

ON THE FOURTH ORDER SCHRÖDINGER EQUATION IN FOUR DIMENSIONS: DISPERSIVE ESTIMATES AND ZERO ENERGY RESONANCES

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ABSTRACT. We study the fourth order Schrödinger operator $H = (-\Delta)^2 + V$ for a decaying potential V in four dimensions. In particular, we show that the t^{-1} decay rate holds in the $L^1 \rightarrow L^\infty$ setting if zero energy is regular. Furthermore, if the threshold energies are regular then a faster decay rate of $t^{-1}(\log t)^{-2}$ is attained for large t , at the cost of logarithmic spatial weights. Zero is not regular for the free equation, hence the free evolution does not satisfy this bound due to the presence of a resonance at the zero energy. We provide a full classification of the different types of zero energy resonances and study the effect of each type on the time decay in the dispersive bounds.

1. INTRODUCTION

We consider the linear fourth order Schrödinger equation

$$i\psi_t = H\psi, \quad \psi(0, x) = f(x), \quad H := (-\Delta)^2 + V.$$

This equation was introduced by Karpman [21] and Karpman and Shagalov [22] to account for small fourth-order dispersion in the propagation of laser beams in a bulk medium with Kerr nonlinearity.

In the free case, i.e. when $V = 0$, the solution operator $e^{-it\Delta^2}$ preserves the L^2 norm and satisfies the following $L^1 \rightarrow L^\infty$ dispersive estimate, see [3],

$$\|e^{-it\Delta^2} f\|_{L^\infty} \lesssim |t|^{-\frac{d}{4}} \|f\|_{L^1}.$$

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Let $P_{ac}(H)$ be the projection onto the absolutely continuous spectrum of H and $V(x)$ be a real valued decaying potential. Our main purpose in this paper is to extend the above dispersive estimate in dimension four when there are obstructions at zero, i.e. distributional solutions to $H\psi = 0$ with $\psi \in L^p(\mathbb{R}^4)$ for some $p \geq 2$. In particular, we provide a full classification of the zero energy obstructions as eigenfunctions or resonances, in terms of distributional solutions to $H\psi = 0$ with the type of obstruction depending on the decay of ψ at infinity. We then prove dispersive bounds of the form

$$(1) \quad \|e^{-itH}P_{ac}(H)f\|_{L^\infty} \lesssim \gamma(t)\|f\|_{L^1},$$

or a variant with spatial weights, for each type of zero energy obstruction where $\gamma(t) \rightarrow 0$ as $t \rightarrow \infty$. Such estimates can be important tools in the study of asymptotic stability of solitons for non-linear equations.

The dispersive estimates in the form of (1) has been widely studied for Schrödinger equation. It was observed that the natural $|t|^{-\frac{d}{2}}$ decay rate for the Schrödinger evolution is affected by zero energy obstructions. In particular, the time decay for large $|t|$ is slower if there are obstructions at zero, see for example [19, 35, 33, 13, 8, 7, 14, 15] which consider the Schrödinger equation. The notation of a resonance is defined for a rather general class of operators of the form $f(-\Delta) + V$ in [4], and the large time decay as an operator is studied between weighted $L^2(\mathbb{R}^n)$ spaces under the assumption that zero energy is regular. There are no existing works on the effect of zero energy obstructions on the time decay for the fourth order equation to the best of the authors' knowledge.

Similar dynamics are expected also for the fourth order Schrödinger equation, in the sense that zero energy obstructions should make the time decay slower. In fact, it was shown in [11] that in this case if zero is regular then the natural time decay $\gamma(t) = |t|^{-\frac{d}{4}}$ is valid in dimensions $d > 4$, and is $|t|^{-\frac{1}{2}}$ for large t in $d = 3$. In particular, we note that the case of $d = 4$, and the case when zero energy is not regular in all dimensions were open until now.

In the case of the Schrödinger equation, the full structure of the obstructions are known and are obtained by a careful expansion of the Schrödinger resolvent, $R_0(z) := (-\Delta - z)^{-1}$, around $z = 0$, see [18, 10, 8, 7]. In particular, in dimensions $d = 3, 4$ the zero energy obstructions are composed of a one dimensional space of resonances

and a finite dimensional eigenspace whereas the structure is more complicated in $d = 2$, [18, 8]. We note that a similar difficulty and structure appears for the fourth order Schrödinger equation in dimension four. Specifically, we have the following representation, which follows from the second resolvent identity (see also [11])

$$(2) \quad R(H_0; z) := ((-\Delta)^2 - z)^{-1} = \frac{1}{2z^{\frac{1}{2}}} \left(R_0(z^{\frac{1}{2}}) - R_0(-z^{\frac{1}{2}}) \right), \quad z \in \mathbb{C} \setminus [0, \infty).$$

Moreover, we obtain that the set of zero energy obstructions consists of a space of three distinct types of resonances in addition to the zero energy eigenspace. This resonance space is at most 15 dimensional, and there is a finite-dimensional space of eigenfunctions, see Section 7.

Before we give the main results we define the following spaces,

$$L^{p,\sigma} := \{f : \langle \cdot \rangle^\sigma f \in L^p\}, \quad L_{\pm\omega}^p := \{f : (\log(2 + |\cdot|))^{\pm 2} f \in L^p\}.$$

Here $\langle \cdot \rangle = (1 + |\cdot|^2)^{\frac{1}{2}}$. Throughout the paper we write $a-$ to mean $a - \epsilon$ for a small, but fixed $\epsilon > 0$. Similarly, $a+$ denotes $a + \epsilon$.

Our main theorem is the following, see Definiton 3.2 for the precise definition of the different types of resonances mentioned below. Heuristically, a resonance of the first kind may be classified in terms of the existence of solutions to $H\psi = 0$ with $\psi \in L^\infty \setminus L^p$ for any $p < \infty$. There is a resonance of the second kind if $\psi \in L^p$ for all $p > 4$ but $\psi \notin L^4$. There is a resonance of the third kind if $\psi \in L^p$ for all $p > 2$ but $\psi \notin L^2$, and there is a resonance of the fourth kind if $\psi \in L^2$.

Theorem 1.1. *Suppose that $|V(x)| \lesssim \langle x \rangle^{-\beta}$,*

i) If zero is regular, then if $\beta > 4$,

$$\|e^{-itH} P_{ac}(H)\|_{L^1 \rightarrow L^\infty} \lesssim |t|^{-1}$$

ii) If there is a resonance of the first kind, then if $\beta > 4$,

$$\|e^{-itH} P_{ac}(H)\|_{L^1 \rightarrow L^\infty} \lesssim |t|^{-1}$$

iii) If there is a resonance of the second kind at zero, then if $\beta > 12$,

$$\|e^{-itH} P_{ac}(H)\|_{L^1 \rightarrow L^\infty} \lesssim \begin{cases} |t|^{-\frac{1}{2}} & |t| \geq 2 \\ |t|^{-1} & |t| < 2 \end{cases}$$

Furthermore, there exists a finite rank operator F_t satisfying $\|F_t\|_{L^1 \rightarrow L^\infty} \lesssim \langle t \rangle^{-\frac{1}{2}}$ so that

$$\|e^{-itH} P_{ac}(H) - F_t\|_{L^{1,2+} \rightarrow L^{\infty,-2-}} \lesssim |t|^{-1}$$

iv) If there is a resonance of the third or fourth kind at zero, then if $\beta > 12$,

$$\|e^{-itH} P_{ac}(H)\|_{L^1 \rightarrow L^\infty} \lesssim \begin{cases} \frac{1}{\log|t|} & |t| \geq 2 \\ |t|^{-1} & |t| < 2 \end{cases}$$

The case of a resonance of the second kind has no analogue in the Schrödinger equation, such a resonance is new in the case of the fourth order equation. Furthermore, we give an explicit formulation of the operator F_t , see (77).

We also show that if zero is regular we can obtain integrable time decay rate for the cost of spatial logarithmic weights. This provides a result analogous to what is known for the two dimensional Schrödinger equation, see [28, 9]. The free evolution satisfies the estimate (1) with $\gamma(t) = t^{-1}$ and cannot decay faster due to the resonance $\psi = 1$ of $(-\Delta)^2$. Therefore, we expect a faster time decay for the perturbed evolution if zero energy is regular. Our second result is the following.

Theorem 1.2. *Suppose that $|V(x)| \lesssim \langle x \rangle^{-4-}$ and $t > 2$. If zero is regular then*

$$\|e^{-itH} P_{ac}(H)\|_{L_w^1 \rightarrow L_w^\infty} \lesssim \frac{1}{t \log^2 t}.$$

As in [9] and [34], to obtain Theorem 1.2 we use the following interpolation

$$(3) \quad \min \left(1, \frac{a}{b} \right) = \frac{\log^2 a}{\log^2 b}, \quad a, b > 2.$$

between the result of Theorem 1.1 when zero is regular and the pointwise bound

$$|[e^{-itH} P_{ac}(H)](x, y)| \lesssim \frac{w(x)w(y)}{t \log^2(t)} + \frac{\langle x \rangle^{0+} \langle y \rangle^{0+}}{t^{1+}}, \quad t > 2,$$

which we prove in Section 4.2 for small energy and Section 6 for large energy. We note that, in Theorem 1.2 we assume the same decay on the potential with the case when zero is regular. To achieve the improved time decay rate, we employ a careful argument based on Lipschitz continuity of the resolvents, which was inspired by a similar analysis for the two-dimensional Schrödinger operator in [9].

There are not many works considering the perturbed linear fourth order Schrödinger equation. There is more study of scattering, global existence, and the stability or

instability of the solitons of the nonlinear equations, see for example [23, 31, 32, 26, 27, 6]. There are also works which study the decay estimates for the fourth order wave equation, [24, 25].

The free linear fourth order Schrödinger equation is studied by Ben-Artzi, Koch, and Saut [3]. They present sharp estimates on the derivatives of the kernel of the free operator, (including $(-\Delta)^2 \pm \Delta$), which may be used to obtain $L^{1,\sigma} \rightarrow L^{\infty,-\sigma}$ and Strichartz type of estimates for the free operator. In [5], the generalized Schrödinger operator $(-\Delta)^{2m} + V$ is studied by means of maximal and minimal forms. The authors applied their main result in this paper to obtain sharp bound on the kernel of corresponding semigroup for $d < 2m$.

The perturbed equation is considered by Feng, Soffer and Yao in [11], they prove time decay estimates between weighted L^2 spaces. This work has roots in Jensen and Kato's study of the Schrödinger operator [17], see also [19]. In addition they presented $L^1 \rightarrow L^\infty$ dispersive estimates for $d = 3$ and $d > 4$, when zero is regular. In the same paper, they established Strichartz type of estimates for the linear equation with time source, for $d = 3$ and $d > 4$. Our work was motivated by [11], in particular their Remark 2.11, where they state that the threshold behavior in four dimensions would be difficult and of interest to study.

There are some other high energy results in terms of weighted Sobolev norms which are applicable to the fourth order Schrödinger operator. These type of estimates mainly arise as a consequence of the holomorphic extension of the corresponding resolvent operators to the real line away from point spectrum between weighted Sobolev spaces. For instance, in [2], Agmon studied constant coefficient differential operators $P(D)$ of order m and of principal type. Later, Murata established high energy decay estimate for the first order pseudo-differential operators [29] and higher order elliptic operators [30]. In [28], Murata also established low energy result on constant coefficient differential operators of order m , however the assumption that all critical points of polynomial $P(\xi)$ are non-degenerate does not apply to $(-\Delta)^2$.

We note that $(-\Delta)^2$ is essentially self-adjoint with $\sigma_{ac}((-\Delta)^2) = [0, \infty)$. Therefore, by Weyl's criterion, we have $\sigma_{ess}(H) = [0, \infty)$ for a sufficiently decaying potential.

Let $\lambda \in \mathbb{R}^+$, we define the resolvent operators as

$$(4) \quad R^\pm(H_0; \lambda) := R^\pm(H_0; \lambda \pm i0) = \lim_{\epsilon \rightarrow 0^+} ((-\Delta)^2 - (\lambda \pm i\epsilon))^{-1},$$

$$(5) \quad R_V^\pm(\lambda) := R_V^\pm(\lambda \pm i0) = \lim_{\epsilon \rightarrow 0^+} (H - (\lambda \pm i\epsilon))^{-1}.$$

Note that using the representation (2) for $R(H_0; z)$ in the definition (4), for λ in the first quadrant of the complex plane, writing $z = \lambda^4$ we obtain

$$(6) \quad R^\pm(H_0; \lambda^4) = \frac{1}{2\lambda^2} \left(R_0^\pm(\lambda^2) - R_0(-\lambda^2) \right).$$

These operators are well-defined from $L^{2,-\sigma}$ to $L^{2,\sigma}$, for $\sigma > \frac{1}{2}$ by Agmon's limiting absorption principle, [2]. From this identity, it is noted in [11] that the behavior of the spectral variable λ of the fourth order resolvent in dimension d is the same as that of the Schrödinger resolvent in dimension $d-2$ as $\lambda \rightarrow 0$. One can see this from the power like behavior in λ as $\lambda \rightarrow 0$ of the resolvents and the fact that the operator $\frac{1}{2\pi\lambda} \frac{d}{d\lambda}$ takes the d -dimensional Schrödinger resolvent to an $d-2$ dimensional resolvent. Due to this similarity, our approach has roots in the analysis of the Schrödinger operator, particularly [8]. However, the dependence on the spatial variables of the integral kernel of the resolvent operator is different. One consequence of this difference, which we show in Section 7, is that the threshold behavior is more complicated for the fourth order equation.

We believe that the method used here can be modified to analyze the operators $(-\Delta)^2 \pm \Delta + V$. However, we do not expect the structure of the threshold resonances should not be expected to be similar to Section 7 below. We expect the operator $(-\Delta)^2 - \Delta + V$ to have a threshold structure that mirrors that of the Schrödinger operators studied in [7], while the differential operator $(-\Delta)^2 + \Delta$ has two positive critical points and hence requires additional investigation.

As usual, we use functional calculus and the Stone's formula to write

$$(7) \quad e^{-itH} P_{ac}(H) f(x) = \frac{1}{2\pi i} \int_0^\infty e^{-it\lambda} [R_V^+(\lambda) - R_V^-(\lambda)] f(x) d\lambda.$$

Here the difference of the perturbed resolvents provides the spectral measure. Unfortunately, unlike the Schrödinger operator, see for example [20], we can not guarantee the absence of embedded eigenvalues in the continuous spectrum for $H = (-\Delta)^2 + V$.

Typically one uses a Carleman type estimate for $(-\Delta)^2$ and unique continuation theorems for H to rule out positive eigenvalues. Unfortunately, none of these are available even for compactly supported or differentiable potentials. Therefore, as in [11], we assume absence of positive eigenvalues. Under this assumption, a limiting absorption principle for H is established, see [11, Theorem 2.23], which we use to control the large energy portion of the evolution. The large energy is unaffected by the zero energy obstructions, and our main contribution is to control the small energy portion of the evolution in all possible cases.

The paper is organized as follows. We begin with developing expansions for the free resolvent in Section 2. We also analyze the dispersive bounds for the free equation in this section. In Section 3, we develop expansions for the resolvents and other operators we need to analyze the spectral measure for small λ in a neighborhood of zero to understand the dispersive bounds. In Section 4, we consider dispersive bounds when zero is regular to establish the uniform and weighted dispersive bounds. In Section 5 we consider the effect of the zero energy resonances. We divide this section into subsections in which we develop expansions for $R_V^\pm(\lambda)$ for each different type of resonance at zero. We further estimate the contribution of the low energy portion of (7), when λ is in a neighborhood of zero, for each type of resonance. These bounds establish the low energy portions of Theorems 1.1 and 1.2. In Section 6, we estimate (7) away from zero, thus completing the proofs of Theorems 1.1 and 1.2. Finally in Section 7, we provide a characterization of the threshold resonances and eigenfunctions.

2. THE FREE EVOLUTION

In this section we obtain expansions for the free fourth order Schrödinger resolvent operators $R^\pm(H_0; \lambda^4)$, using the identity (2) and the Bessel function representation of the Schrödinger free resolvents $R_0^\pm(\lambda^2)$. We use these expansions to establish dispersive estimates for the free fourth order Schrödinger evolution, and throughout the remainder of the paper to study the spectral measure for the perturbed operator.

Before we obtain an expansion for $R^\pm(H_0; \lambda^4)$, we give the definition of the following operators that arise naturally in our expansions.

$$(8) \quad G_0 f(x) := -\frac{1}{4\pi^2} \int_{\mathbb{R}^4} \frac{f(y)}{|x-y|^2} dy = (-\Delta)^{-1} f(x),$$

$$(9) \quad G_1 f(x) := -\frac{1}{8\pi^2} \int_{\mathbb{R}^4} \log(|x-y|) f(y) dy,$$

$$(10) \quad G_{2j} f(x) := c_{2j} \int_{\mathbb{R}^4} |x-y|^{2j} f(y) dy, \quad j > 0,$$

$$(11) \quad G_{2j+1} f(x) := c_{2j+1} \int_{\mathbb{R}^4} |x-y|^{2j} \log|x-y| f(y) dy, \quad j > 0,$$

where c_j are certain real-valued constants. Moreover, we define

$$(12) \quad g_j^+(\lambda) = \overline{g_j^-(\lambda)} = \lambda^{2j} (a_j \log(\lambda) + z_j)$$

where $a_j \in \mathbb{R} \setminus \{0\}$ and $z_j \in \mathbb{C} \setminus \mathbb{R}$. The exact values of the constants in these definitions are unimportant for our analysis.

Throughout the paper, we use the notation $f(\lambda) = \tilde{O}(g(\lambda))$ to denote

$$\frac{d^j}{d\lambda^j} f = O\left(\frac{d^j}{d\lambda^j} g\right), \quad j = 0, 1, 2, 3, \dots$$

Unless otherwise specified, the notation refers only to derivatives with respect to the spectral variable λ . If the derivative bounds hold only for the first k derivatives we write $f = \tilde{O}_k(g)$. In the following sections, we use that notation for operators as well as scalar functions; the meaning should be clear from context.

Lemma 2.1. *If $\lambda|x-y| \ll 1$, then we have*

$$(13) \quad R^\pm(H_0; \lambda^4)(x, y) = \tilde{g}_1^\pm(\lambda) + G_1(x, y) + c^\pm \lambda^2 G_2(x, y) + \tilde{g}_3^\pm(\lambda) G_4(x, y) \\ + \lambda^4 G_5(x, y) + O((\lambda|x-y|)^{6-}).$$

Here $\tilde{g}_j^-(\lambda) + i\Im z_j = \tilde{g}_j^+(\lambda) := \lambda^{2j-2} (a_j \log(\lambda) + b_j)$ with $b_j \in \mathbb{C}$. Moreover, $c^\pm \in \mathbb{C}$ and a_j, z_j are the same coefficients defined in $g_j(z)$ in (12).

A simple calculation shows that $(-\Delta)G_1(x, y) = G_0(x, y)$, and consequently $G_1(x, y) = [(-\Delta)^2]^{-1}(x, y)$.

Proof. We use the expansion (6) for the spectral measure. Therefore, to prove the statement we first recall the expression of the free Schrödinger resolvents in dimension four in terms of the Bessel functions,

$$(14) \quad R_0^\pm(\lambda^2)(x, y) = \pm \frac{i}{4} \frac{\lambda}{2\pi|x-y|} \left(J_1(\lambda|x-y|) \pm iY_1(\lambda|x-y|) \right).$$

When $\lambda|x-y| \ll 1$, we may write (see [1, 18, 7, 16])

$$(15) \quad R_0^\pm(\lambda^2)(x, y) = G_0(x, y) + g_1^\pm(\lambda) + \lambda^2 G_1(x, y) + g_2^\pm(\lambda) G_2(x, y) + \lambda^4 G_3(x, y) \\ + g_3^\pm(\lambda) G_4(x, y) + \lambda^6 G_5(x, y) + \tilde{O}_2(\lambda^2(\lambda|x-y|)^{6-}),$$

where g_j 's are given as in (12).

Notice that exchanging λ with $i\lambda$ in (15) yields

$$(16) \quad R_0^+(-\lambda^2)(x, y) = G_0(x, y) + g_1^+(i\lambda) - \lambda^2 G_1(x, y) + g_2^+(i\lambda) G_2(x, y) + \lambda^4 G_3(x, y) \\ + g_3^+(i\lambda) G_4(x, y) - \lambda^6 G_5(x, y) + \tilde{O}_2(\lambda^{8-}|x-y|^{6-}).$$

Finally substituting (15) and (16) into (6), we obtain the small argument expansion for the kernel of the resolvent,

$$(17) \quad R^\pm(H_0; \lambda^4)(x, y) := \frac{1}{2\lambda^2} \left[[g_1^\pm(\lambda) - g_1^+(i\lambda)] + 2\lambda^2 G_1(x, y) + [g_2^\pm(\lambda) - g_2^+(i\lambda)] G_2(x, y) \right. \\ \left. + [g_3^\pm(\lambda) - g_3^+(i\lambda)] G_4(x, y) + 2\lambda^6 G_5(x, y) \right] + \tilde{O}_2((\lambda|x-y|)^{6-}).$$

Recalling the definition of g_j 's we see for $\lambda \in \mathbb{R}^+$

$$g_j^+(i\lambda) = \begin{cases} -g_j^+(\lambda) - i\frac{a_j\pi}{2}\lambda^{2j} & j = 1, 3 \\ g_j^+(\lambda) + i\frac{a_j\pi}{2}\lambda^{2j} & j = 2. \end{cases}$$

In particular, we obtain

$$R^+(H_0; \lambda^4)(x, y) = \left[\frac{g_1^+(\lambda)}{\lambda^2} + i\frac{a_1\pi}{4} \right] + G_1(x, y) - i\frac{\pi a_2}{4} \lambda^2 G_2(x, y) \\ + \left[\frac{g_2^+(\lambda)}{\lambda^2} + i\frac{a_2\pi}{4} \right] G_4(x, y) + \lambda^4 G_5(x, y) + \tilde{O}_2((\lambda|x-y|)^{6-}).$$

Letting $\tilde{g}_j^+(\lambda) := \frac{g_j^+(\lambda)}{\lambda^2} + i\frac{a_j\pi}{4}$ and $c^+ = -i\frac{a_2\pi}{4}$, we establish the statement for $R^+(H_0; \lambda^4)$.

For $R^-(H_0; \lambda^4)$, note that $\Im\{g_j^+(\lambda)\} = \Im z_j \lambda^{2j}$ and hence,

$$\begin{aligned} \frac{g_j^-(\lambda) - g_j^+(i\lambda)}{2\lambda^2} &= \frac{\overline{g_j^+(\lambda)} + g_j^+(\lambda)}{2\lambda^2} + i\frac{a_j\pi}{4} = \tilde{g}_j^+(\lambda) - i\Im z_j, \quad j = 1, 3, \\ \frac{g_j^-(\lambda) - g_j^+(i\lambda)}{2\lambda^2} &= \frac{\overline{g_j^+(\lambda)} - g_j^+(\lambda)}{2\lambda^2} - i\frac{a_j\pi}{4} = -i\Im z_j + c^+, \quad j = 2 \end{aligned}$$

Using these equalities in (17), we obtain the statement. \square

We define a smooth cut-off function to a neighborhood of zero, $\chi \in C^\infty(\mathbb{R})$ with $\chi(\lambda) = 1$ for $|\lambda| \leq \lambda_1 \ll 1$ and $\chi(\lambda) = 0$ for $|\lambda| \geq 2\lambda_1 \ll 1$ for a sufficiently small constant λ_1 . We also use the complementary cut-off away from a neighborhood of zero, $\tilde{\chi}(\lambda) = 1 - \chi(\lambda)$.

