

Appendix

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Contained in this document are proofs omitted from the paper *Intrinsically S^1 3-linked graphs and other aspects of S^1 embeddings*. There are four proofs: the two-vertex version of the Folding Theorem, that there are no intrinsically S^1 3-linked planar graphs with six vertices, that no minor minimal intrinsically S^1 3-linked graph has three adjacent degree 2 vertices, and finally that the non-planar graphs presented in the paper are the complete set of non-planar minor-minimal graphs.

1 Folding Theorem

Theorem 1.1. *Let G' be a non-intrinsically S^1 3-linked graph and G'' be a non-intrinsically S^1 -linked graph. Let G be the graph formed by pasting G' and G'' at a single edge, (a, b) , where $(a, b) \in G'$ and $(a, b) \in G''$ and there is a non 3-linked embedding of G' and a non-linked embedding of G'' in which a and b are neighbors. Then G is not intrinsically S^1 3-linked.*

Proof. It will suffice to produce an S^1 embedding of G without a 3-link. Begin with a 3-linkless embedding of G' . Now embed the vertices of $G'' - \{a, b\}$ in a different component of $S^1 - \{a, b\}$ than the other vertices of G' such that the embedding of G'' is linkless. This is an S^1 embedding of G . It only remains to be shown that the embedding is 3-linkless; that is, every set of three disjoint 0-spheres forms a split 3-link.

Consider an arbitrary set of three disjoint 0-spheres in this embedding.

Case 1: If all three lie entirely in G' , then they do not form a 3-link because the embedding of G' is 3-linkless.

Case 2: If two 0-spheres lie in G' and one lies in G'' , or one 0-sphere lies in G' and two lie in G'' , then they do not form a 3-link because each link containing a 0-sphere in each of G' and G'' is split.

Case 3: If all three lie in G'' , then there is no 3-link because this embedding of G'' is linkless.

Case 4: Consider the case that exactly one of the 0-spheres contains vertices in both $G' - \{a, b\}$ and $G'' - \{a, b\}$.

Case 4a: Without loss of generality, let the 0-sphere include a in its path, but not b . Call this 0-sphere $(a_1, \dots, a_i, a, a_{i+1}, \dots, a_n)$ where $\{a_1, \dots, a\} \in G'$ and $\{a, \dots, a_n\} \in G''$. There are two subcases. First consider the case in which at least one of the other two 0-spheres lies entirely in G'' . That 0-sphere forms split links with both (a_1, \dots, a) (because each link containing a 0-sphere in each of G' and G'' is split) and (a, \dots, a_n) (because G'' is linkless). Thus it forms a split link with $(a_1 \dots, a_n)$. Similarly, that 0-sphere also forms a split link with the remaining 0-sphere. Therefore, the link formed by these three 0-spheres is a split 3-link.

Now consider the case in which the remaining two 0-spheres lie in G' . These 0-spheres do not form a 3-link with (a_1, \dots, a) because this embedding of G' is 3-linkless. Further, (a, \dots, a_n) does not form a link with either 0-sphere. Therefore, there is no 3-link.

Case 4b: Consider the case that the one 0-sphere contains both vertices a and b in its path. Call this 0-sphere $(v_1, \dots, a, \dots, b, \dots, v_n)$. If v_1 and v_n are both in either G' or G'' , then the 0-sphere $(v_1, \dots, a, \dots, b, \dots, v_n)$ lies entirely in either G' or G'' , which is covered in Cases 1 to 3. So suppose, without loss of generality, that $(v_1, \dots, a) \in G'$ and $(b, \dots, v_n) \in G''$. The 0-sphere (b, \dots, v_n) does not link with any other 0-sphere because it is contained in G'' , which is linkless. If $(a, \dots, b) \in G'$, then $(v_1, \dots, a, \dots, b) \in G'$, and it does not link with two other 0-spheres since G' is 3-linkless. Thus $(v_1, \dots, a, \dots, b, \dots, v_n)$ does not form a 3-link with the other two 0-spheres. If $(a, \dots, b) \in G''$, then $(a, \dots, b, \dots, v_n) \in G''$, and it does not link with any other 0-sphere, since G'' is linkless. Also, (v_1, \dots, a) can link with at most one of the 0-spheres because G'

is 3-linkless. Thus $(v_1, \dots, a, \dots, b, \dots, v_n)$ does not form a 3-link with the other two 0-spheres.

