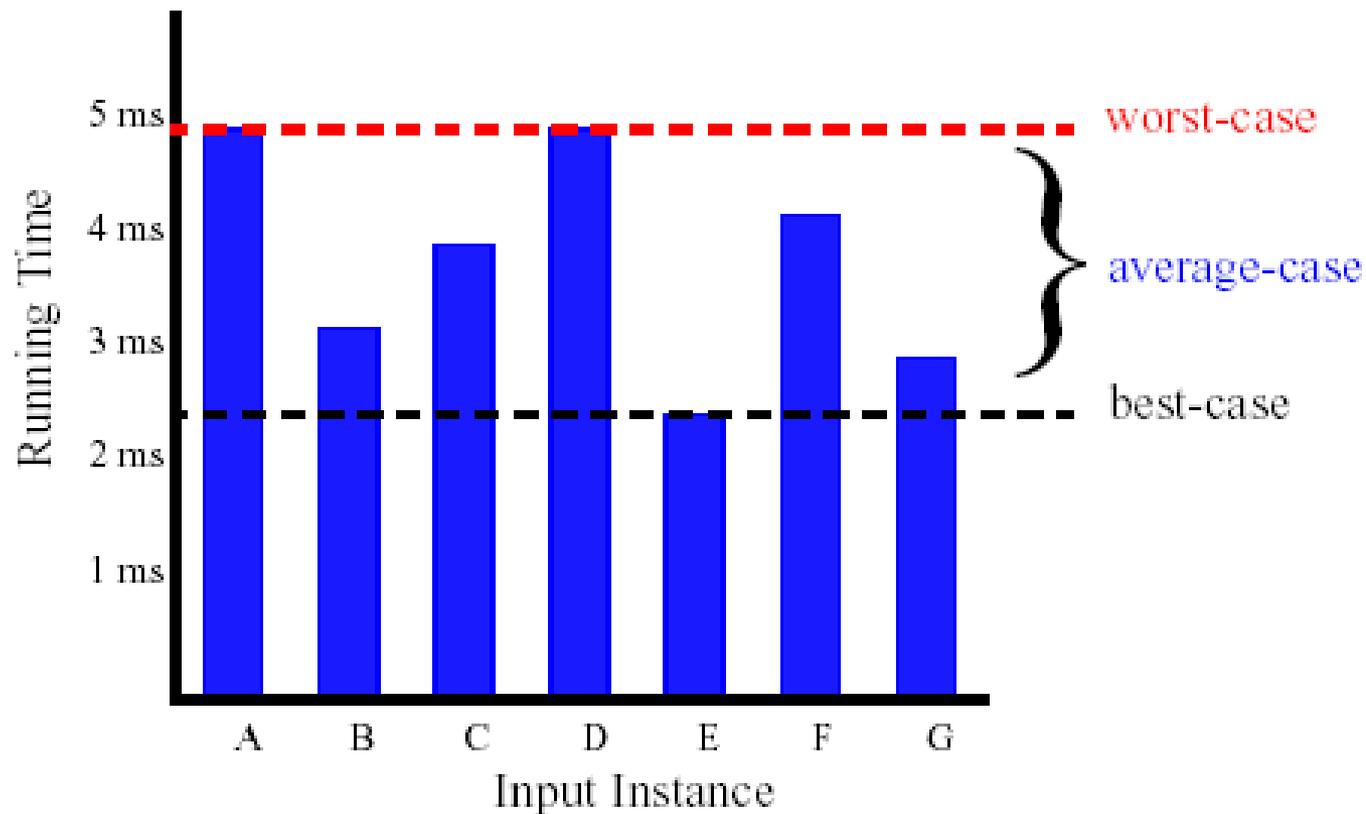
## On Liquor Production by David M. Smith

A friend who's in liquor production  
Owns a still of astounding construction.  
The alcohol boils  
Through old magnetic coils;  
She says that it's "proof by induction."