Remark 2.2. *The following observation will be useful in the next sections.*

$$\begin{aligned} \tilde{g}_1^+(\lambda) + G_1(x, y) &= a_1 \log(\lambda|x - y|) + z_1 + \frac{a_1\pi}{2} \\ \tilde{g}_3^+(\lambda)G_4(x, y) + \lambda^4 G_5(x, y) &= (\lambda|x - y|)^4 \left(a_3 \log(\lambda|x - y|) + z_3 + i\frac{a_3\pi}{2} \right). \end{aligned}$$

In particular, for any $\epsilon > 0$

$$\begin{aligned} \chi(\lambda|x - y|)[\tilde{g}_1^+(\lambda) + G_1(x, y)] &= \tilde{O}((\lambda|x - y|)^{-\epsilon}). \\ \chi(\lambda|x - y|)[\tilde{g}_3^+(\lambda)G_4(x, y) + \lambda^4 G_5(x, y)] &= \tilde{O}_2((\lambda|x - y|)^{4-\epsilon}). \end{aligned}$$

Next we obtain an expansion for $R^\pm(H_0; \lambda^4)(x, y)$ when $\lambda|x - y| \gtrsim 1$. To do that we use the large energy expansion for the free Schrödinger resolvent, (see, e.g., [1, 7, 16])

$$R_0^\pm(\lambda^2)(x, y) = c\frac{\lambda}{r}H_1^\pm(\lambda) \quad R_0^+(-\lambda^2)(x, y) = c\frac{\lambda}{r}H_1^+(i\lambda),$$

where

$$H_1^\pm(z) = e^{\pm iz}\omega_\pm(z), \quad |\omega_\pm^{(\ell)}(z)| \lesssim (1 + |z|)^{-\frac{1}{2}-\ell}, \quad \ell = 0, 1, 2, \dots$$

Therefore, for $\lambda r \gtrsim 1$, $r := |x - y|$, we have

$$(18) \quad R^\pm(H_0; \lambda^4) = e^{\pm i\lambda r}\tilde{\omega}_\pm(\lambda r) + e^{-\lambda r}\tilde{\omega}_+(\lambda r)$$

where $\tilde{\omega}_\pm(\lambda r) = (\lambda r)^{-1}\omega_\pm(\lambda r)$.

The following representation is useful for further analysis.

Lemma 2.3. *We have*

$$R^\pm(H_0; \lambda^4) = \tilde{g}_1^\pm(\lambda) + G_1(x, y) + E_0^\pm(\lambda)(x, y)$$

where the error term satisfies $E_0^\pm(\lambda)(x, y) = \tilde{O}_1((\lambda|x-y|)^\ell)$ for $0 < \ell \leq 2$, and $E_0^\pm(\lambda)(x, y) = \tilde{O}_2((\lambda|x-y|)^{\frac{1}{2}})$.

Proof. For convenience, let $r := |x-y|$, then the statement is clear for $\lambda r \ll 1$ by (13) and Remark 2.2. If $\lambda r \gtrsim 1$, let $\ell > 0$, one has

$$\begin{aligned} |e^{\pm i\lambda r} \tilde{\omega}(\lambda r) + e^{-\lambda r} \tilde{\omega}(\lambda r) - \tilde{g}_1^\pm(\lambda) - G_1(x, y)| &\lesssim (\lambda r)^\ell, \\ |\partial_\lambda \{e^{\pm i\lambda r} \tilde{\omega}(\lambda r) + e^{-\lambda r} \tilde{\omega}(\lambda r) - \tilde{g}_1^\pm(\lambda)\}| &\lesssim \frac{r}{(\lambda r)(1+\lambda r)^{\frac{1}{2}}} + \frac{1}{\lambda} \lesssim \lambda^{\ell-1} r^\ell, \\ |\partial_\lambda^2 \{e^{\pm i\lambda r} \tilde{\omega}(\lambda r) + e^{-\lambda r} \tilde{\omega}(\lambda r) - \tilde{g}_1^\pm(\lambda)\}| &\lesssim \frac{r^2}{(\lambda r)(1+\lambda r)^{\frac{1}{2}}} + \frac{1}{\lambda^2} \lesssim \lambda^{-\frac{3}{2}} r^{\frac{1}{2}}. \end{aligned}$$

This establishes the proof. □

Corollary 2.4. *For any $0 < \alpha < 1$ and $0 < a < b \ll 1$, we have*

$$|\partial_\lambda E_0^\pm(b) - \partial_\lambda E_0^\pm(a)| \lesssim |b-a|^\alpha a^{-\frac{3}{2}} |x-y|^{\frac{1}{2}} (a^{\frac{1}{2}+\ell} |x-y|^{\ell-\frac{1}{2}})^{1-\alpha}.$$

Proof. By the Mean Value Theorem, we have

$$|\partial_\lambda E_0^\pm(b) - \partial_\lambda E_0^\pm(a)| \lesssim |b-a| a^{-\frac{3}{2}} |x-y|^{\frac{1}{2}}.$$

Since $a < b$, we also have the trivial bound

$$|\partial_\lambda E_0^\pm(b) - \partial_\lambda E_0^\pm(a)| \lesssim a^{\ell-1} |x-y|^\ell = a^{-\frac{3}{2}} |x-y|^{\frac{1}{2}} (a^{\frac{1}{2}+\ell} |x-y|^{\ell-\frac{1}{2}}).$$

Interpolating between the two bounds yields the claim. □

We will use this Lipschitz bound on the error term in the proof of the weighted, time-integrable bound. We note that, in particular, if we choose $\alpha = \ell = 0+$, then we obtain

$$|\partial_\lambda E_0^\pm(b) - \partial_\lambda E_0^\pm(a)| \lesssim |b-a|^\ell a^{-1+\frac{\ell}{2}-\ell^2} |x-y|^{\frac{1}{2}+\frac{3\ell}{2}-\ell^2}$$

with $2\ell \geq \frac{3\ell}{2} - \ell^2 > 0$.

Finally we are ready to analyze the free evolution. The first estimate in the next lemma was obtained by Ben-Artzi, Koch and Saut in [3]. Our approach relies on the well-known Stone's formula (7).

Lemma 2.5. *We have the bound*

$$(19) \quad \sup_{x,y} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 [R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4)] d\lambda \right| \lesssim |t|^{-1}.$$

Furthermore,

$$\int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4)] d\lambda = -\frac{\Im z_1}{4t} + O(t^{-\frac{9}{8}} \langle x \rangle^{\frac{1}{2}} \langle y \rangle^{\frac{1}{2}}).$$

Proof. Note that by (13) and (18) we obtain

$$(20) \quad R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4) = \chi(\lambda r) [i\Im z_1 + O((\lambda r)^2)] + \tilde{\chi}(\lambda r) e^{i\lambda r} \tilde{\omega}(\lambda r).$$

Therefore

$$\begin{aligned} |R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4)| &\lesssim 1, \\ |\partial_\lambda \{R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4)\}| &\lesssim \frac{\chi(\lambda r) r^{\frac{1}{2}}}{\lambda^{\frac{1}{2}}} + \frac{\tilde{\chi}(\lambda r) r}{(\lambda r)(1 + \lambda r)^{\frac{1}{2}}}. \end{aligned}$$

By integration by parts, we have

$$\begin{aligned} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 [R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4)] d\lambda \right| &\lesssim \frac{1}{t} + \frac{1}{t} \int_0^\infty \left[\frac{\chi(\lambda r) r^{\frac{1}{2}}}{\lambda^{\frac{1}{2}}} + \frac{\tilde{\chi}(\lambda r) r}{(\lambda r)(1 + \lambda r)^{\frac{1}{2}}} \right] d\lambda \\ &\lesssim t^{-1} + t^{-1} \left(\int_0^{r^{-1}} \frac{r^{\frac{1}{2}}}{\lambda^{\frac{1}{2}}} d\lambda + \int_{r^{-1}}^\infty \frac{1}{\lambda^{\frac{3}{2}} r^{\frac{1}{2}}} d\lambda \right) \lesssim t^{-1} \end{aligned}$$

This establishes the first claim.

For the second claim, we note that using the expansion in Lemma 2.3 and the fact that $\tilde{g}_1^+(\lambda) = \tilde{g}_1^-(\lambda) + i\Im z_1$

$$(21) \quad R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4) = i\Im z_1 + E_0(\lambda)(x, y),$$

where, combining the error terms from Lemma 2.3, we have $E_0(\lambda)(x, y) = \tilde{O}_2((\lambda r)^{\frac{1}{2}})$.

After the first integration by parts, we have

$$\int_0^\infty e^{-it\lambda^4} \lambda^3 [R^+(H_0; \lambda^4) - R^-(H_0; \lambda^4)] d\lambda = \frac{\Im(z_1)}{4t} + \frac{1}{4it} \int_0^\infty e^{it\lambda^4} \partial_\lambda E_0(\lambda)(x, y) d\lambda.$$

To control the second integral we write

$$\left| \frac{1}{4it} \int_0^{t^{-\frac{1}{4}}} e^{-it\lambda^4} \partial_\lambda E_0(\lambda)(x, y) d\lambda + \frac{1}{4it} \int_{t^{-\frac{1}{4}}}^\infty e^{-it\lambda^4} \lambda^3 [\lambda^{-3} \partial_\lambda E_0(\lambda)(x, y)] d\lambda \right|.$$

Using Lemma 2.3, direct integration of the first term shows it may be bounded by $t^{-\frac{9}{8}} \langle x \rangle^{\frac{1}{2}} \langle y \rangle^{\frac{1}{2}}$. The second term may be bounded, after an integration by parts, by $t^{-\frac{9}{8}} \langle x \rangle^{\frac{1}{2}} \langle y \rangle^{\frac{1}{2}}$.

□

We remark here that the above bounds can be modified if we insert cut-offs to low or high energy respectively. In a neighborhood of zero, that is if we insert the cut-off $\chi(\lambda)$ into the integrand, the integrals are all bounded as $t \rightarrow 0$. Outside of a neighborhood of zero, if we insert the cut-off $\tilde{\chi}(\lambda)$ into the integrand, the boundary term $\Im(z_1)/(4t)$ from integrating by parts is replaced with zero.

3. RESOLVENT EXPANSIONS ABOUT ZERO ENERGY

The effect of the presence of zero energy resonances is only felt in the small energy regime, different resonances change the asymptotic behavior of the perturbed resolvents and hence that of the spectral measure as $\lambda \rightarrow 0$ which we study in this section. We provide expansions of the resolvents and other operators we need to understand the effect of each type of resonance or lack of resonances on the spectral measure.

To understand (7) for small energies, i.e. $\lambda \ll 1$, we use the symmetric resolvent identity. We define $U(x) = \text{sign}(V(x))$, $v(x) = |V(x)|^{\frac{1}{2}}$, and write

$$(22) \quad R_{V^\pm}^\pm(\lambda) = R^\pm(H_0, \lambda^4) - R^\pm(H_0, \lambda^4) v (M^\pm(\lambda))^{-1} v R^\pm(H_0, \lambda^4)$$

where $M^\pm(\lambda) := U + v R^\pm(H_0, \lambda^4) v$. As a result, we need to obtain expansions for $(M^\pm(\lambda))^{-1}$ depending on the resonance type at zero, see Definition 3.2. In the following subsections we determine these expansions case by case and establish their contribution to Stone's formula via the symmetric resolvent identity, (22).

Recall the definition of the Hilbert-Schmidt norm of an operator K with kernel $K(x, y)$,

$$\|K\|_{HS} := \left(\iint_{\mathbb{R}^8} |K(x, y)|^2 dx dy \right)^{\frac{1}{2}}.$$

Let $T := U + v G_1 v$, we have the following expansions.

Lemma 3.1. *Let P be the projection onto the span of v and $\tilde{g}^\pm(\lambda) = \|V\|_1 \tilde{g}_1^\pm(\lambda)$. If $|v(x)| \lesssim \langle x \rangle^{-2-2\ell^-}$, we have*

$$(23) \quad M^\pm(\lambda) = \tilde{g}^\pm(\lambda)P + T + M_0^\pm(\lambda),$$

$$\sum_{j=0}^1 \left\| \sup_{0 < \lambda < \lambda_1} \lambda^{-l+j} \partial_\lambda^j M_0^\pm(\lambda) \right\|_{HS} \lesssim 1, \quad 0 < \ell \leq 2,$$

$$\left\| \sup_{0 < \lambda < b < \lambda_1} |b - \lambda|^{-\ell} \lambda^{1+\ell^2-\frac{\ell}{2}} |\partial_\lambda M_0^\pm(b) - \partial_\lambda M_0^\pm(\lambda)| \right\|_{HS} \lesssim 1, \quad 0 < \ell < 1.$$

If $|v(x)| \lesssim \langle x \rangle^{-4-\ell^-}$,

$$(24) \quad M^\pm(\lambda) = \tilde{g}^\pm(\lambda)P + T + c^\pm \lambda^2 v G_2 v + M_1^\pm(\lambda),$$

$$\sum_{j=0}^1 \left\| \sup_{0 < \lambda < \lambda_1} \lambda^{-\ell-2+j} \partial_\lambda^j M_1^\pm(\lambda) \right\|_{HS} \lesssim 1, \quad 0 \leq \ell < 2$$

If $|v(x)| \lesssim \langle x \rangle^{-6-\ell^-}$,

$$(25) \quad M^\pm(\lambda) = \tilde{g}^\pm(\lambda)P + T + c^\pm \lambda^2 v G_2 v + \tilde{g}_3^\pm(\lambda) v G_4 v + \lambda^4 v G_5 v + M_2^\pm(\lambda),$$

$$\sum_{j=0}^1 \left\| \sup_{0 < \lambda < \lambda_1} \lambda^{-4-l+j} \partial_\lambda^j M_2^\pm(\lambda) \right\|_{HS} \lesssim 1, \quad 0 \leq \ell \leq 2.$$

Proof. Recall that $M^\pm(\lambda) = U + vR^\pm(H_0, \lambda^4)v$. Therefore, the proof of the first assertion in (23) follows easily by Lemma 2.3, whereas the second assertion can be obtained taking $\alpha = \ell$ in Corollary 2.4.

Moreover, when $\lambda|x - y| \ll 1$ the proof of (24) and (25) follow from the expansions (13) in Lemma 2.1 and Remark 2.2. Finally, the following observation establishes the statement for (24) and (25) for $\lambda|x - y| \gtrsim 1$

$$\partial_\lambda \{ \tilde{\chi}(\lambda) R^\pm(H_0; \lambda^4) \} = \partial_\lambda \{ e^{\pm i\lambda r} \tilde{\omega}_\pm(\lambda r) + e^{-\lambda r} \tilde{\omega}_+(\lambda r) \} \lesssim \lambda^{-1} = \partial_\lambda \{ (\lambda r)^k \}, \quad k > 0,$$

$$\partial_\lambda^2 \{ \tilde{\chi}(\lambda) R^\pm(H_0; \lambda^4) \} \lesssim \lambda^{-3/2} r^{1/2} = \partial_\lambda^2 \{ (\lambda r)^{1/2+k} \}, \quad k > 0.$$

□

The definition below classifies the type of resonances that may occur at the threshold energy. In Section 7, we establish this classification in detail.

- Definition 3.2.** • *Let $Q = 1 - P$. We say that zero is regular point of the spectrum of $(-\Delta)^2 + V$ provided QTQ is invertible on QL^2 . In that case we define $D_0 := (QTQ)^{-1}$ as an operator on QL^2 .*
- *Assume that zero is not regular point of the spectrum. Let S_1 be the Riesz projection onto the kernel of QTQ . Then $QTQ + S_1$ is invertible on L^2 . Accordingly, we define $D_0 = (QTQ + S_1)^{-1}$, as an operator on QL^2 . This doesn't conflict with the previous definition since $S_1 = 0$ when zero is regular. We say there is a resonance of the first kind at zero if the operator $T_1 := S_1 T P T S_1$ is invertible on $S_1 L^2$.*
 - *We say there is a resonance of the second kind if T_1 is not invertible on $S_1 L^2$, but $T_2 := S_2 v G_2 v S_2$ is invertible where S_2 is the Riesz projection onto the kernel of $S_1 T P T S_1$. Moreover, we define $D_1 := (T_1 + S_2)^{-1}$ as an operator on $S_1 L^2$.*
 - *We say there is a resonance of the third kind if T_2 is not invertible on $S_2 L^2$ but $T_3 := S_3 v G_4 v S_3$ is invertible. Here S_3 is the Riesz projection onto the kernel of $S_2 v G_2 v S_2$. We define $D_2 := (T_2 + S_3)^{-1}$ as an operator on $S_2 L^2$.*
 - *Finally if T_3 is not invertible we say there is a resonance of the fourth kind at zero. Note that in this case the operator $T_4 := S_4 v G_5 v S_4$ is always invertible where S_4 the Riesz projection onto the kernel of $S_3 v G_4 v S_3$. We define $D_3 := (T_3 + S_4)^{-1}$ as an operator on $S_3 L^2$.*

We note that the types of resonance present have a similarity to those that appear for a Schrödinger operator in dimension two. Specifically, a resonance of the first kind is analogous to an ‘s-wave’ resonance, a resonance of the third kind is analogous to a ‘p-wave’ resonance and a resonance of the fourth kind is an eigenfunction. The resonance of the second kind, and its dynamical consequences, are new and have no counterpart in the Schrödinger operator analogy.

As in the four dimensional Schrödinger operator, see the Remarks after Definition 2.5 in [7], T is a compact perturbation of U . Hence, the Fredholm alternative guarantees that S_1 is a finite-rank projection. With these definitions first notice that, $S_4 \leq S_3 \leq S_2 \leq S_1 \leq Q$, hence all S_j are finite-rank projections orthogonal to

the span of v . Second, since T is a self-adjoint operator and S_1 is the Riesz projection onto its kernel, we have $S_1 D_0 = D_0 S_1 = S_1$. Similarly, $S_2 D_1 = D_1 S_2 = S_2$, $S_3 D_2 = D_2 S_3 = S_3$ and $S_4 D_3 = D_3 S_4 = S_4$. We introduce the following terminology from [33, 8, 9]:

Definition 3.3. *We say an operator $T : L^2(\mathbb{R}^2) \rightarrow L^2(\mathbb{R}^2)$ with kernel $T(\cdot, \cdot)$ is absolutely bounded if the operator with kernel $|T(\cdot, \cdot)|$ is bounded from $L^2(\mathbb{R}^2)$ to $L^2(\mathbb{R}^2)$.*

We note that Hilbert-Schmidt and finite-rank operators are absolutely bounded operators.

Lemma 3.4. *Let $|V(x)| \lesssim \langle x \rangle^{-\beta}$ for some $\beta > 4$, then QD_0Q is absolutely bounded.*

The proof follows the proof of Lemma 8 in [33]. The only difference is that one needs $v(x) \log|x-y|v(y)$ to be Hilbert-Schmidt in \mathbb{R}^4 instead of \mathbb{R}^2 , which requires more decay on V .

If zero is regular then one obtains the following expansion for $(M^\pm(\lambda))^{-1}$.

Lemma 3.5. *Assume $|v(x)| \lesssim \langle x \rangle^{-2-2\ell-}$ and assume that zero is regular for H . Then, we have*

$$(M^\pm(\lambda))^{-1} = h_\pm(\lambda)^{-1}S + QD_0Q + E^\pm(\lambda),$$

$$\sum_{j=0}^1 \left\| \sup_{0 < \lambda < \lambda_1} \lambda^{j-\ell} \partial_\lambda^j E^\pm(\lambda) \right\|_{HS} \lesssim 1, \quad 0 < \ell \leq 2,$$

$$\left\| \sup_{0 < \lambda < b < \lambda_1} |b - \lambda|^{-\ell} \lambda^{1+\ell^2-\frac{\ell}{2}} |\partial_\lambda E^\pm(b) - \partial_\lambda E^\pm(\lambda)| \right\|_{HS} \lesssim 1, \quad 0 < \ell < 1.$$

Here $h^\pm(\lambda) = \tilde{g}_1^\pm(\lambda) + \text{trace}(PTP - PTQD_0QTP)$, and

$$S = \begin{bmatrix} P & -PTQD_0Q \\ -QD_0QTP & QD_0QTPTQD_0Q \end{bmatrix},$$

is a self-adjoint, finite rank operator.

Proof. We consider only the ‘+’ case, the case ‘-’ proceeds identically. Let

$$A(\lambda) = \tilde{g}^+(\lambda)P + T = \begin{bmatrix} \tilde{g}_1^+(\lambda)P + PTP & PTQ \\ QTP & QTQ \end{bmatrix}.$$

Then by Feshbach formula (see Lemma 2.8 in [8]) we have $A^{-1}(\lambda) = h^+(\lambda)^{-1}S + QD_0Q$. Hence, using the equality

$$M^+(\lambda) = A(\lambda) + M_0^+(\lambda) = (I + M_0^+(\lambda)A^{-1}(\lambda))A(\lambda),$$

and Neumann series expansion we obtain

$$(M^+(\lambda))^{-1} = A^{-1}(\lambda)(I + M_0^+(\lambda)A^{-1}(\lambda))^{-1} = h^+(\lambda)^{-1}S + QD_0Q + E^+(\lambda).$$

The Lipschitz bound follows from the bounds in Lemma 2.3 and Corollary 2.4, along with the fact that $A^{-1}(\lambda) = \tilde{O}(1)$ implies that (for $0 < \lambda < b < \lambda_1$)

$$|\partial_\lambda A^{-1}(\lambda) - \partial_\lambda A^{-1}(b)| \lesssim |b - \lambda|^\alpha \lambda^{-1-\alpha}.$$

□

The following lemma from [18] is the main tool to obtain the expansions of $(M_\pm(\lambda))^{-1}$ in different assumptions on zero energy.

Lemma 3.6. *Let M be a closed operator on a Hilbert space \mathcal{H} and S a projection. Suppose $M + S$ has a bounded inverse. Then M has a bounded inverse if and only if*

$$B := S - S(M + S)^{-1}S$$

has a bounded inverse in $S\mathcal{H}$, and in this case

$$(26) \quad M^{-1} = (M + S)^{-1} + (M + S)^{-1}SB^{-1}S(M + S)^{-1}.$$

We use this lemma repeatedly with $M = M^\pm(\lambda)$ and the projection S_1 . In fact, much of our technical work in the following sections is devoted to finding appropriate expansions for B^{-1} under the various spectral assumptions. We first use Lemma 3.6 to compute appropriate expansions for $(M^\pm(\lambda) + S_1)^{-1}$.