Case 5: Consider the case that exactly two of the 0-spheres contain vertices in both $G' - \{a, b\}$ and $G'' - \{a, b\}$. This implies that one 0-sphere will contain a in its path and the other will contain b in its path. Call the 0-spheres $(a_1, \dots, a, \dots, a_n)$ and $(b_1, \dots, b, \dots, b_n)$ where $(a_1, \dots, a) \in G'$, $(a, \dots, a_n) \in G''$, $(b_1, \dots, b) \in G'$, and $(b, \dots, b_n) \in G''$. The 0-spheres (a, \dots, a_n) and (b, \dots, b_n) do not link with the remaining 0-sphere or with each other. The 0-spheres (a_1, \dots, a) and (b_1, \dots, b) do not contribute to a 3-link in G' because G' is 3-linkless. Since (a_1, \dots, a) is not involved in a 3-link in G' and (a, \dots, a_n) is not involved in any non-split link, then $(a_1, \dots, a, \dots, a_n)$ is not involved in a 3-link. Similarly, $(b_1, \dots, b, \dots, b_n)$ is not involved in a 3-link.

□

2 Planar graphs with six vertices

Lemma 2.1. *No planar graph with six vertices is intrinsically S^1 3-linked.*

Proof. Consider the complete graph on six vertices, K_6 . If one edge is removed, the graph contains K_5 and is thus non-planar. If two adjacent edges are removed, the graph contains a K_5 . If two non-adjacent edges are removed, the graph contains a $K_{3,3}$ and is thus non-planar. There are five cases when three edges are removed.

- Case 1 If three adjacent edges are removed, the graph contains a K_5 .
- Case 2 If three edges that form a triangle are removed, the graph contains a $K_{3,3}$.
- Case 3 If three edges are removed such that two of the edges are adjacent and the last is not adjacent to the other two, the graph contains a $K_{3,3}$.
- Case 4 If three edges are removed such that one edge is adjacent to two edges that are not adjacent to each other, the graph is not intrinsically S^1 3-linked, as can be seen in Figure 1.

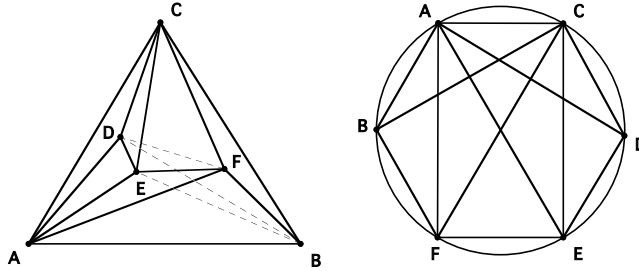


Figure 1:

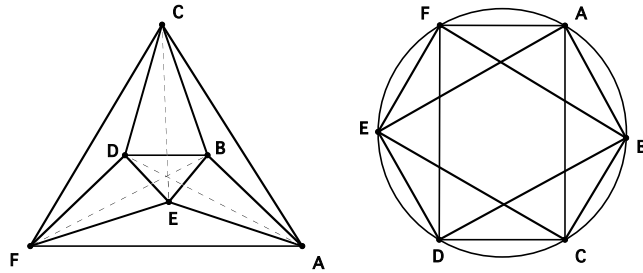


Figure 2:

Case 5 If three mutually non-adjacent edges are removed, the graph is not intrinsically S^1 3-linked, as can be seen in Figure 2.

There are four cases when four edges are removed such that the resultant graphs are not minors of graphs that are S^1 3-linkless.

Case 1 If four adjacent edges are removed, the graph contains a K_5 .

Case 2 If a mutually non-adjacent pair of adjacent edges is removed, the graph contains a $K_{3,3}$.

Case 3 If a triangle and a disjoint edge are removed, the graph contains a $K_{3,3}$.

Case 4 If three adjacent edges and a disjoint edge is removed, then the graph contains K_5 as a minor.

There are two cases when five edges are removed such that the resultant graphs are not minors of graphs that are S^1 3-linkless.

Case 1 If five adjacent edges are removed, the graph contains a K_5 .

Case 2 If a triangle and a pair of adjacent edges that are disjoint from the triangle are removed, the graph contains a $K_{3,3}$.