# Running Times

- ▶ Algorithms may have different *time complexity* on different data sets
- ▶ What do we mean by "Worst Case" time complexity?
- ▶ What do we mean by "Average Case" time complexity?
- ▶ What are some application domains where knowing the Worst Case time complexity would be important?
- ▶ <http://cacm.acm.org/magazines/2013/2/160173-the-tail-at-scale/fulltext>

# Average Case and Worst Case



# Asymptotics: The “Big” Three

Big-Oh

Big-Omega

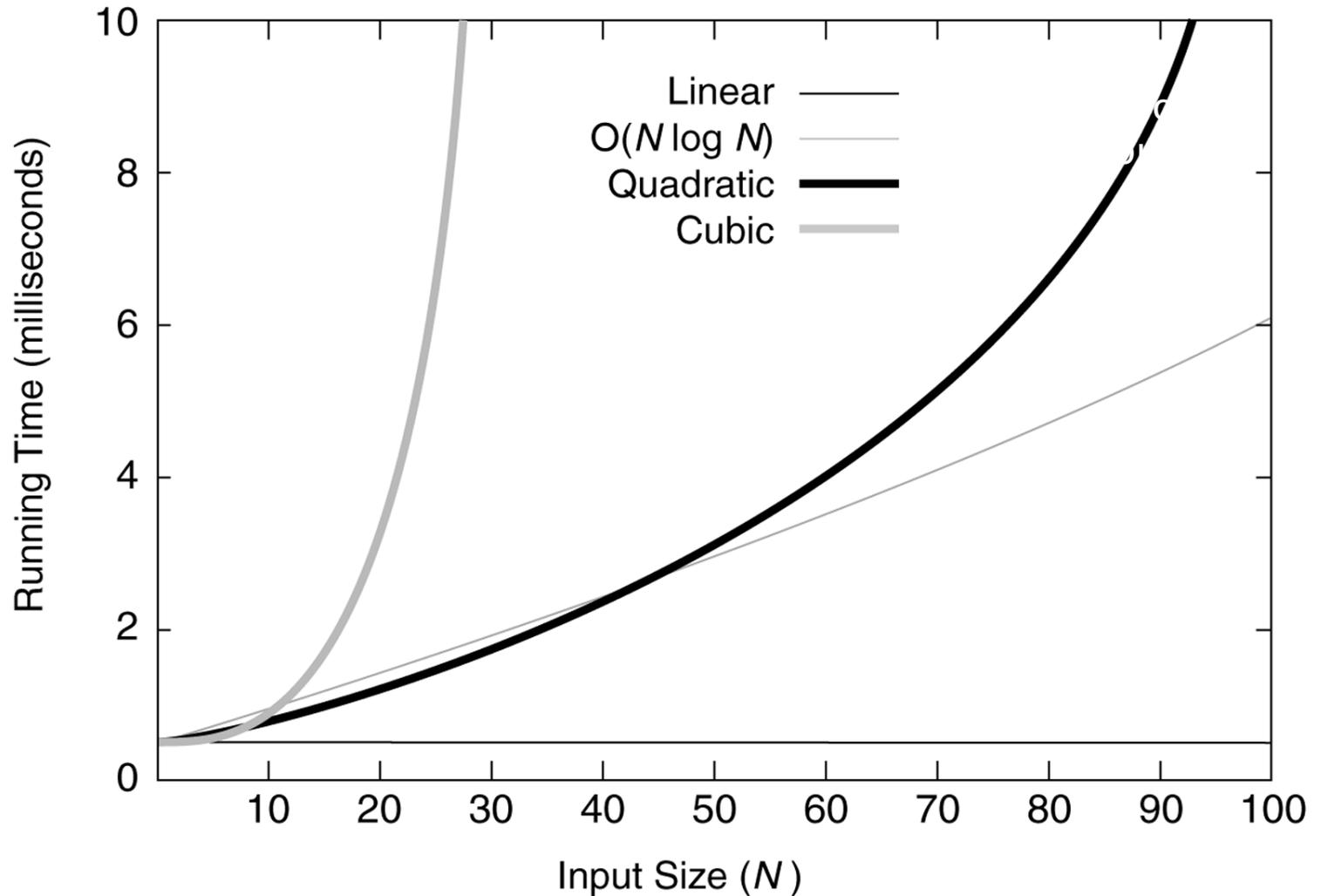
Big-Theta

# Asymptotic Analysis

- ▶ We only care what happens when  $N$  gets large
- ▶ Is the function linear? quadratic?  
exponential?