Lemma 3.7. *Let $0 < \lambda \ll 1$, if $|V(x)| \lesssim \langle x \rangle^{-4-2\ell^-}$, for some $0 < \ell \leq 2$, then*

$$(27) \quad (M^\pm(\lambda) + S_1)^{-1}(\lambda) = h_\pm^{-1}(\lambda)S + QD_0Q + \tilde{O}_1(\lambda^\ell).$$

If $|V(x)| \lesssim \langle x \rangle^{-8-2\ell^-}$ for some $0 < \ell < 2$, then

$$(28) \quad (M^\pm(\lambda) + S_1)^{-1}(\lambda) = h_\pm^{-1}(\lambda)S + QD_0Q - c^\pm \lambda^2 A^{-1}vG_2vA^{-1} + \tilde{O}_1(\lambda^{2+\ell}).$$

If $|V(x)| \lesssim \langle x \rangle^{-12-2\ell^-}$ for some $0 < \ell \leq 2$, then

$$(29) \quad (M^\pm(\lambda) + S_1)^{-1}(\lambda) = h_\pm^{-1}(\lambda)S + QD_0Q - c^\pm\lambda^2A^{-1}vG_2vA^{-1} \\ - \tilde{g}_3^\pm(\lambda)A^{-1}vG_4vA^{-1} + \lambda^4[A^{-1}vG_5vA^{-1} - A^{-1}vG_2vA^{-1}vG_2vA^{-1}] + O_1(\lambda^{4+\ell}).$$

Here

$$S = \begin{bmatrix} P & -P(T + S_1)QD_0Q \\ -QD_0Q(T + S_1)P & QD_0Q(T + S_1)P(T + S_1)QD_0Q \end{bmatrix}, \\ A^{-1}(\lambda) = h_\pm^{-1}(\lambda)S + QD_0Q.$$

Proof. With some abuse of notation we redefine $A(\lambda)$ in Lemma 3.5 as

$$A(\lambda) = \tilde{g}_1^\pm(\lambda)P + S_1 = \begin{bmatrix} \tilde{g}_1^\pm(\lambda)P + P(T + S_1)P & P(T + S_1)Q \\ Q(T + S_1)P & Q(T + S_1)Q \end{bmatrix}$$

Since $(T + S_1)$ is invertible on QL^2 , we can use the Feshbach formula as in the proof of Lemma 3.5. Doing so, we obtain $A^{-1}(\lambda) = h_\pm^{-1}(\lambda)S + QD_0Q$, where D_0 is the inverse of $(T + S_1)^{-1}$ on QL^2 and S is as above. Now, knowing that the leading term is invertible for $0 < \lambda \ll 1$, we can use Neumann series expansion to invert $M(\lambda) + S_1$, using the expansions (23), (24), and (25) to obtain (27), (28) and (29) respectively. \square

4. LOW ENERGY DISPERSIVE BOUNDS WHEN ZERO IS REGULAR

In this and the following section we analyze the perturbed evolution e^{-itH} in $L^1 \rightarrow L^\infty$ setting for small energy, when the spectral variable λ is in a small neighborhood of the threshold energy $\lambda = 0$. As in the free case, we represent the solution via Stone's formula, see (7). As usual, we analyze (7) separately for large energy, when $\lambda \gtrsim 1$, and for small energy, when $\lambda \ll 1$, see for example [33, 8, 34]. The presence of zero energy resonances is not seen in the large energy expansions. We start with the small energies $\lambda \ll 1$, and analyze the large energy in Section 6 to complete the proofs of Theorems 1.1 and 1.2.

In this section, we utilize the expansions in the previous section to understand the dispersive bounds in the low energy regime, when the spectral variable is in a sufficiently small neighborhood of zero. We break the section into two subsections. In the first subsection, we consider the first claim in Theorem 1.1, and prove a uniform bound with the natural $|t|^{-1}$ decay rate when zero is regular. In the second subsection,

we consider a weighted bound that attains faster time decay when zero is regular for the claim in Theorem 1.2.

4.1. The Unweighted bound. In this subsection we consider the case when zero is regular and prove bounds on the solution operator from L^1 to L^∞ . In particular, we prove the following low energy estimate.

Proposition 4.1. *Let $|V(x)| \lesssim \langle x \rangle^{-4-}$ and suppose that zero energy is regular. Then, we have*

$$\sup_{x,y} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R_V^+ - R_V^-](\lambda)(x, y) d\lambda \right| \lesssim \langle t \rangle^{-1}.$$

We prove this proposition in a series of lemmas. Using (22), one has

$$(30) \quad R_V^\pm(\lambda) = R^\pm(H_0; \lambda^4) - R^\pm(H_0; \lambda^4)v [h^\pm(\lambda)^{-1}S + QD_0Q + E^\pm(\lambda)] \\ vR^\pm(H_0; \lambda^4).$$

The contribution of the first term to the Stone's formula is controlled by Lemma 2.5. We now consider the remaining terms. For notational convenience, we write $R^\pm(\lambda^4) := R^\pm(H_0; \lambda^4)$.

Lemma 4.2. *We have the bound*

$$\sup_{x,y} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R^\pm v Q D_0 Q v R^\pm](\lambda^4)(x, y) d\lambda \right| \lesssim \langle t \rangle^{-1}.$$

Before we start to prove Lemma 4.2 we give some important bounds on the free resolvent which will be useful for our analysis. We first decompose the free resolvent into low and high arguments based on the size of $\lambda|x - y|$. In particular, we write

$$(31) \quad R^\pm(\lambda^4)(x, y) = \chi(\lambda|x - y|)R^\pm(\lambda^4)(x, y) + \tilde{\chi}(\lambda|x - y|)R^\pm(\lambda^4)(x, y).$$

Recall that on the support of $\tilde{\chi}(\lambda|x - y|)$ one has,

$$(32) \quad R^\pm(\lambda^4)(x_1, x)\tilde{\chi}(\lambda|x - x_1|) = e^{\pm i\lambda r}\tilde{\omega}(\lambda r) + e^{-\lambda r}\tilde{\omega}(\lambda r) := A(\lambda, |x - x_1|) \\ \tilde{\omega}(\lambda r) = \tilde{\chi}(\lambda r)(\lambda r)^{-1}\omega(\lambda r) \quad , \quad |\omega_\pm^{(\ell)}(z)| \lesssim (1 + |z|)^{-\frac{1}{2}-\ell}.$$

This implies

$$(33) \quad |A(\lambda, |x - x_1|)| \lesssim \frac{\tilde{\chi}(\lambda|x - x_1|)}{(\lambda|x - x_1|)^{\frac{3}{2}}}, \quad |\partial_\lambda A(\lambda, |x - x_1|)| \lesssim \frac{\tilde{\chi}(\lambda|x - x_1|)}{\lambda^{\frac{3}{2}}|x - x_1|^{\frac{1}{2}}}.$$

On the other hand, for $\lambda|x - x_1| \ll 1$ we have

$$(34) \quad R^\pm(\lambda^4)(x, x_1)\chi(\lambda|x - x_1|) \\ = a_1 \log(\lambda|x - x_1|) + \alpha^\pm + \tilde{O}_2((\lambda|x - x_1|)^2) = \gamma^\pm(\lambda, |x - x_1|).$$

where $a_1 \in \mathbb{R} \setminus \{0\}$ and $\alpha^\pm \in \mathbb{C}$. The following lemma plays an important role on controlling the operators arising from (34).

Lemma 4.3. *Let $p = |x - x_1|$, $q = \langle x \rangle$, and*

$$F^\pm(\lambda, x, x_1) := \chi(\lambda p)[a \log(\lambda p) + \alpha^\pm] - \chi(\lambda q)[a \log(\lambda q) + \alpha^\pm].$$

Defining $k(x, x_1) := 1 + \log^+ |x_1| + \log^- |x - x_1|$, with $\log^- r := \chi_{0 < r < 1} \log r$ and $\log^+ r := \chi_{r > 1} \log r$, one has

$$|F^\pm(\lambda, x_1, x)| \leq \int_0^{2\lambda_1} |\partial_\lambda F^\pm(\lambda, x, x_1)| d\lambda + |F^\pm(0+, x, x_1)| \lesssim k(x, x_1), \\ |\partial_\lambda F^\pm(\lambda, x_1, x)| \lesssim \frac{1}{\lambda}.$$

Here, $F^\pm(0+, x, x_1)$ denotes $\lim_{\lambda \rightarrow 0+} F^\pm(\lambda, x, x_1)$. In particular, we note that $\partial_\lambda F(\lambda, x_1, x)$ is integrable in a neighborhood of zero.

Proof. These bounds are established in [33]. For the sake of completeness, we show that $\partial_\lambda F(\lambda, x_1, x)$ is integrable in a neighborhood of zero. Note that we have

$$|\partial_\lambda F^\pm(\lambda, x_1, x)| = p\chi'(\lambda p)[\log(\lambda p) + \alpha^\pm] + q[\chi'(\lambda q) \log(\lambda q) + \alpha^\pm] + \frac{\chi(\lambda p) - \chi(\lambda q)}{\lambda}$$

Notice that first term is supported only when $\lambda \approx p^{-1}$. Hence, its contribution to the integral is bounded. The second term is bounded similarly. For the third term, notice $\chi(\lambda p) - \chi(\lambda q)$ is supported in $[2\lambda_1 p^{-1}, 2\lambda_1 q^{-1}]$ and its contribution to the integral is bounded by $k(x, x_1)$. \square

Proof of Lemma 4.2. We consider the following case

$$\sup_{x, y} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R^+ v Q D_0 Q v R^+](\lambda^4)(x, y) d\lambda \right| \lesssim \langle t \rangle^{-1}.$$

Following the argument below, the same bound holds if one exchanges R^+ with R^- .

Notice that using the orthogonality property $Qv = vQ = 0$ and (31), we can exchange R^+ on both sides of vQD_0Qv with

$$(35) \quad H^+(\lambda, x, x_1) := F^+(\lambda, x, x_1) + \chi(\lambda|x - x_1|)\tilde{O}_1((\lambda|x - x_1|)^{\frac{1}{2}}) + A(\lambda, |x - x_1|),$$

and consider

$$(36) \quad \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [H^+ v Q D_0 Q v H^+](\lambda^4)(x, y) d\lambda.$$

Note that this integral is bounded, since by Lemma 4.3 $|H^\pm(\lambda, x, x_1)| \lesssim k(x, x_1)$ and one has

$$(37) \quad \|v(x_1)k(x, x_1)\|_{L^2_{x_1}} \|QD_0Q\|_{L^2 \rightarrow L^2} \|v(y_1)k(x, x_1)\|_{L^2_{y_1}} \lesssim 1$$

provided $v(x_1)[\langle x_1 \rangle^{0+} + \log^- |x_1 - \cdot|] \in L^2$. Here we also used the fact that QD_0Q is absolutely bounded.

Next we prove the time dependent bound on (36). Suppressing the operators and spatial integrals for the moment, by integration by parts it is enough to bound

$$\left| \frac{1}{4it} \int_0^\infty e^{-it\lambda^4} \partial_\lambda \{ \chi(\lambda) H(\lambda, x, x_1) H(\lambda, y, y_1) \} d\lambda \right|.$$

Note by Fundamental Theorem of Calculus and the fact that $\chi(\lambda)$ has compact support, it suffices to bound this integral to bound (36).

Notice that by Lemma 4.3 and (33), one has

$$(38) \quad \int_0^\infty |\partial_\lambda \{ \chi(\lambda) H^\pm \}(x, x_1) H^\pm(y_1, y)| d\lambda \\ \lesssim k(y, y_1) \left(\int_0^{2\lambda_1} |\partial_\lambda F| d\lambda + \int_0^{r_1^{-1}} \frac{r_1^{\frac{1}{2}}}{\lambda^{\frac{1}{2}}} d\lambda + \int_{r_1^{-1}}^\infty \frac{1}{\lambda^{\frac{3}{2}} r_2^{\frac{1}{2}}} d\lambda \right) \lesssim k(y, y_1) k(x, x_1).$$

Hence, by symmetry and (37) we establish the statement. \square

We next estimate the contribution of

$$R^\pm(\lambda^4) v h_\pm^{-1}(\lambda) S v R^\pm(\lambda^4)$$

to the Stone's formula. In contrast to the previous lemma, we do not have any orthogonality properties to use. Therefore, we have to utilize the cancellation between

+ and - terms arising due to Stone's formula. To do that we use the algebraic fact,

$$(39) \quad \prod_{k=0}^M A_k^+ - \prod_{k=0}^M A_k^- = \sum_{\ell=0}^M \left(\prod_{k=0}^{\ell-1} A_k^- \right) (A_\ell^+ - A_\ell^-) \left(\prod_{k=\ell+1}^M A_k^+ \right).$$

Lemma 4.4. *We have the bound*

$$\sup_{x,y} \left| \int_0^\infty e^{it\lambda^4} \lambda^3 \chi(\lambda) \left[\frac{R^+(\lambda^4) v S v R^+(\lambda^4)}{h_+(\lambda)} - \frac{R^-(\lambda^4) v S v R^-(\lambda^4)}{h_-(\lambda)} \right] (x, y) d\lambda \right| \lesssim \langle t \rangle^{-1}.$$

Proof. Using (31) and (39) we need to bound the contribution of the following operators, (with $r_1 := |x - x_1|$ and $r_2 := |y - y_1|$)

$$\begin{aligned} \Gamma_u^1 &:= [\gamma^+(\lambda, r_1) - \gamma^-(\lambda, r_1)] \frac{v S v}{h_\pm(\lambda)} \gamma^\pm(\lambda, r_2), \\ \Gamma_u^2 &:= \left[\frac{1}{h^+(\lambda)} - \frac{1}{h^-(\lambda)} \right] \gamma^+(\lambda, r_1) v S v \gamma^-(\lambda, r_1), \\ \Gamma_{lh}^\pm &:= \gamma^\pm(\lambda, r_1) \frac{v S v}{h_\pm(\lambda)} A(\lambda, r_2), \quad \Gamma_{hh}^\pm = A(\lambda, r_1) \frac{v S v}{h_\pm(\lambda)} A(\lambda, r_2). \end{aligned}$$

We first consider Γ_u^1 . Notice that on the support of $\chi(\lambda)$, one has

$$\chi(\lambda) \chi(\lambda r) |\log(\lambda r)| \lesssim 1 + |\log(\lambda)| + \log^-(r).$$

Hence, we see

$$\left| \frac{\chi(\lambda r) \log(\lambda r)}{\log \lambda + z} \right| \lesssim 1 + \frac{1 + \log^- r}{\log(\lambda) + z}.$$

This gives,

$$(40) \quad \left| \frac{\gamma^\pm(\lambda, r)}{h^\pm(\lambda)} \right| \lesssim 1 + \log^- r$$

$$(41) \quad \left| \partial_\lambda \left[\frac{\gamma^\pm(\lambda, r)}{h^\pm(\lambda)} \right] \right| \lesssim \chi(\lambda r) \lambda^{-1/2} r^{1/2} + \frac{1 + \log^- r}{\lambda(\log(\lambda) + z)^2}.$$

Moreover we have,

$$(42) \quad |\gamma^+(\lambda, r) - \gamma^-(\lambda, r)| \lesssim \chi(\lambda r), \quad |\partial_\lambda [\gamma^+(\lambda, r) - \gamma^-(\lambda, r)]| \lesssim \chi(\lambda r) \lambda^{-\frac{1}{2}} r^{\frac{1}{2}}.$$

Therefore, we have

$$(43) \quad \sup_{x,y} \int_0^\infty \left| \partial_\lambda \{ \chi(\lambda) \Gamma_u^1(\lambda)(x, y) \} \right| d\lambda \lesssim \int_{\mathbb{R}^8} \int_0^\infty \frac{\chi(\lambda) \chi(\lambda r_1) \chi(\lambda r_2) \max(r_1^{\frac{1}{2}}, r_2^{\frac{1}{2}}, 1) [v S v](x_1, y_1)}{\lambda^{\frac{1}{2}}} d\lambda dx_1 dy_1$$

$$+ \int_{\mathbb{R}^8} \int_0^\infty \frac{\chi(\lambda)[vSv](x_1, y_1)k(y, y_1)}{\lambda(\log(\lambda) + z)^2} d\lambda dx_1 dy_1 \lesssim 1,$$

which suffices to establish the bound t^{-1} for Γ_u^1 . For the final bound, we note that

$$\left| \int_0^\infty \frac{\chi(\lambda)\chi(\lambda r_1)\chi(\lambda r_2) \max(r_1^{\frac{1}{2}}, r_2^{\frac{1}{2}}, 1)}{\lambda^{\frac{1}{2}}} d\lambda \right| \lesssim \int_0^1 \lambda^{-\frac{1}{2}} d\lambda + \sum_{j=1}^2 \int_0^{r_j^{-1}} r_j^{\frac{1}{2}} \lambda^{-\frac{1}{2}} d\lambda \lesssim 1.$$

Moreover, noting that S is absolutely bounded, similar to (37), one can control the spatial integral since

$$(44) \quad \|v(x_1)\|_{L_{x_1}^2} \| |S| \|_{L^2 \rightarrow L^2} \|v(y_1)k(y_1, \cdot)\|_{L_{y_1}^2} \lesssim 1,$$

uniformly in x and y . Finally, using (40),(42) together with (44), we obtain the boundedness of the contribution of Γ_u^1 to the Stone's formula.

Next we prove the statement for Γ_u^2 . Note that

$$\frac{1}{h_+(\lambda)} - \frac{1}{h_-(\lambda)} = \frac{1}{\tilde{g}^+(\lambda) + c} - \frac{1}{\tilde{g}^-(\lambda) + c} = \frac{2i\Im(z_1)}{(\tilde{g}^-(\lambda) + c)(\tilde{g}^+(\lambda) + c)}.$$

Therefore,

$$(45) \quad \left| \left[\frac{1}{h^+(\lambda)} - \frac{1}{h^-(\lambda)} \right] \gamma^\pm(\lambda, r_1)\gamma^\pm(\lambda, r_2) \right| \lesssim k(x, x_1)k(y, y_1).$$

Moreover, by (41), one has

$$\left| \partial_\lambda \left(\left[\frac{1}{h^+(\lambda)} - \frac{1}{h^-(\lambda)} \right] \gamma^\pm(\lambda, r_1)\gamma^\pm(\lambda, r_2) \right) \right| \lesssim \frac{k(x, x_1)k(y, y_1)}{\lambda \log^2 \lambda} + \frac{\max(r_1^{\frac{1}{2}}, r_2^{\frac{1}{2}}, 1)}{\lambda^{1/2}}.$$

Hence, we obtain

$$\begin{aligned} \sup_{x, y} \int_0^\infty \left| \partial_\lambda \{ \chi(\lambda)\Gamma_u^2(\lambda)(x, y) \} \right| d\lambda &\lesssim \\ &\int_{\mathbb{R}^8} \int_0^\infty \frac{\chi(\lambda)\chi(\lambda r_1)\chi(\lambda r_2) \max(r_1^{\frac{1}{2}}, r_2^{\frac{1}{2}}, 1)[vSv](x_1, y_1)}{\lambda^{1/2}} d\lambda dx_1 dy_1 \\ &\quad + \int_{\mathbb{R}^8} \int_0^\infty \frac{\chi(\lambda)k(x, x_1)[vSv](x_1, y_1)k(y, y_1)}{\lambda(\log(\lambda))^2} d\lambda dx_1 dy_1 \lesssim 1. \end{aligned}$$

The boundedness of the spatial integrals is controlled as in (37) and the previous case. Moreover, (45) together with (44) shows that the contribution of Γ_u^2 to the Stone's formula is bounded by one.

For the remaining cases, we do not rely on any cancellation between the ‘+’ and ‘-’ terms. In fact, the contribution of Γ_{hh} is included in the analysis of integral (36) in Lemma 4.2. Therefore, we consider only Γ_{lh} . The contribution of Γ_{lh} is bounded by (40) and the fact that $|A(\lambda, r)| \lesssim 1$. For the time bound, note that if the derivative falls on $h_{\pm}^{-1}(\lambda)\gamma^{\pm}(\lambda, r_1)$, we use (41) and control the integral as in Γ_{ll}^1 or Γ_{ll}^2 . If the derivative falls on $A(\lambda, r_2)$, we have

$$\begin{aligned} \sup_{x,y} \int_0^{\infty} \left| \chi(\lambda)\gamma^{\pm}(\lambda, r_1) \frac{vSv}{h_{\pm}(\lambda)} \partial_{\lambda} A(\lambda, r_2) \right| d\lambda &\lesssim \\ &\int_{\mathbb{R}^8} \int_0^{\infty} \frac{\chi(\lambda)(1 + \log^{-} r_1) |vSv|(x_1, y_1) \tilde{\chi}(\lambda r_2)}{r_2^{\frac{1}{2}} \lambda^{\frac{3}{2}}} d\lambda dx_1 dy_1 \\ &\lesssim \int_{\mathbb{R}^8} (1 + \log^{-} r_1) |vSv|(x_1, y_1) \int_0^{\infty} \frac{\tilde{\chi}(\lambda r_2)}{r_2^{\frac{1}{2}} \lambda^{\frac{3}{2}}} d\lambda dx_1 dy_1 \lesssim 1. \end{aligned}$$

Again, the boundedness of the spatial integrals follows from the absolute boundedness of S as in (37), and the previous cases. \square

The smallness in λ as $\lambda \rightarrow 0$ in the error term, see Lemma 3.5, allows us to integrate by parts directly. While we cannot take advantage of any cancellation from the difference of ‘+’ and ‘-’ terms, this lack of cancellation is more than compensated for by the smallness of $E^{\pm}(\lambda)$. We prove the following lemma to control the contribution of $E^{\pm}(\lambda)$.

Lemma 4.5. *Let $|V(x)| \lesssim \langle x \rangle^{-4-}$. Assume $E(\lambda) = \tilde{O}_1(\lambda^{\ell})$ for some $0 < \ell < 1$ as an absolutely bounded operator. Then, we have the bound*

$$\sup_{x,y} \left| \int_0^{\infty} e^{-it\lambda^4} \lambda^3 \chi(\lambda) R^{\pm}(\lambda^4) v E^{\pm}(\lambda) v R^{\pm}(\lambda^4) d\lambda \right| \lesssim \langle t \rangle^{-1}.$$

Proof. For this proof, we use a less delicate expansion for the free resolvent,

$$R^{\pm}(\lambda^4)(x, y) = \tilde{O}_1((\lambda|x - y|)^{-\epsilon}),$$

where we may choose any $\epsilon > 0$, see Remark 2.2. We choose $\epsilon = 0+$ to minimize the required decay on the potential. Then we consider

$$\int_0^{\infty} e^{-it\lambda^4} \lambda^3 \chi(\lambda) \tilde{O}_1(\lambda^{-2\epsilon} |y - y_1|^{-\epsilon} |x - x_1|^{-\epsilon}) v E^{\pm} v(\lambda)(y_1, x_1) d\lambda$$

This integral is easily seen to be bounded. To see the time bound, we integrate by parts once, noting that the bounds on $E^\pm(\lambda)$ ensure the lack of boundary terms. Let $\ell = 2\epsilon +$ in Lemma 3.5. We have (with $r_1 = |x - x_1|$ and $r_2 = |y - y_1|$)

$$\begin{aligned} & \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) \tilde{O}_1(\lambda^{-2\epsilon} r_1^{-\epsilon} r_2^{-\epsilon}) v E^\pm v(\lambda)(y_1, x_1) d\lambda \right| \\ & \lesssim \frac{1}{t} \int_0^\infty \left| \partial_\lambda \{ \chi(\lambda) \tilde{O}_1(\lambda^{-2\epsilon} r_1^{-\epsilon} r_2^{-\epsilon}) v E^\pm v(\lambda)(y_1, x_1) \} \right| d\lambda \\ & \lesssim \frac{1}{t} \int_0^\infty \lambda^{\ell-1-2\epsilon} r_2^{-\epsilon} v(y_1) [\lambda^{-\ell} |E^\pm(\lambda)| + \lambda^{-\ell+1} |\partial_\lambda E^\pm(\lambda)|] v(x_1) r_1^{-\epsilon} d\lambda. \end{aligned}$$

It is easy to see that the λ integral converges. Moreover, the spatial integral converges since

$$\| |y - y_1|^{-\epsilon} v(y_1) \|_{L_{y_1}^2} \left\| \sup_{0 < \lambda \ll 1} \sum_{j=0}^1 \lambda^{j-\ell} |\partial_\lambda^j E^\pm(\lambda)(x, y)| \right\|_{HS} \| |x - x_1|^{-\epsilon} v(x_1) \|_{L_{x_1}^2}$$

is bounded uniformly in x, y for our choice of $\epsilon = 0+$.