There is one case when six edges are removed such that the resultant graph is not a minor of a graph that is S^1 3-linkless. This graph is $K_{3,3}$.

There are no graphs when seven edges are removed such that the resultant graphs are not minors of graphs that are S^1 3-linkless.

Thus, every planar graph with six vertices is not intrinsically S^1 3-linked. \square

3 Degree two vertices

Lemma 3.1. *There are no minor minimal intrinsically S^1 3-linked graphs with three adjacent degree two vertices.*

Proof. Assume that there is a graph G that is minor minimal intrinsically S^1 3-linked with 3 adjacent vertices of degree 2. Call these vertices v_1, v_2 , and v_3 . Without loss of generality, let v_1 be adjacent to a vertex x and vertex v_2 , v_2 be adjacent to Vertices v_1 and v_3 , and v_3 be adjacent to a vertex y and vertex v_2 , as seen in Figure 3.

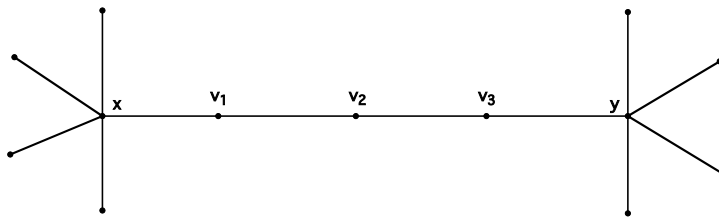


Figure 3: Three degree two vertices in a row.

Now consider the graph G' obtained by contracting edge (v_2, v_3) to vertex v_2 , so that v_2 is now adjacent to v_1 and y . This graph is not intrinsically S^1 3-linked because it is a minor of G , which is minor minimal. Thus there is an S^1 embedding of G' without a 3-link. Consider this S^1 embedding for the following cases.

Case 1: Suppose neither v_1 nor v_2 are involved in any non-split link. Then expanding vertex v_2 to edge (v_2, v_3) so that vertices v_2 and v_3 are neighboring will not affect any links. This vertex expansion gives an S^1 embedding of G without a 3-link, which is a contradiction.

Case 2: Suppose, without loss of generality, that v_2 is involved in a non-split link, but v_1 is not. There are two cases to consider.

Case 2a: There exists exactly one edge linked with (v_2, y) , as seen in Figure 4. Expanding vertex v_2 to edge (v_2, v_3) so that vertices v_2 and v_3 are neighboring will not create any new links because edge (v_3, y) will simply replace edge (v_2, y) in the link and nothing will cross (v_2, v_3) since they are neighbors. It will also not cause existing links to become disjoint since there was only one non-split link involving v_2 . This vertex expansion gives an S^1 embedding of G without a 3-link, which is a contradiction since G is intrinsically S^1 3-linked.

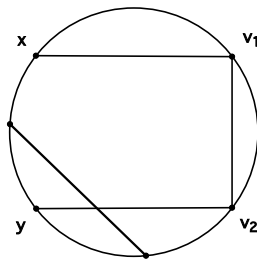


Figure 4: Case 2a

Case 2b: There exist two or more edges linked with (v_2, y) . If the edges are disjoint, then there is a three link, which is a contradiction since this embedding of G' is S^1 3-linkless. If the edges are not disjoint, as seen in Figure 5, then expanding vertex v_2 to edge (v_2, v_3) so that vertices v_2 and v_3 are neighboring will not create any new links because edge (v_3, y) will simply replace edge (v_2, y) in the links and nothing will cross (v_2, v_3) since they are neighbors. Also, the edges linked with (v_2, y) will remain non-disjoint. This vertex expansion gives an S^1 embedding of G without a 3-link, which is a contradiction since G is intrinsically S^1 3-linked.

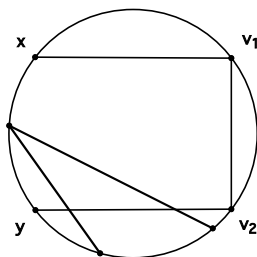


Figure 5: Case 2b

Case 3: Suppose both v_1 and v_2 are involved in a non-split link. There are the following cases to consider.