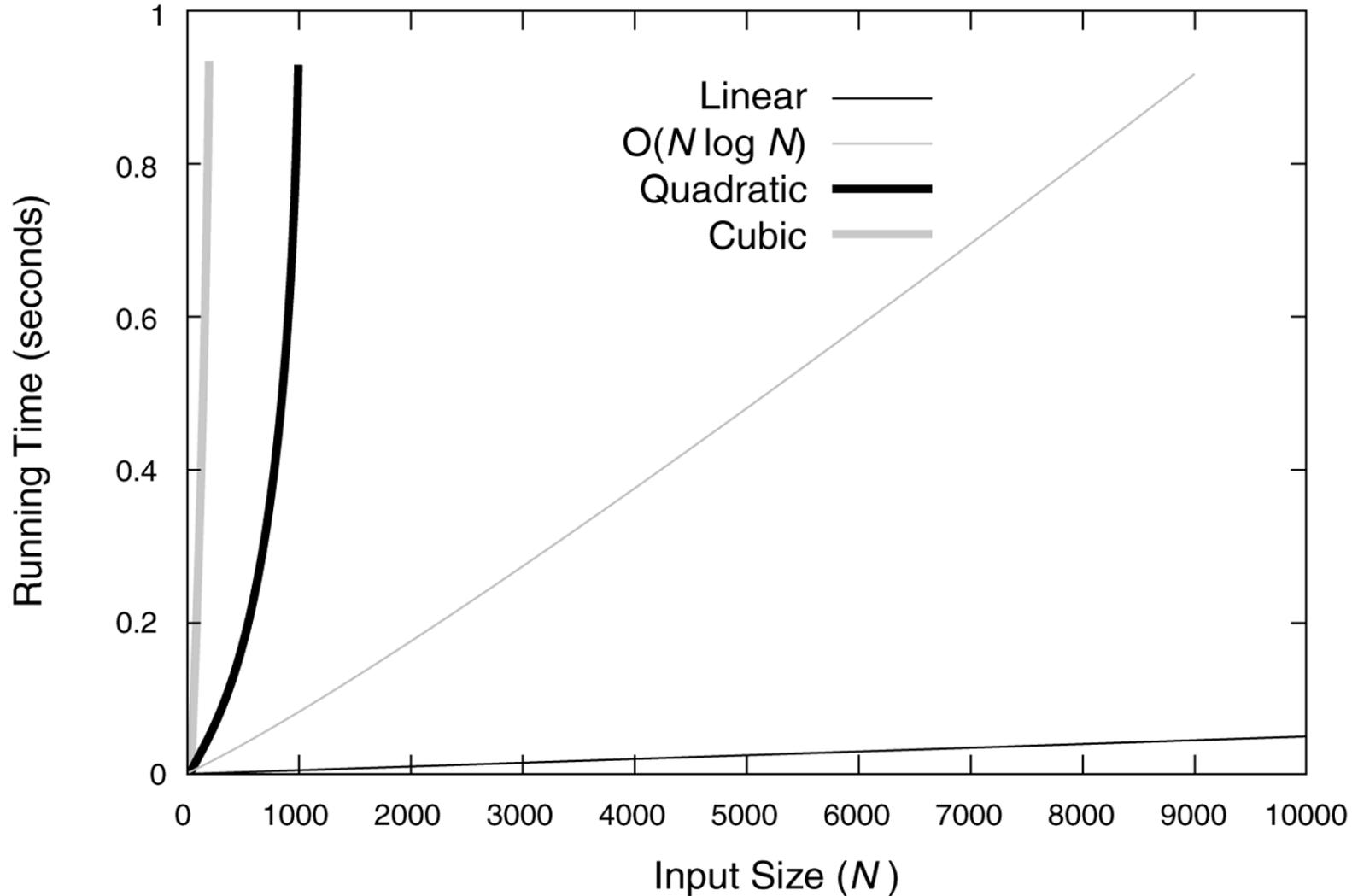
# Figure 5.1

Running times for small inputs



## Figure 5.2

Running times for moderate inputs



## Figure 5.3

Functions in order of increasing growth rate

FUNCTION	NAME
$c$	Constant
$\log N$	Logarithmic
$\log^2 N$	Log-squared
$N$	Linear
$N \log N$	$N \log N$ ← a.k.a "log linear"
$N^2$	Quadratic
$N^3$	Cubic
$2^N$	Exponential