□

We are now ready to prove the main proposition.

Proof of Proposition 4.1 . By the symmetric resolvent identity, (30), and the discussion following the statement of the proposition, we need to control the contribution of

$$h^\pm(\lambda)^{-1} S + Q D_0 Q + E^\pm(\lambda)$$

to $(M^\pm(\lambda))^{-1}$ in the Stone's formula. The required bounds are established in Lemmas 4.4, 4.2 and 4.5 respectively.

□

4.2. Weighted Dispersive bound. It is known that when zero energy is regular for the two dimensional Schrödinger equation, one can obtain a faster time decay at the cost of spatial weights, [28, 9]. In this section we show that this is also true for the fourth order Schrödinger equation in four dimensions. The proof here are inspired by the weighted dispersive bound for the two-dimensional Schrödinger operator obtained in [9]. The following Proposition is the main result of this section.

Proposition 4.6. *Let $|V(x)| \lesssim \langle x \rangle^{-4-}$. We have the bound, for $t > 2$*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R_V^+ - R_V^-](\lambda)(x, y) d\lambda \right| \lesssim \frac{w(x)w(y)}{t \log^2 t} + \frac{\langle x \rangle^{\frac{1}{2}} \langle y \rangle^{\frac{1}{2}}}{t^{1+}}$$

where $w(x) = \log^2(2 + |x|)$.

As usual, we begin by using the symmetric resolvent identity (30), where we use the expansion in Lemma 3.5 for $(M^\pm(\lambda))^{-1}$. Recall that, by Lemma 2.5 the contribution of the first summand in (30) is

$$\frac{\Im z_1}{4t} + O(t^{-\frac{9}{8}} \langle x \rangle^{\frac{1}{2}} \langle y \rangle^{\frac{1}{2}}).$$

Proposition 4.7. *Let $|V(x)| \lesssim \langle x \rangle^{-4-4\ell-}$ for some $\ell > 0$. For $t > 2$, we have*

$$\begin{aligned} \int_0^\infty e^{-it\lambda^4} \lambda^4 \chi(\lambda) [R^+(\lambda^4) \frac{vSv}{h^+(\lambda)} R^+(\lambda^4) - R^-(\lambda^4) \frac{vSv}{h^-(\lambda)} R^-(\lambda^4)](\lambda)(x, y) d\lambda \\ = \frac{\Im z_1}{4t} + O\left(\frac{w(x)w(y)}{t \log^2 t}\right) + O\left(\frac{\langle x \rangle^{2\ell} \langle y \rangle^{2\ell}}{t^{1+}}\right). \end{aligned}$$

We observe in the proposition that the leading term from the contribution of

$$R^+(\lambda^4) \frac{vSv}{h^+(\lambda)} R^+(\lambda^4) - R^-(\lambda^4) \frac{vSv}{h^-(\lambda)} R^-(\lambda^4)$$

exactly cancels the term $\frac{\Im z_1}{4t}$ arises from the contribution of the free resolvent leading term in (30). This allows for the faster time decay in Proposition 4.6.

To establish these bounds, we require the following oscillatory integral estimates.

Lemma 4.8. *For $\mathcal{E}(\lambda)$ compactly supported and $t > 2$, we have*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda + \frac{i\mathcal{E}(0)}{4t} \right| \lesssim \frac{1}{t} \int_0^{t^{-\frac{1}{4}}} |\mathcal{E}'(\lambda)| d\lambda + \frac{|\mathcal{E}'(t^{-\frac{1}{4}})|}{t^{\frac{5}{4}}} + \frac{1}{t^2} \int_{t^{-\frac{1}{4}}}^\infty \left| \left(\frac{\mathcal{E}'(\lambda)}{\lambda^3} \right)' \right| d\lambda.$$

Proof. We integrate by parts using $e^{-it\lambda^4} \lambda^3 = -\partial_\lambda e^{-it\lambda^4} / 4it$ to see

$$\int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda = \frac{-e^{-it\lambda^4} \mathcal{E}(\lambda)}{4it} \Big|_0^\infty + \frac{1}{4it} \int_0^\infty e^{-it\lambda^4} \mathcal{E}'(\lambda) d\lambda.$$

The boundary term for large λ is zero because of the support of $\mathcal{E}(\lambda)$. We break up the remaining integral into two pieces, first on $[0, t^{-\frac{1}{4}}]$ we use the triangle inequality.

On the second piece, we integrate by parts again and we have

$$\frac{1}{t^2} \left| \frac{\mathcal{E}'(\lambda)}{\lambda^3} \right| \Big|_{t^{-\frac{1}{4}}}^\infty + \frac{1}{t^2} \int_{t^{-\frac{1}{4}}}^\infty |\partial_\lambda (\lambda^{-3} \mathcal{E}'(\lambda))| d\lambda \lesssim \frac{|\mathcal{E}'(t^{-\frac{1}{4}})|}{t^{\frac{5}{4}}} + \frac{1}{t^2} \int_{t^{-\frac{1}{4}}}^\infty |\partial_\lambda (\lambda^{-3} \mathcal{E}'(\lambda))| d\lambda.$$

□

Lemma 4.9. *If $\mathcal{E}(\lambda) = \tilde{O}_2(\frac{1}{\log^2 \lambda})$ is supported on $0 < \lambda \leq \lambda_1 \ll 1$, then for $t > 2$ we have*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda \right| \lesssim \frac{1}{t \log^2 t}.$$

Proof. We apply Lemma 4.8. The boundary terms are zero because $\mathcal{E}(0) = 0$. On $[0, t^{-\frac{1}{4}}]$ we have the bound

$$\frac{1}{t} \int_0^{t^{-\frac{1}{4}}} \frac{d\lambda}{\lambda |\log \lambda|^3} \sim \frac{1}{t \log^2 t}.$$

On the second piece, we integrate by parts again and seek to bound

$$\frac{|\mathcal{E}'(t^{-\frac{1}{4}})|}{t^{\frac{5}{4}}} + \frac{1}{t^2} \int_{t^{-\frac{1}{4}}}^\infty |\partial_\lambda \mathcal{E}'(\lambda)| d\lambda.$$

The boundary term contributes $\frac{1}{t \log^3 t}$. The remaining integral is bounded by

$$\begin{aligned} \frac{1}{t^2} \left[\int_{t^{-\frac{1}{4}}}^{t^{-\frac{1}{8}}} + \int_{t^{-\frac{1}{8}}}^{\frac{1}{2}} + \int_{\frac{1}{2}}^\infty \right] |(\lambda^{-3} \mathcal{E}'(\lambda))'| d\lambda &\lesssim \frac{1}{t^2 |\log t|^3} \int_{t^{-\frac{1}{4}}}^{t^{-\frac{1}{8}}} \frac{d\lambda}{\lambda^5} + \frac{1}{t^2} \int_{t^{-\frac{1}{8}}}^{\frac{1}{2}} \frac{d\lambda}{\lambda^5} + \frac{1}{t^2} \\ &\lesssim \frac{1}{t |\log t|^3} + \frac{1}{t^{\frac{3}{2}} |\log t|^3} + \frac{1}{t^{\frac{3}{2}}} + \frac{1}{t^2}. \end{aligned}$$

We used the fact that the integral on $\lambda \geq \frac{1}{2}$ converges. Combining these bounds proves the assertion. □

We need the following lemma to utilize the Lipschitz continuity of the error terms in the expansions of the spectral measure. These estimates allow us to match the assumptions on the decay on $V(x)$ in the unweighted bound of Theorem 1.1.

Lemma 4.10. *If $\mathcal{E}(0) = 0$ and $t > 2$, then*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda \right| \lesssim \frac{1}{t} \int_0^\infty \frac{|\mathcal{E}'(\lambda)|}{1 + \lambda^4 t} d\lambda + \frac{1}{t} \int_{t^{-\frac{1}{4}}}^\infty \left| \mathcal{E}'(\lambda \sqrt[4]{1 + \pi t^{-1} \lambda^{-4}}) - \mathcal{E}'(\lambda) \right| d\lambda$$

Proof. We first integrate by parts once and use the change of variables $s = \lambda^4$ see

$$\int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda = \frac{-1}{4it} \int_0^\infty e^{-it\lambda^4} \mathcal{E}'(\lambda) d\lambda = \frac{-1}{16it} \int_0^\infty e^{-its} \frac{\mathcal{E}'(s^{\frac{1}{4}})}{s^{\frac{3}{4}}} ds.$$

We then break the integral up into two pieces, on $[0, \frac{2\pi}{t}]$ and $[\frac{2\pi}{t}, \infty)$. For the first piece, we note that

$$\left| \int_0^{2\pi/t} e^{-its} \frac{\mathcal{E}'(s^{\frac{1}{4}})}{s^{\frac{3}{4}}} ds \right| = \left| \int_0^{\sqrt[4]{2\pi/t}} e^{-it\lambda^4} \mathcal{E}'(\lambda) d\lambda \right| \lesssim \int_0^\infty \frac{|\mathcal{E}'(\lambda)|}{1 + \lambda^4 t} d\lambda.$$

On the second piece, we write

$$\int_{2\pi/t}^\infty e^{-its} \frac{\mathcal{E}'(s^{\frac{1}{4}})}{s^{\frac{3}{4}}} ds = - \int_{2\pi/t}^\infty e^{-it(s-\frac{\pi}{t})} \frac{\mathcal{E}'(s^{\frac{1}{4}})}{s^{\frac{3}{4}}} ds = - \int_{\pi/t}^\infty e^{-its} \frac{\mathcal{E}'(\sqrt[4]{s+\frac{\pi}{t}})}{(s+\frac{\pi}{t})^{\frac{3}{4}}} ds$$

Thus, we need only control the contribution of

$$\int_{\pi/t}^\infty e^{-its} \left(\frac{\mathcal{E}'(s)}{s^{\frac{3}{4}}} - \frac{\mathcal{E}'(\sqrt[4]{s+\frac{\pi}{t}})}{(s+\frac{\pi}{t})^{\frac{3}{4}}} \right) ds$$

We now consider

$$\begin{aligned} \left| \frac{\mathcal{E}'(s^{\frac{1}{4}})}{s^{\frac{3}{4}}} - \frac{\mathcal{E}'(\sqrt[4]{s+\frac{\pi}{t}})}{(s+\frac{\pi}{t})^{\frac{3}{4}}} \right| &= \left| \frac{\mathcal{E}'(s^{\frac{1}{4}}) - \mathcal{E}'(\sqrt[4]{s+\frac{\pi}{t}})}{(s+\frac{\pi}{t})^{\frac{3}{4}}} + \mathcal{E}'(s^{\frac{1}{4}}) \left(\frac{1}{s^{\frac{3}{4}}} - \frac{1}{(s+\frac{\pi}{t})^{\frac{3}{4}}} \right) \right| \\ &\lesssim \frac{|\mathcal{E}'(s^{\frac{1}{4}}) - \mathcal{E}'(\sqrt[4]{s+\frac{\pi}{t}})|}{s^{\frac{3}{4}}} + \frac{|\mathcal{E}'(s^{\frac{1}{4}})|}{ts^{\frac{7}{4}}}. \end{aligned}$$

The first summand is controlled by the second integral in the claim, while the second summand is controlled by the first integral. \square

The oscillatory integral bound in Lemma 4.10 is used to control the error term in the expansion of $(M^\pm(\lambda))^{-1}$. We note that the λ smallness in Lemma 3.5 in $E^\pm(\lambda)$ is not optimal. At the cost of further decay in V , one obtains further smallness in λ .

Lemma 4.11. *Let $|V(x)| \lesssim \langle x \rangle^{-4-4\ell-}$ for some $0 < \ell < 1$. For $t > 2$, we have the bound*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) R^\pm(\lambda^4) v E^\pm(\lambda) v R^\pm(\lambda^4) d\lambda \right| \lesssim \frac{\langle x \rangle^{2\ell} \langle y \rangle^{2\ell}}{t^{1+}}.$$

Proof. We use Lemma 4.10 for $\mathcal{E}(\lambda) = \chi(\lambda) R^\pm(\lambda^4) v E^\pm(\lambda) v R^\pm(\lambda^4)$. To do that first we obtain the required bounds on $\mathcal{E}(\lambda)$.

Recall by Lemma 3.5, for $|v(x)| \lesssim \langle x \rangle^{-2-2\ell-}$, we have $E(\lambda) = \tilde{O}_1(\lambda^\ell)$ and

$$(46) \quad |\partial_\lambda E^\pm(b) - \partial_\lambda E^\pm(\lambda)| \lesssim |b - \lambda|^\ell \lambda^{-1-\ell^2+\frac{\ell}{2}}$$

as an absolutely bounded operator. Moreover, taking $\alpha = \ell$ in Corollary 2.4 we obtain, for $\lambda \ll 1$

$$(47) \quad |R(\lambda^4)(x, x_1)| \lesssim \lambda^{0-} \log|x - x_1|, \quad |\partial_\lambda R(\lambda^4)| \lesssim \frac{1}{\lambda} + \lambda^{-1+\ell}|x - x_1|^\ell,$$

$$(48) \quad |\partial_\lambda R(b^4) - \partial_\lambda R(\lambda^4)| \lesssim \frac{1}{\lambda} + |b - \lambda|^\ell \lambda^{-1-\ell^2+\frac{\ell}{2}} |x - x_1|^{-\ell^2+\frac{3\ell}{2}}.$$

The fact that $E(\lambda) = \tilde{O}_1(\lambda^\ell)$ and (47) gives

$$(49) \quad |\partial_\lambda \mathcal{E}(\lambda)| \lesssim \chi(\lambda) \lambda^{-1+\ell-} \langle x \rangle^\ell \langle y \rangle^\ell.$$

Here, for the spatial bound we write $\log|x - y| = \log^-|x - y| + \log^+|x - y|$, and note that if Γ is an absolutely bounded operator,

$$(50) \quad \begin{aligned} \||x - x_1|^p v \Gamma v |y - y_1|^p \|_{L^1 \rightarrow L^\infty} &\lesssim \langle x \rangle^p \langle y \rangle^p \|\langle x_1 \rangle^p v \Gamma v \langle y_1 \rangle^p \|_{L^2 \rightarrow L^2} \\ &\lesssim \langle x \rangle^{\min(p,0)} \langle y \rangle^{\min(p,0)} \end{aligned}$$

for any $-2 < p$, provided $|v(x)| \lesssim \langle x \rangle^{-2-p-}$.

Furthermore, taking $b = \lambda^4 \sqrt[4]{1 + \pi t^{-1} \lambda^{-4}}$ in (46) and (48), and noting that $\lambda^4 \sqrt[4]{1 + \pi t^{-1} \lambda^{-4}} - \lambda \approx (t \lambda^3)^{-1}$ we obtain

$$(51) \quad |\partial_\lambda \mathcal{E}(b) - \partial_\lambda \mathcal{E}(\lambda)| \lesssim (t \lambda^3)^{-\ell} \lambda^{-1-\ell^2+\frac{\ell}{2}} \langle x \rangle^{2\ell} \langle y \rangle^{2\ell}.$$

Using the bounds (49) and (51) in Lemma 4.10, we have

$$\begin{aligned} &\int_0^\infty \frac{|\mathcal{E}'(\lambda)|}{1 + \lambda^4 t} d\lambda + \int_{t^{-\frac{1}{4}}}^\infty \left| \mathcal{E}'(\lambda^4 \sqrt[4]{1 + \pi t^{-1} \lambda^{-4}}) - \mathcal{E}'(\lambda) \right| d\lambda \\ &\lesssim \int_{\lambda \ll t^{-\frac{1}{4}}} |\mathcal{E}'(\lambda)| + \frac{1}{t} \int_{\lambda \gtrsim t^{-\frac{1}{4}}} \frac{\mathcal{E}'(\lambda)}{\lambda^4} + \frac{1}{t^\ell} \int_{t^{-\frac{1}{4}}}^\infty \lambda^{-1-\ell^2-\frac{5\ell}{2}} \langle x \rangle^{2\ell} \langle y \rangle^{2\ell} d\lambda \lesssim \frac{\langle x \rangle^{2\ell} \langle y \rangle^{2\ell}}{t^{\min\{\frac{\ell}{4}, \frac{3\ell-2\ell^2}{8}\}}}. \end{aligned}$$

That fact that $3\ell - 2\ell^2 > 0$ for $0 < \ell < 1$ finishes the proof. \square

We are ready to prove Proposition 4.7.

Proof of Proposition 4.7. Recall the expansion for the resolvent in Lemma 2.3 . We have

$$\frac{R^\pm(\lambda^4) v S v R^\pm(\lambda^4)}{h^\pm(\lambda)} = \frac{(\tilde{g}^\pm(\lambda))^2}{h^\pm(\lambda)} v S v + \frac{\tilde{g}^\pm(\lambda)}{h^\pm(\lambda)} [G_1 v S v + v S v G_1] + \frac{G_1 v S v G_1}{h^\pm(\lambda)} + \tilde{E}_0(\lambda)(x, y)$$

where

$$(52) \quad |\partial_\lambda \tilde{E}_0(\lambda)(x, y)| \lesssim \lambda^{-1+\ell-} \langle x \rangle^\ell \langle y \rangle^\ell,$$

$$(53) \quad |\partial_\lambda \tilde{E}_0(b) - \partial_\lambda \tilde{E}_0(\lambda)| \lesssim (b - \lambda)^\alpha \lambda^{-1-\ell^2+\frac{\ell}{2}} \langle x \rangle^{\frac{3\ell}{2}-\ell^2} \langle y \rangle^{\frac{3\ell}{2}-\ell^2}.$$

Notice that (52) is an immediate consequence of Lemma 2.3 and (50), whereas we need to validate (53). By symmetry it will be enough to analyze $h_\pm^{-1}(\lambda)R^\pm(\lambda^4)(x, x_1)E^\pm(\lambda)(y, y_1)$. Recall that by Lemma 2.3, we have for $0 < \lambda \ll 1$

$$(54) \quad |\partial_\lambda[h_\pm^{-1}R^\pm E^\pm](\lambda)| \lesssim \lambda^{-1+\ell^-} k(x, x_1) |x - x_1|^\ell |y - y_1|^\ell,$$

$$(55) \quad |\partial_\lambda^2[h_\pm^{-1}R^\pm E^\pm](\lambda)| \lesssim \lambda^{-\frac{3}{2}-} k(x, x_1) |x - x_1|^{\frac{1}{2}} |y - y_1|^{\frac{1}{2}}.$$

Note that (55), and the Mean Value Theorem with $0 < b < \lambda$ gives

$$|\partial_\lambda[h_\pm^{-1}R^\pm E^\pm](b) - \partial_\lambda[h_\pm^{-1}R^\pm E^\pm](\lambda)| \lesssim (b - \lambda) \lambda^{-\frac{3}{2}-} k(x, x_1) |x - x_1|^{\frac{1}{2}} |y - y_1|^{\frac{1}{2}}.$$

Moreover, by (54) we have

$$|\partial_\lambda[h_\pm^{-1}R^\pm E^\pm](b) - \partial_\lambda[h_\pm^{-1}R^\pm E^\pm](\lambda)| \lesssim \lambda^{-1+\ell^-} k(x, x_1) |x - x_1|^\ell |y - y_1|^\ell.$$

Interpolating these two inequality we obtain

$$\begin{aligned} & |\partial_\lambda[h_\pm^{-1}R^\pm(\lambda^4)E^\pm](b) - \partial_\lambda[h_\pm^{-1}R^\pm(\lambda^4)E^\pm](\lambda)| \\ & \lesssim (b - \lambda)^\alpha \lambda^{(-1+\ell^-)(1-\alpha)-\frac{3\alpha}{2}-} k(x, x_1) |x - x_1|^{\ell(1-\alpha)+\frac{\alpha}{2}} |y - y_1|^{\ell(1-\alpha)+\frac{\alpha}{2}} \end{aligned}$$

Letting, $\ell = \alpha$, we obtain (53) provided $v(x) \lesssim \langle x \rangle^{-2-2\ell^-}$. Note that, the bounds (52) and (53) are exact same bounds that we have in (49) and (51) respectively (letting $b = \lambda^4 \sqrt{1 + \pi t^{-1} \lambda^{-4}}$). Therefore, Lemma 4.10 for $\mathcal{E} = \tilde{E}_0$ establishes the contribution of \tilde{E}_0 as $t^{-1-} \langle x \rangle^{2\ell} \langle y \rangle^{2\ell}$.

For the other terms we note that $h^\pm(\lambda) = \|V\|_1 \tilde{g}_1^\pm(\lambda) + c$. Therefore,

$$\begin{aligned} \frac{(\tilde{g}_1^+(\lambda))^2}{h^+(\lambda)} - \frac{(\tilde{g}_1^-(\lambda))^2}{h^-(\lambda)} &= \frac{i\Im z_1}{\|V\|_1} + \tilde{O}_2((\log \lambda)^{-2}) \\ \frac{\tilde{g}_1^+(\lambda)}{h^+(\lambda)} - \frac{\tilde{g}_1^-(\lambda)}{h^-(\lambda)} &= \tilde{O}_2((\log \lambda)^{-2}), \quad \frac{1}{h^+(\lambda)} - \frac{1}{h^-(\lambda)} = \tilde{O}_2((\log \lambda)^{-2}). \end{aligned}$$

Moreover, by the absolutely boundedness of S , and the decay assumption on v we have $|G_1 v S v + v S v G_1 + G_1 v S v G_1| \lesssim (1 + \log^+ |x|)(1 + \log^+ |y|)$. Hence,

$$\begin{aligned} \frac{R^+(\lambda^4)SR^+(\lambda^4)}{h^+(\lambda)} - \frac{R^-(\lambda^4)SR^-(\lambda^4)}{h^-(\lambda)} - \tilde{E}_0(\lambda) &= \frac{i\Im z_1}{\|V\|_1} v S v \\ &+ \tilde{O}_2((\log \lambda)^{-2})(1 + \log^+ |x|)(1 + \log^+ |y|). \end{aligned}$$

The second summand on the right hand side is bounded by $(t \log^2 t)^{-1}(1 + \log^+ |x|)(1 + \log^+ |y|)$ using Lemma 4.9. For the first summand, first notice that by $Qv = 0$, we have $vSv = vPv = \|V\|_1$. Hence, by integration by parts the first summand contributions

$$\int_{\mathbb{R}^8} \left(\frac{\mathfrak{F}(z_1)}{\|V\|_1 t} + O(t^{-1-}) \right) [vSv](x_1, y_1) dx_1 dy_1 = \frac{\mathfrak{F}(z_1)}{4t} + O(t^{-1-}).$$

□

Lastly, we consider the contribution of the QD_0Q .