Case 3a: There exists exactly one edge linked with (v_1, v_2) , and no edges linked with either (v_1, x) or (v_2, y) , as seen in Figure 6. Expanding vertex v_2 to edge (v_2, v_3) so that vertices v_2 and v_3 are neighboring will not create any new links because nothing will cross (v_2, v_3) since they are neighbors. It will also not cause existing links to become disjoint since there was only one non-split link involving v_2 . This vertex expansion gives an S^1 embedding of G without a 3-link, which is a contradiction since G is intrinsically S^1 3-linked.

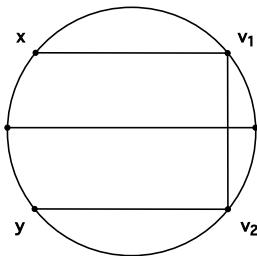


Figure 6: Case 3a

Case 3b: There exists two or more edges linked with (v_1, v_2) , and no edges linked with either (v_1, x) or (v_2, y) . If the edges are disjoint, then there exists a 3-link, which is a contradiction since this embedding of G' is S^1 3-linkless. If the edges are not disjoint, as seen in Figure

7, then expanding vertex v_2 to edge (v_2, v_3) so that vertices v_2 and v_3 are neighboring will not create any new links because nothing will cross (v_2, v_3) since they are neighbors. The edges linked with (v_2, v_1) will remain non-disjoint. This vertex expansion gives an S^1 embedding of G without a 3-link, which is a contradiction since G is intrinsically S^1 3-linked.

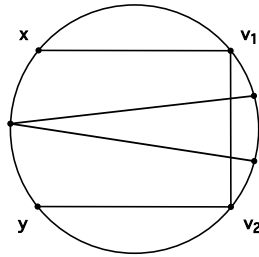


Figure 7: Case 3b

Case 3c: There exists an edge linked with (v_1, x) and an edge linked with (v_2, y) , as seen in Figure 8. If the edges linked with (v_1, x) and (v_2, y) are the same or form a simple path, then there is a 3-link, which is a contradiction since this embedding of G' is S^1 3-linkless. If the edges linked with (v_1, x) and (v_2, y) are disjoint, then there is a 3-link involving the two edges and the 0-sphere (x, v_1, v_2, y) . This is a contradiction since this embedding of G' is S^1 3-linkless.

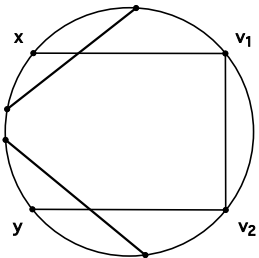


Figure 8: Case 3c

Case 3d: There exists an edge linked with (v_2, y) and an edge linked with (v_1, v_2) . If the edges linked with (v_1, x) and (v_2, y) are the same

or are not disjoint, as seen in Figure 9, then expanding vertex v_2 to edge (v_2, v_3) so that vertices v_1 and v_2 are neighboring, and v_3 is placed on the S^1 where v_2 was will not create any new links because edge (v_3, v_2) will simply replace edge (v_2, v_1) in the links and nothing will cross (v_2, v_1) since they are neighbors. If the edges linked with (v_1, x) and (v_2, y) are disjoint, then there is a 3-link, which is a contradiction since this embedding of G' is S^1 3-linkless.

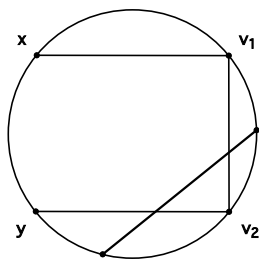


Figure 9: Case 3d

Case 3e: The 0-spheres (v_1, x) and (v_2, y) are linked with each other, as seen in Figure 10. Expanding vertex v_2 to edge (v_2, v_3) so that vertices v_2 and v_3 are neighboring will not create any new links because edge (v_3, y) will simply replace edge (v_2, y) in the link and nothing will cross (v_2, v_3) since they are neighbors. This vertex expansion gives an S^1 embedding of G without a 3-link, which is a contradiction since G is intrinsically S^1 3-linked.

All of the above cases result in a contradiction. Therefore, there are no minor minimal intrinsically S^1 3-linked graphs with three adjacent degree two vertices.