# Simple Rule for Big-Oh

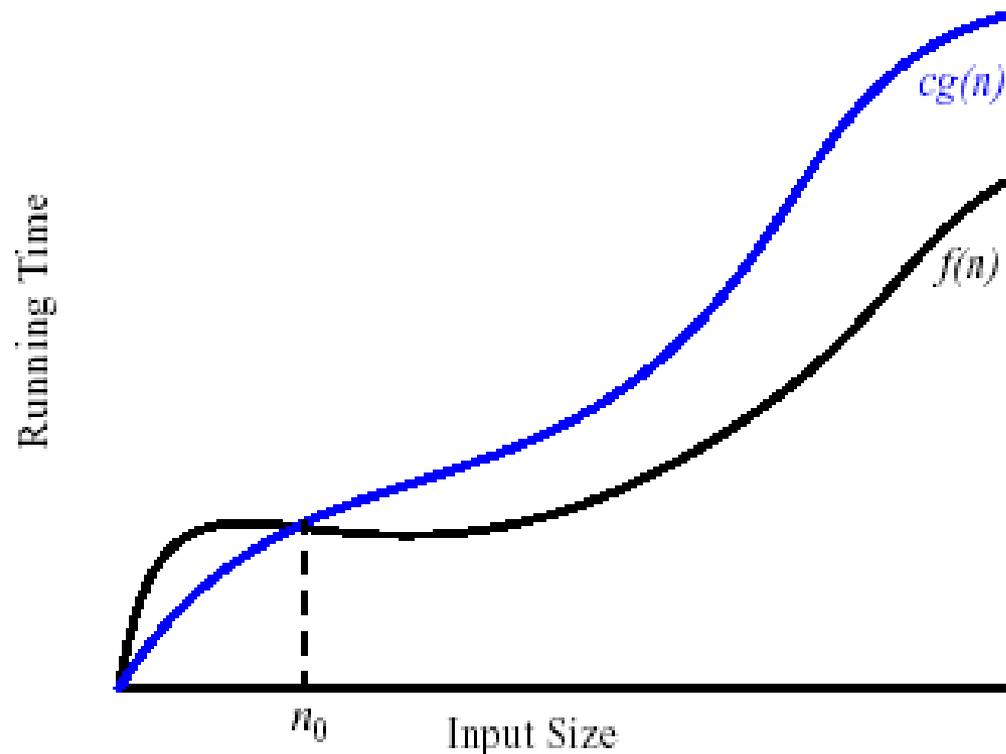
- ▶ Drop lower order terms and constant factors
- ▶  $7n - 3$  is  $O(n)$
- ▶  $8n^2 \log n + 5n^2 + n$  is  $O(n^2 \log n)$

# O

- The “Big-Oh” Notation

- given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if and only if  $f(n) \leq c g(n)$  for  $n \geq n_0$

- $c$  and  $n_0$  are constants,  $f(n)$  and  $g(n)$  are functions over non-negative integers



# Big Oh examples

- ▶ A function  $f(n)$  is (in)  $O(g(n))$  if there exist two positive constants  $c$  and  $n_0$  such that for all  $n \geq n_0$ ,  $f(n) \leq c g(n)$
- ▶ So all we must do to prove that  $f(n)$  is  $O(g(n))$  is produce two such constants.
- ▶  $f(n) = n + 12$ ,  $g(n) = ???$ .
- ▶  $f(n) = n + \sin(n)$ ,  $g(n) = ???$
- ▶  $f(n) = n^2 + \text{sqrt}(n)$ ,  $g(n) = ???$

Assume that all functions have non-negative values, and that we only care about  $n \geq 0$ . For any function  $g(n)$ ,  $O(g(n))$  is a set of functions.