Lemma 4.12. *Let $|V(x)| \lesssim \langle x \rangle^{-4-4\ell-}$ for some $1 > \ell > 0$. For $t > 2$, we have the bound*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R^+(\lambda^4)vQD_0QvR^+(\lambda^4) - R^-(\lambda^4)vQD_0QvR^-(\lambda^4)] d\lambda \right| \lesssim \frac{\langle x \rangle^{2\ell} \langle y \rangle^{2\ell}}{t^{1+}}.$$

Proof. By Lemma 2.3 and the orthogonality property $Qv = 0$, it suffices to consider

$$\begin{aligned} R^+(\lambda^4)vQD_0Qv[R^+(\lambda^4) - R^-(\lambda^4)] \\ = [G_1(x, x_1) + E_0(\lambda)(x, x_1)]vQD_0Qv(x_1, y_1)E_0^\pm(\lambda)(y_1, y). \end{aligned}$$

Let $\mathcal{E}(\lambda) = [G_1(x, x_1) + E_0(\lambda)(x, x_1)]vQD_0Qv(x_1, y_1)E_0^\pm(\lambda)(y_1, y)$, then we have

$$|\partial_\lambda \mathcal{E}(\lambda)| \lesssim \chi(\lambda) \lambda^{-1+\ell} \langle x \rangle^\ell \langle y \rangle^\ell, \quad |\partial_\lambda \mathcal{E}(b) - \partial_\lambda \mathcal{E}(\lambda)| \lesssim (b - \lambda)^\alpha \lambda^{-1-\ell^2+\frac{\ell}{2}} \langle x \rangle^{\frac{3\ell}{2}-\ell^2} \langle y \rangle^{\frac{3\ell}{2}-\ell^2}.$$

Note that, again the first inequality is consequence of Lemma 2.3 and (50), whereas the following bounds gives the second inequality by means of interpolation as in the proof of Proposition 4.7.

$$\begin{aligned} |\partial_\lambda ([G_1(x, x_1) + E_0(\lambda)(x, x_1)]E_0^\pm(\lambda)(y_1, y))| &\lesssim \lambda^{-1+\ell} k(x, x_1) |x - x_1|^\ell |y - y_1|^\ell \\ |\partial_\lambda^2 ([G_1(x, x_1) + E_0(\lambda)(x, x_1)]E_0^\pm(\lambda)(y_1, y))| &\lesssim \lambda^{-\frac{3}{2}+\ell} k(x, x_1) |x - x_1|^{\frac{1}{2}} |y - y_1|^{\frac{1}{2}} \end{aligned}$$

Therefore, letting $b = \lambda \sqrt[4]{1 + \pi t^{-1} \lambda^{-4}}$, Lemma 4.10 bounds the contribution of this term by $t^{-1-} \langle x \rangle^{2\ell} \langle y \rangle^{2\ell}$.

□

We are now ready to prove the main proposition.

Proof of Proposition 4.6 . By the symmetric resolvent identity, (30), we need to control the contribution of

$$h^\pm(\lambda)^{-1}S + QD_0Q + E^\pm(\lambda)$$

to the Stone's formula. The required bounds are established in Proposition 4.7, Lemma 4.12 and Lemma 4.11 respectively, where the exact cancellation of the order t^{-1} terms follows from Proposition 4.7 and Lemma 2.5. □

5. LOW ENERGY DISPERSIVE BOUNDS WHEN ZERO IS NOT REGULAR

In this section we consider the remaining claims in Theorem 1.1 concerning the dispersive bounds when zero is not regular. We provide a detailed analysis of the effect of each type of resonance on the asymptotic behavior of the spectral measure and detail the dynamical consequences on the dispersive bounds. We divide the section into three subsections, consider resonances of each type separately except for resonances of the third and fourth kind for which we provide a unified treatment.

5.1. Resonance of the first kind. In this section we analyze the resonance the dispersive estimates when there is a resonance of the first kind. Recall that in this case, $T = U + vG_1v$ is not invertible on QL^2 . Therefore, to utilize Lemma 3.6 define $B = S_1 - S_1(M + S_1)^{-1}S_1$ and seek to invert B . Using the expansion (27) for $(M + S_1)^{-1}$, we have

$$B(\lambda) = -h^\pm(\lambda)^{-1}S_1SS_1 + \tilde{O}_1(\lambda^k) = -h^\pm(\lambda)^{-1}(\lambda)S_1TPTS_1 + \tilde{O}_1(\lambda^k), \quad 0 < k \leq 2.$$

Notice that we are able to invert $B(\lambda)$ when there is a resonance of the first kind due to invertibility of S_1TPTS_1 on S_1L^2 , and a Neumann series computation. More care is required if there is a resonance of second, third or fourth kind. These will be considered in subsequent sections. By a Neumann series computation, provided $|v(x)| \lesssim \langle x \rangle^{-k-2-}$, we obtain

$$B^{-1}(\lambda) = -h^\pm(\lambda)D_1[I + \tilde{O}_1(\lambda^k)]^{-1} = -h^\pm(\lambda)D_1 + \tilde{O}_1(\lambda^k), \quad 0 < k \leq 2.$$

Using $B^{-1}(\lambda)$ in (26) from Lemma 3.6 we obtain the following proposition. Similar expansion is obtained in [8], Lemma 2.5 to Proposition 2.7 in the analysis of two dimensional Schrödinger evolution. For the sake of brevity, we refer the reader to [8].

Proposition 5.1. *Let $|v(x)| \lesssim \langle x \rangle^{-k-2-}$. If there is a resonance of the first kind, we have the expansion*

$$(56) \quad M^\pm(\lambda)^{-1} = -h_\pm(\lambda)D_1 - SS_1D_1S_1 - S_1D_1S_1S \\ - h_\pm(\lambda)^{-1}(SS_1D_1S_1S + S) + QD_0Q + \tilde{O}_1(\lambda^k)$$

for $0 < k \leq 2$.

The following is the main result in this section.

Proposition 5.2. *Let $|V(x)| \lesssim \langle x \rangle^{-4-}$ and suppose that there is a resonance of the first kind at zero. Then, we have*

$$\sup_{x,y} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R_V^+ - R_V^-](\lambda)(x,y) d\lambda \right| \lesssim \langle t \rangle^{-1}.$$

As in the previous sections, to prove Proposition 5.2 we need to control the contribution of every term in $M^\pm(\lambda)^{-1}$ to the Stone's formula. Note that the last three summands in the expansion of $M^\pm(\lambda)^{-1}$ in (56) are analogous to summands in the expansion when zero is regular. In particular, the contribution of these operators can be obtained similarly to Lemma 4.2, Lemma 4.4 and Lemma 4.5 respectively. Accordingly, Proposition 5.3 and Lemma 5.6 below, where we consider the first summands in (56), suffices to complete the proof of Proposition 5.2.

Proposition 5.3. *We have the bound*

$$\sup_{x,y} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [h^+(\lambda)R^+(\lambda^4)vD_1vR^+(\lambda^4) \\ - h^-(\lambda)R^-(\lambda^4)vD_1vR^-(\lambda^4)](x,y) d\lambda \right| \lesssim \frac{1}{\langle t \rangle}.$$

In the proof we again utilize from the algebraic fact (39) and consider the following two terms by symmetry

$$(57) \quad [h^+ - h^-](\lambda)R^\pm(\lambda^4)vS_1D_1S_1vR^\pm(\lambda^4), \quad h^\pm(\lambda)[R^+ - R^-](\lambda^4)vS_1D_1S_1vR^\pm(\lambda^4).$$

Recall by Lemma 2.5, one has

$$[R^+ - R^-](\lambda^4)(x,y) = \frac{i}{2\lambda^2} \frac{\lambda}{4\pi|x-y|} J_1(\lambda|x-y|).$$

We have the following lemma and its corollary which we use together with the orthogonality property $Qv = 0$ to estimate the terms arising in interaction with $R^+ - R^-$.

Recalling (32) and (33), we define

$$(58) \quad \tilde{G}^\pm(\lambda, p, q) := e^{\pm i\lambda p} \tilde{\omega}_\pm(\lambda p) + e^{-\lambda p} \tilde{\omega}_+(\lambda p) - e^{\pm i\lambda q} \tilde{\omega}_\pm(\lambda q) - e^{-\lambda q} \tilde{\omega}_+(\lambda q)$$

Lemma 5.4. *For any $0 \leq \tau \leq 1$, we have the bounds*

$$|\tilde{G}^\pm(\lambda, p, q)| \lesssim (\lambda|p - q|)^\tau, \quad |\partial_\lambda \tilde{G}^\pm(\lambda, p, q)| \lesssim \lambda^{\tau-1} |p - q|^\tau$$

Proof. We note that, we have $\tilde{\omega}_\pm(s) = \tilde{O}((1+s)^{-\frac{3}{2}})$ due to the support condition $s \gtrsim 1$. We consider the oscillatory terms, those with the exponential decay follow similarly. We first note the trivial bound

$$(59) \quad |e^{\pm i\lambda p} \tilde{\omega}_\pm(\lambda p) - e^{\pm i\lambda q} \tilde{\omega}_\pm(\lambda q)| \lesssim 1.$$

Additionally, we note

$$(60) \quad |e^{\pm i\lambda p} \tilde{\omega}_\pm(\lambda p) - e^{\pm i\lambda q} \tilde{\omega}_\pm(\lambda q)| = \left| \int_{\lambda p}^{\lambda q} \partial_s (e^{is} \tilde{\omega}_\pm(s)) ds \right| \\ = \left| \int_{\lambda p}^{\lambda q} (ie^{is} \tilde{\omega}_\pm(s) + e^{is} \tilde{\omega}'_\pm(s)) ds \right| \lesssim \left| \int_{\lambda p}^{\lambda q} (1+s)^{-\frac{3}{2}} ds \right| \lesssim (\lambda|p - q|),$$

since $(1+s)^{-\frac{3}{2}} \lesssim 1$. Interpolating between these bounds suffices to prove the claim for \tilde{G} , since the terms with exponential decay satisfy the same bounds used here.

For the derivative, we again consider only the oscillatory terms. First,

$$\partial_\lambda e^{\pm i\lambda p} \tilde{\omega}_\pm(\lambda p) = \frac{1}{\lambda} [\pm i\lambda p e^{\pm i\lambda p} \tilde{\omega}_\pm(\lambda p) + \lambda p e^{\pm i\lambda p} \tilde{\omega}'_\pm(\lambda p)].$$

So that,

$$(61) \quad \left| (\partial_\lambda e^{\pm i\lambda p} \tilde{\omega}_\pm(\lambda p)) - \partial_\lambda (e^{\pm i\lambda q} \tilde{\omega}_\pm(\lambda q)) \right| \lesssim \lambda^{-1}.$$

Furthermore,

$$(62) \quad \left| (\partial_\lambda e^{\pm i\lambda p} \tilde{\omega}_\pm(\lambda p)) - \partial_\lambda (e^{\pm i\lambda q} \tilde{\omega}_\pm(\lambda q)) \right| = \frac{1}{\lambda} \left| \int_{\lambda p}^{\lambda q} \partial_s (ise^{is} \tilde{\omega}_\pm(s) + se^{is} \tilde{\omega}'_\pm(s)) ds \right| \\ \lesssim \frac{1}{\lambda} \left| \int_{\lambda p}^{\lambda q} (1+s)^{-\frac{1}{2}} ds \right| \lesssim |p - q|.$$

Interpolating between the two bounds proves the assertion. As before, the same proof works for the exponentially decaying term. \square

Corollary 5.5. *Let*

$$G(\lambda, p, q) := \frac{1}{8\pi} \left[\frac{J_1(\lambda p)}{\lambda p} - \frac{J_1(\lambda q)}{\lambda q} \right].$$

For any $0 \leq \tau \leq 1$, we have the bounds

$$|G(\lambda, p, q)| \lesssim (\lambda|p - q|)^\tau, \quad |\partial_\lambda G(\lambda, p, q)| \lesssim \lambda^{\tau-1} |p - q|^\tau.$$

Proof. Using the expansion for Bessels function, we have

$$(63) \quad \frac{J_1(\lambda p)}{8\pi\lambda p} = \chi(\lambda p)[\mathfrak{S}z_1 + O((\lambda p)^2)] + \tilde{\chi}(\lambda p)e^{it\lambda}\tilde{\omega}(\lambda p)$$

By Lemma 5.4, we only consider when λp and λq are small. Note that by the Mean Value Theorem one has

$$\left| \chi(\lambda p) \frac{J_1(\lambda p)}{8\pi\lambda p} - \chi(\lambda p) \frac{J_1(\lambda p)}{8\pi\lambda p} \right| \lesssim \lambda|p - q| \sup_s \left| \partial_s \left(\chi(s) \frac{J_1(s)}{8\pi s} \right) \right|,$$

where s lies between λp and λq . By the power series expansion for J_1 , see [1], one has

$$\chi(s) \frac{J_1(s)}{s} = c_0 + \sum_{k=1}^{\infty} c_k s^k$$

for some constants c_k . Hence, one can differentiate and obtain a bounded function for $|s| \ll 1$. Interpolating between this and the trivial bound $|G(\lambda, p, q)| \lesssim 1$ suffices to prove the claim for G . Moreover, for the derivative we have for $s = \lambda p$

$$\partial_\lambda \left(\chi(s) \frac{J_1(s)}{s} \right) = \sum_{k=1}^{\infty} p k c_k s^{k-1} = \frac{1}{\lambda} \sum_{k=1}^{\infty} k c_k s^k$$

From here, one can see the bound of $|\partial_\lambda G(\lambda, p, q)| \lesssim \lambda^{-1}$ holds. We interpolate with the following bound

$$\begin{aligned} \left| \partial_\lambda \left(\chi(\lambda p) \frac{J_1(\lambda p)}{8\pi\lambda p} \right) - \partial_\lambda \left(\chi(\lambda p) \frac{J_1(\lambda p)}{8\pi\lambda p} \right) \right| \\ \lesssim |p - q| \sup_s \left| \partial_s \left[\lambda \partial_\lambda \left(\chi(s) \frac{J_1(s)}{s} \right) \right] \right| \lesssim |p - q|, \end{aligned}$$

to prove the desired statement. \square

We are now ready to prove the main proposition.

Proof of Propostion 5.3 . Note that the first term in (57) is similar to the operator that we considered in Lemma 4.2. Since $S_1 \leq Q$, the orthogonality $S_1 P = 0$ allows us to exchange R^\pm on both sides of $vS_1 D_1 S_1 v$ with H^\pm , see (35). Therefore, we prove the statement only for the second term in (57). Using the orthogonality we exchange $R^+ - R^-$ with G from Corollary 5.5 and consider the following integral.

$$(64) \quad \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) h^\pm(\lambda) H^\pm(\lambda, y, y_1) v S_1 D_1 S_1 v G(\lambda, |x - x_1|, \langle x \rangle) d\lambda.$$

Recall that we have $H^\pm(\lambda, y, y_1) \lesssim k(y, y_1)$. Moreover, using the bounds for G from Corollary 5.5, we have

$$|h^\pm G(\lambda, |x - x_1|, \langle x \rangle)| \lesssim \lambda^{\tau-} \langle x_1 \rangle^\tau, \quad |\partial_\lambda \{h^\pm G(\lambda, |x - x_1|, \langle x \rangle)\}| \lesssim \lambda^{\tau-1-} \langle x_1 \rangle^\tau.$$

Therefore, the integral (64) is bounded. For the time decay, by integration by parts, we need to show the following integral is bounded.

$$(65) \quad \int_0^\infty |\partial_\lambda \{h^\pm(\lambda) \chi(\lambda) H^\pm(\lambda, y, y_1) v S_1 D_1 S_1 v G(\lambda, |x - x_1|, \langle x \rangle)\}| d\lambda \\ \lesssim \int_0^\infty |h^\pm(\lambda) \partial_\lambda \{\chi(\lambda) H^\pm(\lambda, y, y_1)\} v S_1 D_1 S_1 v G(\lambda, |x - x_1|, \langle x \rangle)| d\lambda \\ + \int_0^\infty |\chi(\lambda) H^\pm(\lambda, y, y_1) v S_1 D_1 S_1 v \partial_\lambda \{h^\pm(\lambda) G(\lambda, |x - x_1|, \langle x \rangle)\}| d\lambda.$$

Hence picking $\tau = \frac{1}{2}$, the first integral in (65) can be estimated as in (38), and the second integral in (65) as follows,

$$\int_{\mathbb{R}^8} \int_0^\infty |h^\pm(\lambda) \chi(\lambda) H^\pm(\lambda, y, y_1) [v S_1 D_1 S_1 v] \partial_\lambda \{h^\pm(\lambda) G(\lambda, |x - x_1|, \langle x \rangle)\}| d\lambda dx_1 dy_1 \\ \lesssim \int_{\mathbb{R}^8} \int_0^{\lambda_1} \lambda^{-\frac{1}{2}-} k(y, y_1) [v S_1 D_1 S_1 v] \langle x_1 \rangle^{\frac{1}{2}} d\lambda dx_1 dy_1 \lesssim 1.$$

As usual, the boundedness of the spatial integrals follows from the absolute boundedness of D_1 and an argument as in (37). □

We now turn to the contribution of the λ independent, finite rank operator(s) $\Gamma := SS_1 D_1 S_1 - S_1 D_1 S_1 S$.

Lemma 5.6. *We have the bound*

$$\sup_{x,y} \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) [R^+ v \Gamma v R^+ - R^- v \Gamma v R^-](\lambda^4)(x, y) d\lambda \right| \lesssim \langle t \rangle^{-1}.$$

Proof. Note that the +/- difference leads us to bound the contribution of

$$R^-(\lambda^4) \Gamma (R^+ - R^-)(\lambda^4).$$

By symmetry, we consider only this case. We begin by considering the ‘low-low’ case. The worst case is when the S_1 projection is on the left. Then, we need to bound an integral of the form

$$\begin{aligned} & \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) F(\lambda, y_1, y) [1 + \tilde{O}_2((\lambda|x - x_1|)^2)] d\lambda \right| \\ & \lesssim \frac{1}{t} \left[\int_0^{2\lambda_1} |\partial_\lambda F(\lambda, y, y_1)| d\lambda + k(y, y_1) \int_0^{|x-x_1|^{-1}} \lambda |x - x_1|^2 d\lambda \right] \lesssim \frac{k(y, y_1)}{t}. \end{aligned}$$

This suffices for the desired bound.

For the ‘high-low’ interaction, the worst case is when S_1 is on the right whose resolvent is supported on large argument, and we may replace R^\pm with $\tilde{G}(\lambda, |x - x_1|, \langle x \rangle)$. In this case, we note that

$$R^-(\lambda^4)(y, y_1) \chi(\lambda|y - y_1|) = O_1(\log(\lambda|y - y_1|)).$$

Accordingly, we seek to bound

$$\begin{aligned} & \left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) \chi(\lambda r_1) \log(\lambda r_1) \tilde{G}(\lambda, |x - x_1|, \langle x \rangle) d\lambda \right| \\ & \lesssim \frac{1}{t} \int_0^\infty \left| \chi(\lambda) \chi(\lambda r_1) \partial_\lambda (\log(\lambda r_1) \tilde{G}(\lambda, |x - x_1|, \langle x \rangle)) \right| d\lambda \\ & \lesssim \frac{1}{t} \int_0^\infty \lambda^{\tau-1-} (1 + |y - y_1|^{0-}) \langle x_1 \rangle^\tau d\lambda \end{aligned}$$

This suffices to prove our desired bound provided we select $\tau = 0+$. The spatial bounds follow by the absolute boundedness of Γ .

The high-high bound follows similarly by using $\tau = 0+$ in Lemma 5.4. The boundedness of the contribution of $R^-(\lambda^4) \Gamma (R^+ - R^-)(\lambda^4)$ in each case is clear.

□

5.2. Resonance of the Second Kind. In this section, we analyze the evolution when there is a resonance of the second kind. We develop expansions for the operator $B(\lambda)^{-1}$ and consequently for the spectral measure in this case, which we then use to prove the dispersive bounds. We emphasize that this type of resonance has no analogue in the analysis of Schrödinger operator. Both the characterization in terms of solutions of $H\psi = 0$ and the effect on the time decay are new to the fourth order equation. Our main result is the following.

Proposition 5.7. *Let $|V(x)| \lesssim \langle x \rangle^{-12-}$. In the case of a resonance of the second kind, we have*

$$\|e^{-itH} P_{ac}(H)\chi(H)\|_{L^1 \rightarrow L^\infty} \lesssim \langle t \rangle^{-\frac{1}{2}}.$$

Furthermore, there is a finite rank operator F_t satisfying $\|F_t\|_{1 \rightarrow \infty} \lesssim \langle t \rangle^{-\frac{1}{2}}$, so that

$$\|e^{-itH} P_{ac}(H)\chi(H) - F_t\|_{L^{1,2+} \rightarrow L^{\infty,-2-}} \lesssim \langle t \rangle^{-1}.$$

We have an explicit representation of the operator F_t given in (77). Our time decay bounds follow by finding a detailed expansion for $(M^\pm(\lambda))^{-1}$.

Proposition 5.8. *Let $|V(x)| \lesssim \langle x \rangle^{-12-2\ell-}$. In the case of a resonance of the second kind,*

$$\begin{aligned} B_\pm^{-1}(\lambda) &= \frac{D_2}{c^+\lambda^2} - \frac{\tilde{g}_3^\pm(\lambda)}{(c^+)^2\lambda^4} D_2 v G_4 v D_2 - \frac{1}{(c^+)^2} D_2 v G_5 v D_2 \\ &\quad - h^\pm(\lambda)[D_1 + D_2 \Gamma_0 D_2] + h^\pm(\lambda) \left(1 + \frac{1}{h^\pm(\lambda)}\right)^2 [D_2 \Gamma_1 + \Gamma_1 D_2] + \tilde{O}_1(\lambda^{\ell-}) \end{aligned}$$

where Γ_0, Γ_1 are absolutely bounded operators.

Proof. We will do the ‘+’ case. The expansions for the ‘-’ are analogous. We note carefully where any consequential differences occur.