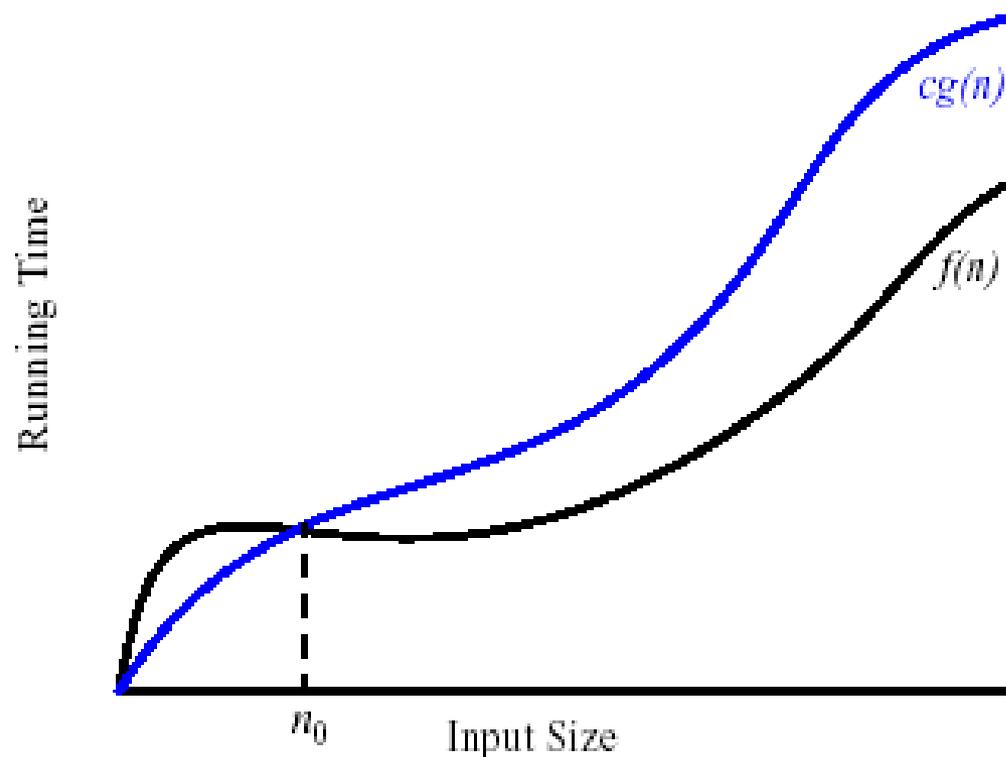
$\Omega?$

$\Theta?$

- The “Big-Oh” Notation

- given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if and only if  $f(n) \leq c g(n)$  for  $n \geq n_0$

- $c$  and  $n_0$  are constants,  $f(n)$  and  $g(n)$  are functions over non-negative integers



# Big-Oh Style

- ▶ Give tightest bound you can
  - Saying  $3n+2$  is  $O(n^3)$  is true, but not as useful as saying it's  $O(n)$
- ▶ Simplify:
  - You could say:  $3n+2$  is  $O(5n-3\log(n) + 17)$
  - And it would be technically correct...
  - It would also be poor taste ... and put me in a bad mood.
- ▶ But... if I ask “true or false:  $3n+2$  is  $O(n^3)$ ”, what's the answer?
  - True!

# Limitations of big-Oh

- ▶ There are times when one might choose a higher-order algorithm over a lower-order one.
- ▶ Brainstorm some ideas to share with the class

# Limits and Asymptotics

- ▶ Consider the limit

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$$

- ▶ What does it say about asymptotic relationship between  $f$  and  $g$  if this limit is...
  - 0?
  - finite and non-zero?
  - infinite?

# Apply this limit property to the following pairs of functions

1.  $n$  and  $n^2$
2.  $\log n$  and  $n$  (on these questions and solutions ONLY, let  $\log n$  mean natural log)
3.  $n \log n$  and  $n^2$
4.  $\log_a n$  and  $\log_b n$  ( $a < b$ )
5.  $n^a$  and  $a^n$  ( $a > = 1$ )
6.  $a^n$  and  $b^n$  ( $a < b$ )

Recall

l'Hôpital's rule: under appropriate conditions,

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{f'(n)}{g'(n)}$$

and:

$$\text{If } f(x) = \log x \text{ then } f'(x) = 1/x$$