Recall (23), we have $M(\lambda) = A(\lambda) + M_0(\lambda)$ where $A(\lambda)$ is as in Lemma 3.5 and

$$M_0^+(\lambda) = c^+\lambda^2 v G_2 v + \tilde{g}_3^+(\lambda) v G_4 v + \lambda^4 v G_5 v + \tilde{O}_1(\lambda^{4+\ell})$$

where we may take any $0 < \ell \leq 2$, at the cost of decay on v . We now write

$$\begin{aligned} (M(\lambda) + S_1)^{-1} &= A^{-1}(\lambda)[1 + M_0(\lambda)A^{-1}(\lambda)]^{-1} \\ &= A^{-1}(\lambda) - A^{-1}(\lambda)M_0(\lambda)A^{-1}(\lambda)[1 + M_0(\lambda)A^{-1}(\lambda)]^{-1} \end{aligned}$$

Using Lemma 3.6, we have

$$(66) \quad B(\lambda) = S_1 - S_1[h(\lambda)^{-1}S + QD_0Q]S_1 + E(\lambda)$$

with

$$(67) \quad E(\lambda) = S_1A^{-1}M_0A^{-1}(\lambda)S_1 - S_1A^{-1}(\lambda)[M_0A^{-1}(\lambda)]^2[1 + M_0A^{-1}(\lambda)]^{-1}S_1$$

Since $S_1D_0 = D_0S_1 = S_1$ and $S_1SS_1 = S_1TPTS_1$, we have

$$B(\lambda) = -h(\lambda)^{-1}S_1TPTS_1 + E(\lambda) := -h(\lambda)^{-1}B_1(\lambda).$$

We write $B_1 = T_1 - h(\lambda)E(\lambda)$ and let S_2 be the Riesz projection onto the kernel of $T_1 = S_1TPTS_1$ and $D_1 = (T_1 + S_2)^{-1}$. We then work to compute

$$(68) \quad (B_1(\lambda) + S_2)^{-1} = D_1[1 - h(\lambda)E(\lambda)D_1]^{-1} = D_1 + E_1(\lambda),$$

where

$$(69) \quad E_1(\lambda) = D_1h(\lambda)E(\lambda)D_1 + D_1[h(\lambda)E(\lambda)D_1]^2[1 - h(\lambda)E(\lambda)D_1]^{-1}.$$

We use Lemma 3.6 to invert $B_1(\lambda)$ and as such we need to compute and invert $B_2 = S_2 - S_2(B_1 + S_2)^{-1}S_2$. Since $S_2D_1 = D_1S_2 = S_2$, we see

$$B_2 = -S_2E_1(\lambda)S_2 = -S_2h(\lambda)E(\lambda)S_2 - S_2[h(\lambda)E(\lambda)D_1]^2[1 - h(\lambda)E(\lambda)D_1]^{-1}S_2$$

Since we have $S_2TP = PTS_2 = 0$, it follows that $S_2A^{-1}(\lambda) = A^{-1}(\lambda)S_2 = S_2$. Hence, we see

$$S_2h(\lambda)E(\lambda)S_2 = S_2h(\lambda)M_0^+(\lambda)S_2 - S_2h(\lambda)(M_0^+A^{-1}(\lambda))^2(1 + M_0^+A^{-1}(\lambda))^{-1}S_2.$$

We note that (with $c^+ := -\frac{ia_2\pi}{2}$, for the ‘-’ case we have $c^- = c^+ - i\Im(z_2)$)

$$\begin{aligned} S_2h(\lambda)M_0(\lambda)S_2 &= c^+\lambda^2h(\lambda)S_2vG_2vS_2 + h(\lambda)\tilde{g}_3^+(\lambda)S_2vG_4vS_2 \\ &\quad + \lambda^4h(\lambda)S_2vG_5vS_2 + \tilde{O}_1(\lambda^{4+\ell}), \end{aligned}$$

and

$$S_2(h(\lambda)E(\lambda)D_1)^2 = (c^+)^2(h(\lambda))^2\lambda^4S_2vG_2vD_1vG_2vS_2 + \tilde{E}_6(\lambda)$$

where $\tilde{E}_6(\lambda) = \tilde{O}_1(\lambda^{4+\ell})$. Now, with $\Gamma_0 := S_2vG_2vD_1vG_2vS_2$, we see

$$(70) \quad B_2 = -c^+\lambda^2h(\lambda)\left[S_2vG_2vS_2 + \frac{\tilde{g}_3^+(\lambda)}{c^+\lambda^2}S_2vG_4vS_2 + c^+h(\lambda)\lambda^2\Gamma_0\right]$$

$$\left. + \frac{\lambda^2}{c^+} S_2 v G_5 v S_2 + \tilde{E}_2(\lambda) \right]$$

with $\tilde{E}_2(\lambda) = \tilde{O}_1(\lambda^{2+\ell})$, $0 < \ell < 2$. In the case of a resonance of the second kind, $S_2 v G_2 v S_2$ is invertible. We write $D_2 := (S_2 v G_2 v S_2)^{-1}$ and compute

$$\begin{aligned} B_2(\lambda)^{-1} &= -\frac{1}{c^+ \lambda^2 h(\lambda)} D_2 \left[1 + \frac{\tilde{g}_3(\lambda)}{c^+ \lambda^2} S_2 v G_2 v D_2 + c^+ h(\lambda) \lambda^2 \Gamma_0 D_2 \right. \\ &\quad \left. + \frac{\lambda^2}{c^+} S_2 v G_5 v D_2 + \tilde{E}_2(\lambda) D_2 \right]^{-1} \\ (71) \quad &= \frac{-D_2}{c^+ \lambda^2 h(\lambda)} + \frac{\tilde{g}_3(\lambda)}{(c^+)^2 \lambda^4 h(\lambda)} D_2 v G_4 v D_2 + D_2 \Gamma_0 D_2 \\ &\quad + \frac{1}{(c^+)^2 h(\lambda)} D_2 v G_5 v D_2 + E_3(\lambda) \end{aligned}$$

with $E_3(\lambda) = \tilde{O}_1(\lambda^\ell)$ for $0 < \ell < 2$. Since $B_1^{-1} = (B_1 + S_2)^{-1} + (B_1 + S_2)^{-1} S_2 B_2^{-1} S_2 (B_1 + S_2)^{-1}$ and $B^{-1} = -h(\lambda) B_1^{-1}$. Using (68) and (69), we arrive at

$$\begin{aligned} B^{-1}(\lambda) &= \frac{D_2}{c^+ \lambda^2} - \frac{\tilde{g}_3(\lambda)}{(c^+)^2 \lambda^4} D_2 v G_4 v D_2 - h(\lambda) D_2 \Gamma_0 D_2 - \frac{1}{(c^+)^2} D_2 v G_5 v D_2 \\ (72) \quad &+ E_1(\lambda) S_2 \left[\frac{D_2}{c^+ \lambda^2} - \frac{\tilde{g}_3(\lambda)}{(c^+)^2 \lambda^4} D_2 v G_4 v D_2 - h(\lambda) D_2 \Gamma_0 D_2 - \frac{1}{(c^+)^2} D_2 v G_5 v D_2 \right] \\ &+ \left[\frac{D_2}{c^+ \lambda^2} - \frac{\tilde{g}_3(\lambda)}{c^+ \lambda^4} D_2 v G_4 v D_2 - h(\lambda) D_2 \Gamma_0 D_2 - \frac{1}{(c^+)^2} D_2 v G_5 v D_2 \right] S_2 E_1(\lambda) \\ &- h(\lambda) D_1 - h(\lambda) E_1(\lambda) \end{aligned}$$

Since $E_1(\lambda) = D_1 h(\lambda) E(\lambda) D_1 + \tilde{O}_1(\lambda^{2+\ell-})$ and

$$\begin{aligned} E(\lambda) &= S_1 A^{-1} M_0 A^{-1}(\lambda) S_1 - S_1 A^{-1}(\lambda) [M_0 A^{-1}(\lambda)]^2 [1 + M_0 A^{-1} \\ (73) \quad &= \lambda^2 c^+ \left(\frac{S_1 S v G_2 v S S_1}{h^2(\lambda)} + \frac{S_1 [S v G_2 v + v G_2 v S] S_1}{h(\lambda)} + S_1 v G_2 v S_1 \right) + \tilde{O}_1(\lambda^{4-}) \\ &= \lambda^2 \left(1 + \frac{1}{h(\lambda)} \right)^2 c^+ \Gamma_1 + \tilde{O}_1(\lambda^{4-}) \end{aligned}$$

Combining this with (72) suffices to establish the statement. \square

Corollary 5.9. *Let $|V(x)| \lesssim \langle x \rangle^{-12-}$. If there is a resonance of the second kind then one has*

$$(M^\pm(\lambda))^{-1} = \frac{D_2}{c^\pm \lambda^2} + \frac{\tilde{g}_3^\pm(\lambda)}{(c^\pm)^2 \lambda^4} \Gamma_2 + h_\pm(\lambda) \Gamma_3 + \Gamma_4^\pm + h_\pm^{-1}(\lambda) \Gamma_5 + O_1(\lambda^{0+})$$

where the absolutely bounded operators Γ_2 , Γ_3 and Γ_4 have either S_1 or Q on both sides and $c^+ = -\frac{ia_2\pi}{2}$, $c^- = c^+ - i\Im(z_2)$

Proof. Recall by Lemma 3.6, we have

$$M^{-1} = (M + S_1)^{-1} + (M + S_1)^{-1} S_1 B^{-1} S_1 (M + S_1)^{-1}.$$

We use the expansion

$$(M + S_1)^{-1} = A^{-1} + \lambda^2 A^{-1} v G_2 v A^{-1} + O_1(\lambda^{2+}).$$

Note that, one has $A^{-1} S_2 = S_2 A^{-1} = S_2$. Therefore,

$$(74) \quad (M + S_1)^{-1} S_1 B^{-1} S_1 (M + S_1)^{-1} \\ = S_1 B^{-1} S_1 + D_2 v G_2 v A^{-1} + A^{-1} v G_2 v D_2 + O_1(\lambda^{0+}).$$

Since $A^{-1} = h^{-1} S + Q D_0 Q$ we further have

$$(75) \quad D_2 v G_2 v A^{-1} = h_\pm^{-1} D_2 v G_2 v S + D_2 v G_2 v Q D_0 Q.$$

Using (74), the expansion for A^{-1} , and (75) in M^{-1} , we obtain the statement. \square

Proof of Proposition 5.7. First, we note that all but the first two terms in the expansion of $M_\pm^{-1}(\lambda)$ is controlled in previous sections by $\langle t \rangle^{-1}$. Noting the orthogonality property $S_2 v = v S_2 = 0$, the contribution of Γ_3 , and Γ_4 can be estimated similarly to Proposition 5.3 and Lemma 4.2 respectively. Moreover, the contribution of Γ_5 is controlled by Lemma 4.4, while Lemma 4.5 suffices to control the error term.

Furthermore, for the second term in $(M^\pm(\lambda))^{-1}$, by (39) we need to consider the contribution of

$$[R^+ - R^-] v \frac{\tilde{g}_3^-(\lambda)}{(c^+)^2 \lambda^4} v \Gamma_2 R^+ + R^- v \left[\frac{\tilde{g}_3^+(\lambda)}{(c^+)^2 \lambda^4} - \frac{\tilde{g}_3^-(\lambda)}{(c^-)^2 \lambda^4} \right] v \Gamma_2 R^+ \\ + R^- v \frac{\tilde{g}_3^-(\lambda)}{(c^-)^2 \lambda^4} v [R^+ - R^-]$$

Note that $\tilde{g}_3(\lambda)/\lambda^4 = a_3 \log \lambda + z_3$ is similar to the function $h(\lambda)$ encountered when there is a resonance of the first kind at zero. Since $\Gamma_2 = S_2 \Gamma_2 S_2$, for the first and third term, when the difference falls on the free resolvents, we can exchange $[R^+ - R^-]$ with the auxiliary function G as in the Corollary 5.5. Hence, the contribution of these two terms can be controlled by $\langle t \rangle^{-1}$ following the proof of Proposition 5.3.

Now, we let

$$K := R^- v \left[\frac{\tilde{g}_3^+(\lambda)}{(c^+)^2 \lambda^4} - \frac{\tilde{g}_3^-(\lambda)}{(c^-)^2 \lambda^4} \right] v \Gamma_2 R^+ + \left[\frac{R^+ v S_2 D_2 S_2 R^+}{\lambda^2 c^+} - \frac{R^- v S_2 D_2 S_2 R^-}{\lambda^2 c^-} \right]$$

and prove the following lemma which is sufficient to conclude Proposition 5.7. \square

Lemma 5.10. *Let $|V(x)| \lesssim \langle x \rangle^{-12-}$. Then one has*

$$\begin{aligned} \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) K(x, y) d\lambda &= O(\langle t \rangle^{-1/2}), \\ \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) K(x, y) d\lambda &= F_t + O\left(\frac{\langle x \rangle^{2+} \langle y \rangle^{2+}}{\langle t \rangle}\right). \end{aligned}$$

where $\|F_t\|_{1 \rightarrow \infty} \lesssim \langle t \rangle^{-\frac{1}{2}}$.

Before we start to prove Lemma 5.10, we give the following oscillatory estimate,

Lemma 5.11. *If $\mathcal{E}(\lambda) = \tilde{O}_1(\lambda^{-2})$ is supported on $0 < \lambda \leq \lambda_1 \ll 1$, then we have*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda \right| \lesssim \langle t \rangle^{-\frac{1}{2}}.$$

Proof. The boundedness is clear. For the large time decay we break the domain of integration up into two pieces as in Lemma 4.8. However, due to the singular behavior, we integrate by parts only once away from zero as follows

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda \right| \lesssim \int_0^{t^{-\frac{1}{4}}} \lambda^3 |\mathcal{E}(\lambda)| d\lambda + \left| \int_{t^{-\frac{1}{4}}}^\infty e^{it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda \right|$$

The first integral is clearly bounded by $t^{-\frac{1}{2}}$. For the second integral, we integrate by parts once to see

$$\left| \int_{t^{-\frac{1}{4}}}^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda \right| \lesssim \frac{|\mathcal{E}(t^{-\frac{1}{4}})|}{t} + \frac{1}{t} \int_{t^{-\frac{1}{4}}}^\infty |\mathcal{E}'(\lambda)| d\lambda \lesssim |t|^{-\frac{1}{2}} + \frac{1}{t} \int_{t^{-\frac{1}{4}}}^\infty \lambda^{-3} d\lambda \lesssim |t|^{-\frac{1}{2}}.$$

\square

Proof of Lemma 5.10. We first prove the first assertion. To do that recall (35); the definition of $H(\lambda, x, x_1)$. As in the proof of Lemma 4.2, we use the orthogonality $S_2v = 0$ and exchange R^\pm on both sides of $S_2D_2S_2$ and Γ_2 with H^\pm . By Lemma 4.3 and (33), we have

$$K(x, y) = \tilde{O}_1(\lambda^{-2}) \|k(y, y_1)v(y_1)\|_{L^2_{y_1}} \| |D_2^\pm| + |\Gamma_2| \|_{L^2 \rightarrow L^2} \|k(x, x_1)v(x_1)\|_{L^2_{x_1}}$$

Therefore, Lemma 5.11 establishes the the time decay $\langle t \rangle^{-1/2}$.

For the second assertion, note that using (39), we need to estimate

$$(76) \quad \begin{aligned} & \frac{R^+(\lambda^4)vD_2^+vR^+(\lambda^4)}{\lambda^2} - \frac{R^-(\lambda^4)vD_2^-vR^-(\lambda^4)}{\lambda^2} \\ &= R^-(\lambda^4)\frac{v[D_2^+ - D_2^-]v}{\lambda^2}R^+(\lambda^4) + [R^+ - R^-](\lambda^4)\frac{vD_2^+v}{\lambda^2}R^+(\lambda^4) \\ & \quad + R^-(\lambda^4)\frac{vD_2^-v}{\lambda^2}[R^+ - R^-](\lambda^4) := I + II + III \end{aligned}$$

and

$$IV := R^+v \left[\frac{\tilde{g}_3^+(\lambda)}{(c^+)^2\lambda^4} - \frac{\tilde{g}_3^-(\lambda)}{(c^-)^2\lambda^4} \right] v\Gamma_2R^-$$

From (13), and (33), we have the expansions

$$\begin{aligned} R^\pm(\lambda^4)(x, x_1) &= \tilde{g}^\pm(\lambda) + G_1(x, x_1) + c^\pm\lambda^2G_2(x, y) + \tilde{O}_1((\lambda|x - x_1|)^{2+}), \\ [R^+ - R^-](\lambda^4)(y_1, y) &= \mathfrak{S}z_1 - \mathfrak{S}z_2\lambda^2G_2(y, y_1) + \tilde{O}_1((\lambda|y - y_1|)^{2+}). \end{aligned}$$

Therefore, since $S_2v = 0$, we see

$$\begin{aligned} IV &= c_1 \log \lambda G_1(x, x_1)v\Gamma_2vG_1(y, y_1) + c_2G_1(x, x_1)v\Gamma_2vG_1(y, y_1) + \tilde{O}_1(\lambda^{0+}\langle x \rangle^{2+}\langle y \rangle^{2+}), \\ I + II + III &= \frac{G_1(x, x_1)v[D_2^+ - D_2^-]vG_1(y, y_1)}{\lambda^2} \\ & \quad + c_3[G_1(x, x_1)vD_2vG_2(y, y_1) + G_2(x, x_1)vD_2vG_1(y, y_1)] + \tilde{O}_1(\lambda^{0+}\langle x \rangle^{2+}\langle y \rangle^{2+}). \end{aligned}$$

Note that $G_1(x, x_1) = -\frac{1}{8\pi^2} \log|x - y|$ and $G_2(x, x_1) = c_2|x - y|^2$. Hence, to obtain the error terms we use $\log|x - y| \lesssim \chi(|x - y|)|x - y|^{0-} + \tilde{\chi}(|x - y|)|x - y|^{0+}$ and (50).

It is easy to see that the contribution of the error terms in IV and in $I + II + III$ can be bounded by $t^{-1}\langle x \rangle^{2+}\langle y \rangle^{2+}$ by a single integration by parts. For the contribution of the remaining terms, we define

$$(77) \quad F_t := \int_0^\infty e^{-it\lambda^4} \lambda^3 \chi(\lambda) G_1 v \left[\frac{D_2^+ - D_2^-}{\lambda^2} + c_1 \log \lambda \Gamma_2 \right] v G_1 d\lambda$$

(with $D_2^\pm = D_2/c^\pm$, $\Gamma_2 := D_2vG_4vD_2$), using Lemma 5.11 we see that the λ integral is bounded by $\langle t \rangle^{-\frac{1}{2}}$. Therefore, it is enough to see that this operator is bounded $L^1 \rightarrow L^\infty$. For that, we can use $S_2v = 0$, and replace $\log|x - x_1|$ with $\log|x - x_1| - \log|x|$. Note that $|\log|x - x_1| - \log|x|| \lesssim 1 + \log^-|x - x_1| + \log^+|x_1| = k(x, x_1)$, and we have $\|k(x, x_1)v(x_1)\|_{L^2_{x_1}} \lesssim 1$. Therefore, the boundedness of the spatial integral follows by the absolutely boundedness of D_2 and Γ_2 . The fact that F_t is finite-rank follows from the fact that D_2 and Γ_2 are λ independent operators defined on the finite dimensional space S_2L^2 . □

5.3. Resonances of the Third and Fourth Kind. Finally, we analyze the decay properties of $e^{itH}P_{ac}(H)$ when there is a resonance of the third or fourth kind at zero. The reader will notice that the singularity of $(M(\lambda) + S_1)^{-1}$ at zero increases as we iteratively use Lemma 3.6. Accordingly, the time decay in the dispersive estimate is seen to be slower in the resonance of the third and fourth kinds. Our main result in this section is the following.

Proposition 5.12. *Let $|V(x)| \lesssim \langle x \rangle^{-12^-}$. In the case of a resonance of the third or fourth kind, we have*

$$\|e^{-itH}P_{ac}(H)\chi(H)\|_{L^1 \rightarrow L^\infty} \lesssim \min\left(1, \frac{1}{\log t}\right).$$

As in the previous sections, we first need to find expansions for $B^{-1}(\lambda)$ in the third and fourth cases. We start with the resonance of the third kind,

Proposition 5.13. *Let $|V(x)| \lesssim \langle x \rangle^{-12^-}$. If there is a resonance of the third kind then we have*

$$(78) \quad B_\pm(\lambda)^{-1} = \frac{D_3}{\tilde{g}_3^\pm(\lambda)} - \frac{\lambda^4}{(\tilde{g}_3^\pm(\lambda))^2} D_3vG_5vD_3 + E_0(\lambda)$$

where $E_0(\lambda) = \tilde{O}_1(\lambda^{-4}(\log \lambda)^{-3})$.

Proof. As before, we consider only the ‘+’ case, the ‘-’ case follows with only minor modifications. Recall the expansion (70) for $B_2(\lambda)$ in Proposition 5.8. We have

$$B_2(\lambda) = -c^+\lambda^2h(\lambda) \left[S_2vG_2vS_2 + \frac{\tilde{g}_3(\lambda)}{c^+\lambda^2} S_2vG_4vS_2 + c^+h(\lambda)\lambda^2\Gamma_0 + \frac{\lambda^2}{c^+} S_2vG_5vS_2 + \tilde{E}_2(\lambda) \right],$$

with $\tilde{E}_2(\lambda) = \tilde{O}_1(\lambda^{2+\ell})$, $0 < \ell < 2$. In this case, the operator $S_2 v G_2 v S_2$ is not invertible. Therefore, we let $-c^+ \lambda^2 h(\lambda) \tilde{B}_2(\lambda) = B_2(\lambda)$ and invert $\tilde{B}_2(\lambda) + S_3$, where S_3 is the Riesz projection onto the kernel of $S_2 v G_2 v S_2$. We obtain,

$$(\tilde{B}_2(\lambda) + S_3)^{-1} := D_2 - \frac{\tilde{g}_3(\lambda)}{c^+ \lambda^2} D_2 v G_4 v D_2 - c^+ h(\lambda) \lambda^2 \Gamma_0 - \frac{\lambda^2}{c^+} S_2 v G_5 v S_2 + E_4(\lambda)$$

with $E_4 = \tilde{O}_1(\lambda^{2+\ell})$. Then, using Lemma 3.6, we seek to invert $B_3 = S_3 - S_3(\tilde{B}_2(\lambda) + S_3)^{-1} S_3$. Since $S_3 D_2 = D_2 S_3 = S_3$, and $S_3 \Gamma_0 = \Gamma_0 S_3 = 0$ we have

$$(79) \quad B_3(\lambda) = \frac{\tilde{g}_3(\lambda)}{c^+ \lambda^2} S_3 v G_4 v S_3 + \frac{\lambda^2}{c^+} S_3 v G_5 v S_3 + S_3 E_4(\lambda) S_3.$$

Then, letting $D_3 := (S_3 v G_4 v S_3)^{-1}$, we may write

$$\begin{aligned} B_3(\lambda)^{-1} &= \frac{c^+ \lambda^2}{\tilde{g}_3(\lambda)} D_3 \left[1 + \frac{\lambda^4}{\tilde{g}_3(\lambda)} S_3 v G_5 v D_3 + S_3 \tilde{E}_4(\lambda) D_3 \right]^{-1} \\ &= \frac{c^+ \lambda^2}{\tilde{g}_3(\lambda)} D_3 - \frac{c^+ \lambda^6}{(\tilde{g}_3(\lambda))^2} D_3 v G_5 v D_3 + \frac{c^+ \lambda^{10}}{(\tilde{g}_3(\lambda))^3} D_3 v G_5 v D_3 v G_5 v D_3 \\ &\quad + \tilde{O}_1(\lambda^{-2} (\log \lambda)^{-4}). \end{aligned}$$

So, we obtain

$$\begin{aligned} B_2(\lambda)^{-1} &= \frac{1}{c^+ \lambda^2 h(\lambda)} \tilde{B}_2(\lambda)^{-1} = -\frac{1}{h(\lambda) \tilde{g}_3(\lambda)} D_3 + \frac{\lambda^4}{h(\lambda) (\tilde{g}_3(\lambda))^2} D_3 v G_5 v D_3 \\ &\quad + \frac{\lambda^8}{h(\lambda) (\tilde{g}_3(\lambda))^3} D_3 v G_5 v D_3 v G_5 v D_3 + \tilde{O}_1(\lambda^{-4} (\log \lambda)^{-4}). \end{aligned}$$

Using $(B_1(\lambda) + S_2)^{-1} = D_1 + \tilde{O}_1(\lambda^{2-})$, see (68), and since $B(\lambda) = -h(\lambda)^{-1} B_1(\lambda)$, we obtain the statement. \square

Proposition 5.14. *Let $|V(x)| \lesssim \langle x \rangle^{-12-}$. If there is a resonance of the fourth kind then we have*

$$(80) \quad B_{\pm}(\lambda)^{-1} = \frac{D_4}{\lambda^4} + h_2^{\pm}(\lambda) S_3 \Gamma S_3 + E_0^{\pm}(\lambda),$$

where $E_0(\lambda) = \tilde{O}_1(\lambda^{-4} (\log \lambda)^{-3})$, Γ is an absolutely bounded operator and

$$h_2^+(\lambda) - h_2^-(\lambda) = O_1\left(\frac{1}{\lambda^4 (\log \lambda)^2}\right)$$

Proof. In the case of a resonance of the fourth kind, we need to use Lemma 3.6 one more time since $S_3vG_4vS_3$ is not invertible, though $S_4vG_5vS_4$ is. As usual we consider the ‘+’ case. We let

$$\frac{c^+\lambda^2}{\tilde{g}_3(\lambda)}B_3(\lambda) =: \tilde{B}_3(\lambda) = S_3vG_4vS_3 + \frac{\lambda^4}{\tilde{g}_3(\lambda)}S_3vG_5vS_3 + \tilde{E}_4(\lambda)$$

where $\tilde{E}_4(\lambda) = O_1(\lambda^\ell(\log \lambda)^{-1})$ and invert $(\tilde{B}_3(\lambda) + S_4)$, where S_4 is the Riesz projection onto the kernel of $S_3vG_4vS_3$. We obtain,

$$\begin{aligned} (\tilde{B}_3(\lambda) + S_4)^{-1} &= D_3 - \frac{\lambda^4}{\tilde{g}_3(\lambda)}D_3vG_5vD_3 + \frac{\lambda^8}{(\tilde{g}_3(\lambda))^2}(D_3vG_5v)^2D_3 \\ &\quad - \frac{\lambda^{12}}{(\tilde{g}_3(\lambda))^3}(D_3vG_5v)^3D_3 + \tilde{O}_1((\log \lambda)^{-4}). \end{aligned}$$

Next, we define $B_4 := S_4 - S_4(\tilde{B}_3(\lambda) + S_4)^{-1}S_4$. Using the above expansion we have

$$\begin{aligned} B_4(\lambda) &= \frac{\lambda^4}{\tilde{g}_3(\lambda)}S_4vG_5vS_4 - \frac{\lambda^8}{(\tilde{g}_3(\lambda))^2}S_4vG_5vD_3vG_5vS_4 \\ &\quad - \frac{\lambda^{12}}{(\tilde{g}_3(\lambda))^3}S_4(vG_5vD_3)^2vG_5vS_4 + \tilde{O}_1((\log \lambda)^{-4}). \end{aligned}$$

We note that by Lemma 7.6, $S_4vG_5vS_4$ is invertible. Hence, we invert $B_4(\lambda)$, and obtain

$$\begin{aligned} B_4(\lambda)^{-1} &= \frac{\tilde{g}_3(\lambda)}{\lambda^4}D_4 \left[1 + \frac{\lambda^4}{\tilde{g}_3(\lambda)}\Gamma_3 + \frac{\lambda^8}{(\tilde{g}_3(\lambda))^2}\Gamma_4 + \tilde{O}_1((\log \lambda)^{-3}) \right]^{-1} \\ &= \frac{\tilde{g}_3(\lambda)}{\lambda^4}D_4 + \Gamma_3 + \frac{\lambda^4}{\tilde{g}_3(\lambda)}\Gamma_4 + \tilde{O}_1((\log \lambda)^{-2}) \end{aligned}$$

Hence, in case of there is a resonance of the fourth kind we find

$$B_3^{-1}(\lambda) = -c^+\frac{D_4}{\lambda^2} + \frac{c^+\lambda^2}{\tilde{g}_3(\lambda)}\Gamma_3 + \frac{c^+\lambda^6}{(\tilde{g}_3(\lambda))^2}\Gamma_4 + \tilde{O}_1\left(\frac{1}{\lambda^2(\log \lambda)^3}\right)$$

Noting that $(\tilde{B}_2 + S_3)^{-1} = D_2 + O(\lambda^{2-})$, we have

$$B_2^{-1}(\lambda) = -\frac{D_4}{\lambda^4h(\lambda)} + \frac{S_3\Gamma_3S_3}{\tilde{g}_3(\lambda)h(\lambda)} + \frac{S_3\Gamma_4S_3}{(\tilde{g}_3(\lambda))^2h(\lambda)} + \tilde{O}_1\left(\frac{1}{\lambda^4(\log \lambda)^4}\right)$$

and recalling $B_1(\lambda) = D_1 + \tilde{O}_1(\lambda^{2-})$ we finally obtain

$$B^{-1}(\lambda) = -h(\lambda)B_1^{-1}(\lambda) = \frac{D_4}{\lambda^4} + \frac{S_3\Gamma_3S_3}{\tilde{g}_3(\lambda)} + \frac{S_3\Gamma_4S_3}{(\tilde{g}_3(\lambda))^2} + \tilde{O}_1\left(\frac{1}{\lambda^4(\log \lambda)^3}\right)$$

this establishes the statement. Here, Γ_3 and Γ_4 can be determined precisely, however for our purpose the fact that they are absolutely bounded is enough. \square

Before we prove the statement of Proposition 5.12, we note the following oscillatory integral estimate, which is a Corollary of Lemma 4.9.

Lemma 5.15. *If $\mathcal{E}(\lambda) = \tilde{O}_1(\frac{1}{\lambda^4 \log^2 \lambda})$ is supported on $0 < \lambda \leq \lambda_1 \ll 1$, then we have*

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \mathcal{E}(\lambda) d\lambda \right| \lesssim \min\left(1, \frac{1}{\log t}\right).$$

We are now ready to prove Proposition 5.12.

Proof of Proposition 5.12. We divide the proof two cases and first consider a resonance of the third kind. Using the expansion $(M+S_1)^{-1}(\lambda) = QD_0Q+h^{-1}S+\tilde{O}_1(\lambda^{0+})$, and recalling $S_2S = SS_2 = 0$, one can obtain the following expansion in the case of resonance of the third kind,

$$\begin{aligned} M_\pm^{-1}(\lambda) &= -\frac{D_3}{\tilde{g}_3^\pm(\lambda)} + \frac{\lambda^4}{(\tilde{g}_3^\pm(\lambda))^2} D_3 v G_5 v D_3 + QD_0QE_0(\lambda)QD_0Q + \tilde{O}_1(\lambda^{-4}(\log \lambda)^{-4}) \\ (81) \quad &=: \frac{D_3}{\tilde{g}_3^\pm(\lambda)} + Q\tilde{E}_0^\pm(\lambda)Q + \tilde{E}_1^\pm(\lambda). \end{aligned}$$

where $\tilde{E}_0^\pm(\lambda) = \tilde{O}(\lambda^{-4}(\log \lambda)^{-2})$ and $\tilde{E}_1^\pm(\lambda) = \tilde{O}_1(\lambda^{-4}(\log \lambda)^{-4})$

As usual, we need to estimate the contribution of $[R^+vM_+^{-1}vR^+ - R^-vM_-^{-1}vR^-]$ to the Stone's formula. Notice that using the orthogonality property $Qv = 0$, we may exchange $R^\pm(\lambda^4)(x, x_1)$ with $H(\lambda, x, x_1) = \tilde{O}_1(k(x, x_1))$, see (35) in the proof of Lemma 4.2. Therefore, we see that

$$\begin{aligned} \left[R^+v\frac{D_3}{\tilde{g}_3^+(\lambda)}vR^+ - R^-v\frac{D_3}{\tilde{g}_3^-(\lambda)}vR^- \right] &= \left[\frac{1}{\tilde{g}_3^+(\lambda)} - \frac{1}{\tilde{g}_3^-(\lambda)} \right] H^-vD_3vH^+ \\ &\quad + \left[\frac{R^+ - R^-}{\tilde{g}_3^+(\lambda)} \right] vD_3vH^+ + H^-vD_3v \left[\frac{R^+ - R^-}{\tilde{g}_3^-(\lambda)} \right] \end{aligned}$$

Note that, we further exchange $[R^+ - R^-](\lambda^4)(x, x_1)$ with $G(\lambda, |x - x_1|, x) = \tilde{O}_1((\lambda\langle x_1 \rangle)^{0+})$ and use Corollary 5.5 to see

$$\left[R^+v\frac{D_3}{\tilde{g}_3^+(\lambda)}vR^+ - R^-v\frac{D_3}{\tilde{g}_3^-(\lambda)}vR^- \right] = \tilde{O}_1(\lambda^{-4}(\log \lambda)^{-2}).$$

Hence, Lemma 5.15 establishes the contribution of this term. For the contribution of $Q\tilde{E}_0^\pm(\lambda)Q + \tilde{E}_1^\pm(\lambda)$, we have

$$R^\pm v[Q\tilde{E}_0^\pm(\lambda)Q + \tilde{E}_1^\pm(\lambda)]vR^\pm = H^\pm v\tilde{E}_0^\pm vH^\pm + R^\pm v\tilde{E}_1^\pm vR^\pm.$$

It is easy to see that the first summand is $\tilde{O}_1(\lambda^{-4}(\log \lambda)^{-2})$. Furthermore, writing $R^\pm = \log^-(\lambda r) + A(\lambda, r)$, and noting $\log^-(\lambda r) = \tilde{O}(r^{0-}(\log \lambda))$ and $A(\lambda, r) = \tilde{O}_1(1)$ we see that $R^\pm v\tilde{E}_1^\pm vR^\pm = \tilde{O}_1(\lambda^{-4}(\log \lambda)^{-2})$. Therefore, Lemma 5.15 establishes the claim in the case of a resonance of the third kind.

Finally, we consider a resonance of the fourth kind. As in the case of a resonance of the third kind, one can see that

$$(82) \quad M_\pm^{-1}(\lambda) = -\frac{D_4}{\lambda^4} + h_2^\pm(\lambda)\Gamma + QD_0QE_0(\lambda)QD_0Q + \tilde{O}_1(\lambda^{-4}(\log \lambda)^{-4}).$$

Since Γ is defined as an operator with the projection S_3 on both sides. Then since $h_2^+(\lambda) - h_2^-(\lambda) = \tilde{O}_1(\lambda^{-4}(\log \lambda)^{-2})$ the contribution of all terms except the first one to the evolution can be estimated as in the previous case. Moreover, for the first term we have,

$$\begin{aligned} [R^+vD_4vR^+ - R^-vD_4vR^-] &= [R^+ - R^-]vD_4vR^- + R^+vD_4v[R^+ - R^-] \\ &= GvD_4vH^- + H^+vD_4vG = \tilde{O}_1(\lambda^{0+}) \end{aligned}$$

Here, G is as in the Corollary 5.5. Therefore, the contribution of the first term in (82) is t^{-0-} .

□

Remark 5.16. *In the case of an eigenvalue only, when $S_1 = S_2 = S_3 = S_4$, we expect to be able to obtain a better time decay. Such results are known for the Schrödinger evolution in dimension $d \geq 3$, [12, 14, 15, 16]. As in the case of the Schrödinger evolution in two dimensions, [8], we expect the improved time decay to come at the cost of spatial weights. In contrast to the Schrödinger evolution in which the natural time decay rate is achieved, we expect a decay rate of $\langle t \rangle^{-\frac{1}{2}}$ in this case.*

6. THE PERTURBED EVOLUTION FOR LARGE ENERGY

In this section, to complete the proofs of Theorems 1.1 and 1.2 we analyze the evolution of fourth order Schrödinger equation for energies separated from zero, that is in the support of $\tilde{\chi}(\lambda)$. We prove the following high energy results

Proposition 6.1. *Let $|V(x)| \lesssim \langle x \rangle^{-4-}$. We have*

$$\begin{aligned} \|e^{-itH} P_{ac}(H) \tilde{\chi}(H) f\|_{L^\infty} &\lesssim |t|^{-1} \|f\|_{L^1}, \\ \|e^{-itH} P_{ac}(H) \tilde{\chi}(H) f\|_{L^{\infty, -\frac{1}{2}}} &\lesssim |t|^{-1-} \|f\|_{L^{1, \frac{1}{2}}}, \quad \text{for } t \geq 2. \end{aligned}$$

The main contrast for large energy is that we need the oscillation in the Stone's formula to ensure the integrals converge, hence the singular powers of t as $t \rightarrow 0$ arise.

For large energy, we utilize the resolvent identity and write

$$(83) \quad R_V(\lambda^4) = R^\pm(\lambda^4) - R^\pm(\lambda^4) V R^\pm(\lambda^4) + R^\pm(\lambda^4) V R_V(\lambda^4) V R^\pm(\lambda^4).$$

We estimate the contribution of the all terms in (83) to the Stone' formula. We first note that the contribution of the first term on the right hand side is already controlled in Lemma 2.5 by $|t|^{-1}$. Moreover, as noted after Lemma 2.5, one can obtain the decay rate $t^{-\frac{9}{8}} \langle x \rangle^{\frac{1}{2}} \langle y \rangle^{\frac{1}{2}}$ the contribution of this term in the large energy regime.

The next lemma will control the contribution of the second term in (83). Similar to the low energy, to equalize the decay on the potential in Proposition 6.1, we utilize Lemma 4.10 in proving weighted bound.

Lemma 6.2. *Let $|V(x)| \lesssim \langle x \rangle^{-4-\alpha}$ for some $0 < \alpha < 1$. Then, we have*

$$\begin{aligned} \left| \int_0^\infty e^{-it\lambda^4} \tilde{\chi}(\lambda) \lambda^3 [R^\pm V R^\pm](\lambda^4)(x, y) d\lambda \right| &\lesssim |t|^{-1}, \\ \left| \int_0^\infty e^{-it\lambda^4} \tilde{\chi}(\lambda) \lambda^3 [R^\pm V R^\pm](\lambda^4)(x, y) d\lambda \right| &\lesssim \frac{\langle x \rangle^\alpha \langle y \rangle^\alpha}{t^{1+}}, \quad t \geq 2. \end{aligned}$$

Proof. Note that we have $R^\pm(\lambda^4) = \tilde{O}_1((\lambda r)^{0-})$ and hence

$$[R^\pm V R^\pm](\lambda^4)(x, y) = \tilde{O}_1(\lambda^{0-}) \int_{\mathbb{R}^4} \frac{V(x_1)}{|x - x_1|^{0+} |y - x_1|^{0+}} dx_1 = \tilde{O}_1(\lambda^{0-}).$$

Now applying integration by parts, we obtain

$$\left| \int_0^\infty e^{-it\lambda^4} \lambda^3 \tilde{\chi}(\lambda) [R^\pm V R^\pm](\lambda^4)(x, y) d\lambda \right|$$

$$\lesssim \frac{1}{t} \left| \int_{\mathbb{R}^4} \int_{\lambda_1}^{\infty} \frac{V(x_1)}{\lambda^{1+}|x-x_1|^{0+}|y-x_1|^{0+}} d\lambda dx_1 \right| \lesssim t^{-1}.$$

Next, we prove the weighted bound. Here we need to write $R^\pm(\lambda^4) = \rho(\lambda r) + A(\lambda, r)$ where $\rho(\lambda r) = \tilde{O}((\lambda r)^{0-})$ and $A(\lambda, r)$ is as in (33). We obtain ($r_1 = |x - x_1|$, $r_2 = |y - x_1|$)

$$(84) \quad |\partial_\lambda [R^\pm(x, x_1)R^\pm(y, x_1)](\lambda^4)| \lesssim \lambda^{-1-} r_1^{0-} r_2^{0-},$$

$$(85) \quad |\partial_\lambda^2 [R^\pm(x, x_1)R^\pm(y, x_1)](\lambda^4)| \lesssim \lambda^{-2-} r_1^{0-} r_2^{0-} \langle r_1 \rangle^{\frac{1}{2}} \langle r_2 \rangle^{\frac{1}{2}}.$$

The growth in the spatial variables arises when the derivatives hit the phase in $A(\lambda, r)$. For $b > \lambda > 0$, and using (85) in Mean Value Theorem we have

$$(86) \quad |\partial_\lambda [R^\pm R^\pm](b^4) - \partial_\lambda [R^\pm R^\pm](\lambda^4)| \lesssim (b - \lambda) \lambda^{-2-} r_1^{0-} r_2^{0-} \langle r_1 \rangle^{\frac{1}{2}} \langle r_2 \rangle^{\frac{1}{2}}$$

Moreover, by (84) we have

$$(87) \quad |\partial_\lambda [R^\pm R^\pm](b^4) - \partial_\lambda [R^\pm R^\pm](\lambda^4)| \lesssim \lambda^{-1-} r_1^{0-} r_2^{0-}$$

Interpolating between (87) and (86), taking $b = \lambda \sqrt[4]{1 + \pi \lambda^{-4} t^{-1}}$, we have the following bound,

$$(88) \quad |\partial_\lambda [R^\pm R^\pm](b^4) - \partial_\lambda [R^\pm R^\pm](\lambda^4)| \lesssim t^{-\alpha} \lambda^{-1-4\alpha-} r_1^{0-} r_2^{0-} \langle r_1 \rangle^{\frac{\alpha}{2}} \langle r_2 \rangle^{\frac{\alpha}{2}}$$

Here, recall that for large t , we have $\lambda \sqrt[4]{1 + \pi \lambda^{-4} t^{-1}} - \lambda \approx (\lambda^3 t)^{-1}$.

We now apply Lemma 4.10 with $\mathcal{E}(\lambda) = \tilde{\chi}(\lambda)[R^\pm V R^\pm](\lambda^4)$. Then, since $\lambda \gtrsim 1$ in the support of $\tilde{\chi}(\lambda)$, by (84) we have

$$\left| \int_0^\infty \frac{|\mathcal{E}'(\lambda)|}{1 + \lambda^4 t} d\lambda \right| \lesssim \frac{1}{t^\alpha} \int_0^\infty \int_{\mathbb{R}^4} \frac{|V(x_1)|}{\langle \lambda \rangle^{1+\alpha+r_1^{0+}r_2^{0+}}} dx_1 d\lambda \lesssim t^{-\alpha}.$$

Now, using (88), we have

$$\begin{aligned} & \int_{t^{-\frac{1}{4}}}^\infty \left| \mathcal{E}'(\lambda \sqrt[4]{1 + \pi t^{-1} \lambda^{-4}}) - \mathcal{E}'(\lambda) \right| d\lambda \\ & \lesssim \frac{1}{t^\alpha} \int_0^\infty \int_{\mathbb{R}^4} \frac{\langle r_1 \rangle^{\frac{\alpha}{2}} |V(x_1)| \langle r_2 \rangle^{\frac{\alpha}{2}}}{\langle \lambda \rangle^{1+4\alpha+r_1^{0+}r_2^{0+}}} dx_1 d\lambda \lesssim \frac{\langle x \rangle^{\frac{\alpha}{2}} \langle y \rangle^{\frac{\alpha}{2}}}{t^\alpha}. \end{aligned}$$

□

Lastly, we prove

Proposition 6.3. *Let $|V(x)| \lesssim \langle x \rangle^{-4-}$. We have*

$$\begin{aligned} \left| \int_0^\infty e^{-it\lambda^4} \tilde{\chi}(\lambda) \lambda^3 [R^\pm V R_V^\pm V R^\pm](\lambda^4)(x, y) d\lambda \right| &\lesssim |t|^{-1}, \\ \left| \int_0^\infty e^{-it\lambda^4} \tilde{\chi}(\lambda) \lambda^3 [R^\pm V R_V^\pm V R^\pm](\lambda^4)(x, y) d\lambda \right| &\lesssim \frac{\langle x \rangle^{\frac{1}{2}} \langle y \rangle^{\frac{1}{2}}}{t^{1+}}, \quad t \geq 2. \end{aligned}$$

In the proof of Proposition 6.3 we utilize the limiting absorption principle, the boundedness of the resolvent operators between weighted L^2 spaces. Notice that using the expansion (2) and the limiting absorption principle for Schrödinger resolvent, see [2], one can see that for $\sigma > 1/2$,

$$\|R(H_0, \lambda^4)\|_{L^{2,\sigma} \rightarrow L^{2,-\sigma}} \lesssim \lambda^{-2} \|R_0(\lambda^2)\|_{L^{2,\sigma} \rightarrow L^{2,-\sigma}} \lesssim \lambda^{-3}.$$

Moreover, $\|\partial_\lambda^k R(H_0, \lambda^4)\|_{L^{2,\sigma} \rightarrow L^{2,-\sigma}} \lesssim \lambda^{-3-k}$ for $\sigma > k+1/2$. We notice that in general, the extension of this property to $R_V(\lambda)$, is not possible as in Schrödinger resolvent. This is because unlike the $-\Delta + V$, H might possess embedded eigenvalues even for decaying potentials. For that purpose, we assume absence of embedded eigenvalues and use the following theorem.

Theorem 6.4. [11, Theorem 2.23] *Let $|V(x)| \lesssim \langle x \rangle^{-k-1}$. Then for any $\sigma > k + 1/2$, $\partial_z^k R_V(z) \in \mathcal{B}(L^{2,\sigma}(\mathbb{R}^d), L^{2,-\sigma}(\mathbb{R}^d))$ is continuous for $z \notin 0 \cup \Sigma$. Further,*

$$\|\partial_z^k R(H_0; z)\|_{L^{2,\sigma}(\mathbb{R}^d) \rightarrow L^{2,-\sigma}(\mathbb{R}^d)} \lesssim z^{-(3+3k)/4}.$$

Proof of Proposition 6.3. Recall one has $R^\pm(\lambda^4) = \tilde{O}_1((\lambda r)^{0-})$. Therefore, using an analysis as in (37) together with Theorem 6.4, we see

$$|\partial_\lambda^k \{\tilde{\chi}(\lambda) [R^\pm V R_V^\pm V R^\pm](\lambda^4)(x, y)\}| \lesssim \tilde{\chi}(\lambda) \langle \lambda \rangle^{-k-3-}, \quad \text{for } k = 0, 1.$$

Hence, the first assertion follows by a single integration by parts.

To prove the weighted bound we recall $R^\pm(\lambda^4) = \rho(\lambda r) + A(\lambda, r)$. Theorem 6.4 with the bounds (84) and (85) gives

$$|\partial_\lambda^k \{\tilde{\chi}(\lambda) [R^\pm V R_V^\pm V R^\pm](\lambda^4)\}| \lesssim \langle \lambda \rangle^{-3-k-} \langle x \rangle^{1/2} \langle y \rangle^{1/2} \quad k = 0, 1, 2$$

We note that $|V(x)| \lesssim \langle x \rangle^{-4-}$ is enough to establish the spatial bound above. This is because by Theorem 6.4, the inner product $\tilde{\chi}(\lambda) \langle \partial_\lambda^{k_1} R^\pm(\cdot, x), \partial_\lambda^{k_2} [V R_V^\pm V R^\pm](\cdot, y) \rangle$

is meaningful, if one can assure V , as a multiplication operator, to map $L^{2, -k_1 - \frac{1}{2}}$ to $L^{2, k_2 + \frac{1}{2}}$ for any $k_1 + k_2 \leq 2$. This holds if $|V(x)| \lesssim \langle x \rangle^{-(k_1 + k_2 + 2)-}$.

We now integrate by parts twice and have the bound

$$\frac{1}{t^2} \left| \int_0^\infty \partial_\lambda \{ \tilde{\chi}(\lambda) \lambda^{-3} \partial_\lambda \{ [R^\pm V R_V^\pm V R^\pm](\lambda^4)(x, y) \} \} d\lambda \right| \lesssim \frac{\langle x \rangle^{1/2} \langle y \rangle^{1/2}}{t^2}.$$

Due to support of $\tilde{\chi}(\lambda)$, we do not encounter any boundary term in the integration by parts. □

7. CLASSIFICATION OF THRESHOLD SPECTRAL SUBSPACES

Lemma 7.1. *Assume $|v(x)| \lesssim \langle x \rangle^{-2-}$, if $\phi \in S_1 L^2(\mathbb{R}^4) \setminus \{0\}$, then $\phi = Uv\psi$ where $\psi \in L^\infty$, $H\psi = 0$ in distributional sense, and*

$$(89) \quad \psi = c_0 - G_1 v \phi, \text{ where } c_0 = \frac{1}{\|V\|_{L^1}} \langle v, T\phi \rangle.$$

Moreover, if $|v(x)| \lesssim \langle x \rangle^{-3-}$, then $G_1 v \phi \in L^p$ for all $4 < p \leq \infty$.

Proof. Assume $\phi \in S_1 L^2(\mathbb{R}^4)$, one has $Q(U + vG_1 v)\phi = 0$. Note that

$$\begin{aligned} Q(U + vG_1 v)\phi &= (1 - P)(U + vG_1 v)\phi = U\phi + vG_1 v\phi - PT\phi = 0 \\ &\iff \phi = Uv(-G_1 v\phi + c_0) \text{ where } c_0 = \frac{1}{\|V\|_{L^1}} \langle v, T\phi \rangle. \end{aligned}$$

To show $[(-\Delta)^2 + V](-G_1 v\phi + c_0) = 0$ first notice that $(-\Delta)^2 c_0 = 0$. Moreover, one has $(-\Delta)^2 G_1 v\phi = (-\Delta)G_0 v\phi = v\phi$ distributionally. Therefore,

$$[(-\Delta)^2 + V](-G_1 v\phi + c_0) = v\psi + V(c_0 - G_1 v\phi) = v\phi - vUv\psi = 0.$$

Next we show that $G_1 v\phi \in L^p$ for all $4 < p \leq \infty$. First, we show that $G_1 v\phi \in L^\infty$. First notice that we have $\langle v, \phi \rangle = 0$ and hence,

$$\begin{aligned} &\int_{\mathbb{R}^4} \log |x - y| v(y) \phi(y) dy \\ &= \int_{\mathbb{R}^4} \log^- |x - y| v(y) \phi(y) dy + \int_{\mathbb{R}^4} [\log^+ |x - y| - \log^+ |x|] v(y) \phi(y) dy \\ &\lesssim \int_{|x-y|<1} \frac{|v(y)\phi(y)|}{|x-y|^{0+}} dy + \int_{\mathbb{R}^4} \langle y \rangle^{0+} |v(y)\phi(y)| dy < \infty. \end{aligned}$$

For the last equality notice that since \log^+ is an increasing function and $|x - y| \leq |x|(1 + |y|)$ for $|x| \geq 1$, one has

$$\log^+ |x - y| \leq \log^+ |x| + \log^+ \langle y \rangle.$$

Knowing the above estimate, to finish the proof it is enough to show $[G_1 v \phi](x) = O(|x|^{-1})$ when $|x| > 10$. Using $\langle v, \phi \rangle = 0$, we have

$$\int_{\mathbb{R}^4} \log |x - y| v(y) \phi(y) dy = \int_{\mathbb{R}^4} \log \left(\frac{|x - y|}{|x|} \right) v(y) \phi(y) dy.$$

First assume that $|x| > 2|y|$. Notice that in this case $|x - y| < 2|x|$, and hence

$$\log \left(\frac{|x - y|}{|x|} \right) = \log \left(1 + \frac{|x - y| - |x|}{|x|} \right) = O\left(\left|\frac{y}{x}\right|\right).$$

This gives,

$$\left| \int_{|x| > 2|y|} \log \left(\frac{|x - y|}{|x|} \right) v(y) \phi(y) dy \right| \lesssim \frac{1}{|x|} \int_{\mathbb{R}^4} \langle y \rangle^{-2-} |\phi(y)| \lesssim \frac{1}{|x|}.$$

We note that this is the limiting factor for the decay, which is why we need $p > 4$.

Second assume that $|x| \leq 2|y|$. In this case, one has $|y|/|x| \gtrsim 1$ and

$$(90) \quad \left| \int_{|x| \leq 2|y|} \log \left(\frac{|x - y|}{|x|} \right) v(y) \phi(y) dy \right| \lesssim \int_{|x| \leq |y|/2} [\langle y \rangle^{0+} + \log^- |x - y|] \langle y \rangle^{-2-} |\phi(y)| dy \lesssim \frac{1}{|x|}.$$

□

Remark 7.2. Notice that the integral (90) can have faster decay for large $|x|$, provided that $|v(x)|$ has faster decay at infinity. In particular, for any $p \geq 1$ if $\beta > p + 2$, then one has the improved estimate

$$(91) \quad \left| \int_{|x| \leq 2|y|} \log \left(\frac{|x - y|}{|x|} \right) v(y) \phi(y) dy \right| \lesssim \int_{\mathbb{R}^4} [\langle y \rangle^{0+} + \log^- |x - y|] |v(y) \phi(y)| dy \lesssim \frac{1}{|x|^p} \int_{\mathbb{R}^4} [\langle y \rangle^{0+} + \log^- |x - y|] \langle y \rangle^{p-\beta-} |\phi(y)| dy \lesssim \frac{1}{|x|^p}.$$

Recalling also $\psi \in L^\infty$, in the domain $B_a = \{(x, y) : |x| \leq a|y|\}$ for $a \gtrsim 1$ one has $\psi - c_0 \in L^2$ provided $\beta > 4$.

Define S_2 be the projection on the kernel of $S_1 T P T S_1$ then we have

Lemma 7.3. *Let $|v(x)| \lesssim \langle x \rangle^{-3-}$. Then $\phi = Uv\psi \in S_2L^2$ if and only if $\psi \in L^p$, for all $4 < p \leq \infty$.*

Proof. It is enough to show that $c_0 = 0$ in (89) if and only if $\phi \in S_2L^2$. Taking $\phi \in S_2L^2$, we have

$$S_1TPTS_1\phi = 0 \Rightarrow 0 = \langle TPT\phi, \phi \rangle = \|PT\phi\|^2 = 0.$$

This gives $c_0 = 0$ and $\psi \in L^p$ for $4 < p \leq \infty$ by Lemma 7.1.

On the other hand, if $\psi \in L^p$ for all $p > 4$, using (89) then one must have $c_0 = 0$ and hence $PT\phi = 0$. This gives $\phi \in S_2L^2$. \square

Let S_3 be the projection on the kernel of $S_2vG_2vS_2$ where $G_2(x, y) = |x - y|^2$.

Lemma 7.4. *Let $|v(x)| \lesssim \langle x \rangle^{-3-}$. Assume that the function $\psi = c + \Lambda = c + \Lambda_1 + \Lambda_2 + \Lambda_3$, with $\Lambda_1 \in L^{p_1}$ for some $4 < p_1 < \infty$, $\Lambda_2 \in L^{p_2}$ for some $2 < p_2 \leq 4$, $\Lambda_3 \in L^2$, solves $H\psi = 0$ in the sense of distributions. Then $\phi = Uv\psi \in S_1L^2$, and we have $\psi = c - G_1v\phi$, $c = \frac{1}{\|V\|_{L^1}} \langle v, T\phi \rangle$. In particular, by the previous claim, $\psi - c \in L^p$ for any $p > 4$.*

Proof. Let ψ be in the form that is described with $H\psi = 0$, or equivalently $-(-\Delta)^2\psi = V\psi$. We first show that for $\phi = Uv\psi$, one has

$$\int_{\mathbb{R}^4} v(x)\phi(x)dx = 0$$

Let $\eta(x)$ be a smooth cutoff function with $\eta(x) = 1$ for all $|x| \leq 1$, and take any $\delta > 0$,

$$\langle v\phi(\cdot), \eta(\delta\cdot) \rangle = \langle V\psi(\cdot), \eta(\delta\cdot) \rangle = -\langle (-\Delta)^2\psi(\cdot), \eta\eta(\delta\cdot) \rangle = -\delta^4 \langle \Lambda(\cdot), [(-\Delta)^2\eta](\delta\cdot) \rangle$$

Where we used that $(-\Delta)^2\psi = (-\Delta)^2\Lambda$. Therefore, with $\eta_2 = (-\Delta)^2\eta$,

$$\begin{aligned} |\langle v\phi, \eta \rangle| &\lesssim \delta^{4-4/p_1} \|\Lambda_1\|_{L^{p_1}} \|\eta_2\|_{L^{p'_1}} \\ &\quad + \delta^{4-4/p_2} \|\Lambda_1\|_{L^{p_2}} \|\eta_2\|_{L^{p'_2}} + \delta^2 \|\Lambda_1\|_{L^2} \|\eta_2\|_{L^2} \rightarrow 0, \text{ as } \delta \rightarrow 0 \end{aligned}$$

Hence by dominated convergence theorem we conclude $\langle v, \phi \rangle = 0$.

Moreover, let $\tilde{\psi} = \psi + G_1 v \phi$, then by assumption $\tilde{\psi}$ is bounded and $(-\Delta)^2 \tilde{\psi} = 0$. By Liouville's theorem on \mathbb{R}^n , $\tilde{\psi} = c$. Hence, $\psi = c - G_1 v \phi$. Since we have,

$$H\psi = [(-\Delta)^2 + V]\psi = Vc + Uv(U + vG_1v)\phi \Rightarrow vc = (U + vG_1v)\phi$$

one has $c = \frac{1}{\|V\|_{L^1}} \langle v, T\phi \rangle$. Lastly notice that,

$$Q(U + vG_1v)Q\phi = Q(U + vG_1v)\phi = Q(U\phi + vG_1v\phi) = Q(U\phi - v\psi + cv) = 0,$$

hence $\phi \in S_1 L^2$ as claimed. \square

Lemma 7.5. *Let $|v(x)| \lesssim \langle x \rangle^{-4-}$. Then $\phi = Uv\psi \in S_3 L^2$ if and only if $\psi \in L^p$, for all $2 < p \leq \infty$.*

Proof. First we show that if $\phi \in S_3 L^2$ then $\psi \in L^p$, for all $2 < p \leq \infty$. Let, $\phi \in S_3 L^2$ then

$$S_2 v G_2 v \phi = 0 \Rightarrow \int_{\mathbb{R}^8} \phi(x) v(x) [|x|^2 - 2x \cdot y + |y|^2] v(y) \phi(y) dx dy = 0.$$

Using $S_3 \leq Q$, we have $\langle v, \phi \rangle = 0$, and

$$\int_{\mathbb{R}^8} \phi(x) v(x) [|x|^2 - 2x \cdot y + |y|^2] v(y) \phi(y) dx dy = -2 \left[\int_{\mathbb{R}^4} y v(y) \phi(y) dy \right]^2$$

which gives

$$\int_{\mathbb{R}^4} y v(y) \phi(y) dy = 0.$$

Using this, (89) and noting $c_0 = 0$, one has

$$\psi(x) = c \int_{\mathbb{R}^4} \left(\log \left(\frac{|x-y|^2}{|x|^2} \right) + 2 \frac{x \cdot y}{|x|^2} \right) v(y) \phi(y) dy$$

We show that $\psi(x) = O(|x|^{-2})$ for $|x| > 10$. As in the proof of Lemma 7.1, first assume $|x| > 4|y|$. Then we have $|y|^2 + 2|x \cdot y| < |x|^2$ and

$$(92) \quad \begin{aligned} \log \left(\frac{|x-y|^2}{|x|^2} \right) + 2 \frac{x \cdot y}{|x|^2} &= \log \left(1 + \frac{|x-y|^2 - |x|^2}{|x|^2} \right) + 2 \frac{x \cdot y}{|x|^2} \\ &= \frac{|y|^2}{|x|^2} + O \left(\frac{|y|^{2+}}{|x|^{2+}} \right). \end{aligned}$$

Therefore,

$$(93) \quad \int_{|x|>4|y|} \log \left(\frac{|x-y|}{|x|} \right) v(y) \phi(y) dy \lesssim \frac{1}{|x|^2} \int_{\mathbb{R}^4} \langle y \rangle^{-2-} |\phi(y)| \lesssim \frac{1}{|x|^2}.$$

Second, if $|x| \leq 4|y|$ one has $|x \cdot y| \lesssim |y|^2$ and

$$(94) \quad \int_{|x| \leq 4|y|} 2 \frac{x \cdot y}{|x|^2} v(y) \phi(y) \lesssim \frac{1}{|x|^2} \int_{\mathbb{R}^4} |y|^2 |v(y) \phi(y)| dy \lesssim \frac{1}{|x|^2}.$$

Remark 7.2 will take care of the logarithmic term in (92).

For the converse, we assume $\psi \in L^p$, for all $p > 2$ and $\phi = Uv\psi$, and show

$$(95) \quad \int_{\mathbb{R}^4} yv(y)\phi(y)dy = 0.$$

Note that if $\psi \in L^{2+}$ then, from (89), $c_0 = 0$ and

$$\begin{aligned} & \int_{\mathbb{R}^4} \log \left(\frac{|x-y|^2}{|x|^2} \right) v(y) \phi(y) dy \\ &= \int_{|x| > 4|y|} \log \left(\frac{|x-y|^2}{|x|^2} \right) v(y) \phi(y) dy + \int_{|x| \leq 4|y|} \log \left(\frac{|x-y|^2}{|x|^2} \right) v(y) \phi(y) dy \in L^{2+} \end{aligned}$$

Note that, by Remark 7.2 the second term is in $L^2 \cap L^\infty$. Furthermore, using (92) and (93), we have

$$\int_{|x| > 4|y|} \log \left(\frac{|x-y|^2}{|x|^2} \right) v(y) \phi(y) dy = - \int_{|x| > 4|y|} 2 \frac{x \cdot y}{|x|^2} v(y) \phi(y) dy + O_{L^{2+}}(1)$$

Therefore, using also (94), and noting that

$$- \int_{|x| < 4|y|} 2 \frac{x \cdot y}{|x|^2} v(y) \phi(y) dy \lesssim \frac{1}{\langle x \rangle^{2+}} \in L^{2+},$$

one has

$$\psi = -2 \frac{x}{\langle x \rangle^2} \int_{\mathbb{R}^4} yv(y)\phi(y)dy + O_{L^{2+}}(1)$$

and hence (95) holds and $\phi \in S_3 L^2$. □

Define S_4 the projection on to the kernel of $S_3 v G_4 v S_3$.

Lemma 7.6. *Let $|v(x)| \lesssim \langle x \rangle^{-4-}$. Then the kernel of the operator $S_4 v G_5 v S_4$ on $S_4 L^2$ is trivial.*

Proof. Take f to be in the kernel of $S_4 v G_5 v S_4$ on $S_4 L^2$ and recall the expansion (13);

$$R^+(\lambda^4) = \tilde{g}_1^+(\lambda) + G_1(x, y) + \alpha_1^+ \lambda^2 G_2(x, y) + \tilde{g}_3^+(\lambda) G_4(x, y) + \lambda^4 G_5(x, y) + \tilde{O}_2((\lambda|x-y|)^{6-}).$$

Notice that since $f \in S_4L^2$ one has $0 = \langle v, f \rangle = \langle G_4vf, vf \rangle$, and therefore

(96)

$$\begin{aligned} 0 &= \langle S_4vG_5vf, f \rangle = \langle G_5vf, vf \rangle \\ &= \lim_{\lambda \rightarrow 0} \left\langle \frac{R^+(\lambda^4) - \tilde{g}_1^+(\lambda) - G_1 - c^+\lambda^2G_2 - \tilde{g}_3^+(\lambda)G_4}{\lambda^4}vf, vf \right\rangle = \lim_{\lambda \rightarrow 0} \left\langle \frac{R^+(\lambda^4) - G_1}{\lambda^4}vf, vf \right\rangle. \end{aligned}$$

Further, one has

$$\begin{aligned} (97) \quad \lim_{\lambda \rightarrow 0^+} \left\langle \frac{R^+(\lambda^4) - G_1}{\lambda^4}vf, vf \right\rangle &= \lim_{\lambda \rightarrow 0^+} \frac{1}{\lambda^4} \left\langle \left(\frac{1}{8\pi^2\xi^2 + \lambda^4} - \frac{1}{8\pi^2\xi^2} \right) \widehat{vf}(\xi), \widehat{vf}(\xi) \right\rangle \\ &= \lim_{\lambda \rightarrow 0^+} \int_{\mathbb{R}^4} \frac{-1}{(8\pi^2\xi^2 + \lambda^4)8\pi^2\xi^2} |\widehat{vf}(\xi)| d\xi = \frac{-1}{(4\pi)^4} \int_{\mathbb{R}^4} \frac{|\widehat{vf}(\xi)|}{\xi^4} d\xi = 0. \end{aligned}$$

Note that this gives $vf = 0$ since $vf \in L^1$ and hence $f = 0$. This establishes the invertibility of $S_4vG_5vS_4$ on S_4L^2 . \square

Remark 7.7. Notice that, (96) and (97) imply that for any $\phi \in S_4$ one has

$$\langle S_4vG_5v\phi, \phi \rangle = \frac{1}{(4\pi)^4} \int_{\mathbb{R}^4} \left\langle \frac{|\widehat{v\phi}(\xi)|}{\xi^2}, \frac{|\widehat{v\phi}(\xi)|}{\xi^2} \right\rangle = \langle G_1v\phi, G_1v\phi \rangle$$

provided $|v(x)| \lesssim \langle x \rangle^{-4-}$.

Lemma 7.8. Let $|v(x)| \lesssim \langle x \rangle^{-4-}$, $\phi = Uv\psi \in S_4L^2$ if and only if $\psi \in L^2$.

Proof. Assume for now that $\phi \in S_3L^2$ and

$$(98) \quad \int_{\mathbb{R}^4} |y|^2 v(y) \phi(y) = 0$$

then we have

$$\psi(x) = c \int_{\mathbb{R}^4} \left(\log \left(\frac{|x-y|^2}{|x|^2} \right) + 2 \frac{x \cdot y}{|x|^2} - \frac{|y|^2}{|x|^2} \right) v(y) \phi(y) dy$$

Note that $\psi \in L^2$, by (92) in the domain $|x| > 4|y|$ and by Remark 7.2 in the domain $|x| < 4|y|$. Therefore, to prove the only if part of the statement it is enough to show that (98) holds if $\phi \in S_4L^2$.

First recall the definition of G_5 and notice that

$$(99) \quad |x-y|^4 = |x|^4 + |y|^4 - 4x \cdot y|y|^2 - 4y \cdot x|x|^2 + 2|x|^2|y|^2 + 4(x \cdot y)^2.$$

Next recall that $\phi \in S_4L^2 \leq S_3L^2 \leq S_2L^2$. Hence, using the expansion (99) in $S_4vG_5vS_4$ we see all but the final two terms contribute zero. For the contribution of $G_{5,1}(x, y) := 2|x|^2|y|^2$, we note

$$\begin{aligned} \langle S_4vG_{5,1}v\phi, \phi \rangle &= 2 \int_{\mathbb{R}^4} \int_{\mathbb{R}^4} v(x)v(y)|x|^2|y|^2\phi(x)\overline{\phi(y)} dx dy \\ &= 2 \int_{\mathbb{R}^4} |y|^2v(y)\overline{\phi(y)} \int_{\mathbb{R}^4} v(x)|x|^2\phi(x) dx dy = 2 \left| \int |y|^2v(y)\phi(y) dy \right|^2. \end{aligned}$$

Here, we note that v and $|y|$ are all real-valued, while ϕ is complex valued. Since y_j is real, a similiar argument applies and we obtain

$$0 = \langle S_4vG_5v\phi, \phi \rangle = 2 \left| \int |y|^2v(y)\phi(y) dy \right|^2 + 4 \sum_{i,j=1}^4 \left| \int y_iy_jv(y)\phi(y) dy \right|^2.$$

Since, both quantities are non-negative, they both must be zero. Hence,

$$\int_{\mathbb{R}^4} y_jy_iv(y)\phi(y) dy = 0, \quad 1 \leq i, j \leq 4,$$

and hence (98) holds. For the reverse implication, notice that we need to show

$$\begin{aligned} &\int_{\mathbb{R}^4} \log\left(\frac{|x-y|^4}{|x|^4}\right)v(y)\phi(y)dy \\ &= \int_{|x|>32|y|} \log\left(\frac{|x-y|^4}{|x|^4}\right)v(y)\phi(y)dy + \int_{|x|\leq 32|y|} \log\left(\frac{|x-y|^4}{|x|^4}\right)v(y)\phi(y)dy \in L^2 \end{aligned}$$

By Remark 7.2 the second integral is in L^2 , Therefore, the first integral should also be in L^2 . Using (99) in the domain of $|x| > 32|y|$ we have

$$\log\left(\frac{|x-y|^4}{|x|^4}\right) = -4\frac{y \cdot x}{|x|^2} + 2\frac{|y|^2}{|x|^2} + 4\frac{(x \cdot y)^2}{|x|^4} + O\left(\frac{|y|^{2+}}{|x|^{2+}}\right)$$

Therefore, one has

$$\begin{aligned} (100) \quad \psi(x) &= -4\frac{x}{|x|^2} \int_{\mathbb{R}^4} yv(y)\phi(y)dy + 6\frac{1}{|x|^2} \int_{\mathbb{R}^4} |y|^2v(y)\phi(y)dy \\ &\quad + 2 \sum_{\substack{i,j=1 \\ i>j}}^4 \frac{x_ix_j}{|x|^4} \int_{\mathbb{R}^4} y_iy_jv(y)\phi(y)dy + O_{L^2}(1) \end{aligned}$$

Notice that to conclude (100), one has to make sure the integrals in (100) are in L^2 in the domain $|x| \leq 32|y|$. But this is true since if $|x| \leq 32|y|$ then $|x \cdot y| \lesssim |y|^2 \lesssim |y|^{2+}/|x|^{0+}$.

By Lemma 7.5, the first integral is zero and hence $\psi \in L^2$ if

$$\int_{\mathbb{R}^4} y_j y_i v(y) \phi(y) = 0, \quad 1 \leq i, j \leq 4$$

which corresponds $\phi \in S_4 L^2$. □

Lemma 7.9. *The operator $G_1 v S_4 [S_4 v G_5 v S_4]^{-1} S_4 v G_1$ is the orthogonal projection on L^2 onto the zero energy eigenspace of $H = (-\Delta)^2 + V$.*

Proof. Let $\{\phi_k\}_{k=1}^N$ be the orthonormal basis of $S_4 L^2$, then $S_4 f = \sum_{j=1}^N \phi_j \langle f, \phi_j \rangle$. Moreover, for all ϕ_k , one has $\psi_k = -G_1 v \phi_k$ are linearly independent for each k and $\psi_k \in L^2$. We will show that $P_e \psi_k := G_1 v S_4 [S_4 v G_5 v S_4]^{-1} S_4 v G_1 \psi_k = \psi_k$ for all $1 \leq k \leq N$.

First notice that, by the representation of S_4 , one has

$$S_4 v G_1 \psi_k = \sum_{j=1}^N \phi_j \langle v G_1 \psi_k, \phi_j \rangle = \sum_{j=1}^N \phi_j \langle \psi_k, \psi_j \rangle =: \sum_{j=1}^N \phi_j a_{k,j}$$

Let $\{A_{ij}\}_{i,j=1}^N$ be the matrix that represents the kernel of $S_4 v G_5 v S_4$, then by Remark 7.7

$$A_{ij}(x, y) = \langle S_4 v G_5 v \phi_i, \phi_j \rangle \phi_i(x) \phi_j(y) = \langle G_1 v \phi_i, G_1 v \phi_j \rangle \phi_i(x) \phi_j(y) = a_{i,j} \phi_i(x) \phi_j(y).$$

Hence, one has

$$\begin{aligned} P_e \psi_k &= - \sum_{j=1}^N G_1 v S_4 [S_4 v G_5 v S_4]^{-1} \phi_j a_{k,j} \\ &= \sum_{i,j=1}^N G_1 v S_4 (a^{-1})_{i,j} \phi_i a_{k,j} = \sum_{i,j=1}^N \psi_i (a^{-1})_{i,j} a_{j,k} = \psi_k \end{aligned}$$

This finishes the proof. □

Remark 7.10. *One consequence of the preceding results is that any zero-energy resonance function is of the form:*

$$\psi(x) = c_0 + c_1 \frac{x_1}{\langle x \rangle^2} + c_2 \frac{x_2}{\langle x \rangle^2} + c_3 \frac{x_3}{\langle x \rangle^2} + c_4 \frac{x_4}{\langle x \rangle^2} + \sum_{i,j=1}^4 c_{ij} \frac{x_i x_j}{\langle x \rangle^4} + O_{L^2}(1)$$

For some constants c_0, c_1, c_2, c_3, c_4 and c_{ij} , $1 \leq i, j, \leq 4$. Hence, the resonance space is 15 dimensional along with the finite-dimensional eigenspace. Moreover, $S_1 - S_2$ is one dimensional, $S_2 - S_3$ is four dimensional, $S_3 - S_4$ is 10 dimensional.

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