□

4 Non-planar graphs

A previous REU showed that $K_{3,3}$ is minor minimally intrinsically S^1 3-linked. So far we have shown that the graphs T_7 and T_9 are intrinsically S^1 3-linked and that they are minor minimal. We claim that these three graphs

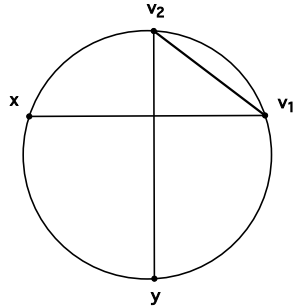


Figure 10: Case 3e

are the complete minor minimal set of non-planar intrinsically S^1 3-linked graphs. To prove it we will put forth a series of lemmata, each building on the last.

The graph $R_8 + e$ is the graph shown in Figure 11 including the dashed edge. The graph without the dashed edge is the graph R_8 .

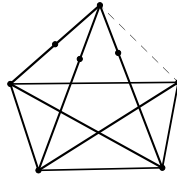


Figure 11: A picture of the graph $R_8 + e$

Lemma 4.1. *The graphs $R_8 + e$, T_8 , and T_9 are minors of any intrinsically S^1 3-linked graph that can be obtained from K_5 by degree 2 vertex expansion.*

Proof. To do this, we will simply list every case of degree 2 vertex expansion on K_5 . Label the vertices of K_5 as a , b , c , d , and e . The first is a single degree 2 vertex expansion, with the new vertex v adjacent to vertices a and b . In this case, embed K_5 with a and b neighbors, then apply Theorem 1.1.

The next case is two degree 2 vertex expansions. First consider the case that the second vertex added, w , is adjacent to v . In this case, we can apply Theorem 1.1 using the embedding of $K_5 + v$ obtained by applying

Theorem 1.1 in the first vertex expansion. Note that for further degree 2 vertex expansions, the resulting graph will, by the same logic, not be 3-linked if the new vertex is adjacent to a previous added vertex. In light of this, we will only consider the cases in which a new degree 2 vertex expansion occurs that is not adjacent to any of the added degree 2 vertices.

Now let w be adjacent to c and d . Then embed K_5 so that a and b are neighbors, and c and d are neighbors, and apply Theorem 1.1 twice. Similarly, in the case that v neighbors a and b and w neighbors b and c , embed K_5 such that b neighbors both a and c and apply the Folding Lemma twice. Thus there are no graphs obtained from two degree 2 vertex expansions on K_5 that are intrinsically S^1 3-linked.

Now consider doing three degree 2 vertex expansions on a K_5 . Note that we have already shown that $R_8 + e$ and T_8 are intrinsically S^1 3-linked and note that both of these graphs are obtained from a K_5 by doing three degree 2 vertex expansions. If v is adjacent to vertices a and b , if w is adjacent to b and c , and if x is adjacent to c and d , then embed K_5 so that b neighbors a and c and c neighbors d . Then apply the Folding Lemma three times. If v is adjacent to vertices a and b , if w is adjacent to b and c , and if x is adjacent to d and e , then embed K_5 so that b neighbors a and c and so that d neighbors e . Then apply the Folding Lemma three times. Thus, we have considered every way to add three degree 2 vertices to a K_5 . The graphs $R_8 + e$ and T_8 are the only intrinsically S^1 3-linked graphs obtained by adding three degree 2 vertices.

Now consider doing four degree 2 vertex expansions on a K_5 . Note that we have already shown that T_9 is intrinsically S^1 3-linked and note that this graph is obtained from a K_5 by doing four degree 2 vertex expansions. Further, the cases in which the graph obtained by performing four degree 2 vertex expansions on K_5 contains $R_8 + e$ or T_8 as a minor will be intrinsically S^1 3-linked. The only other case is that v is adjacent to vertices a and b , w is adjacent to b and c , x is adjacent to c and d , and y is adjacent to d and e . In this case, embed K_5 so that b neighbors a and c and d neighbors c and e . Then apply Theorem 1.1 four times. \square

Lemma 4.2. *Suppose G is intrinsically S^1 3-linked. If G is a graph with K_5 as a minor and if G also has a subgraph G' attached at a cut vertex to K_5 , then the subgraph G' is intrinsically S^1 linked.*

Proof. If G' is not intrinsically S^1 linked, then by the Folding Lemma, the entire graph G will not be intrinsically S^1 3-linked. \square

Lemma 4.3. *The graph intrinsically S^1 3-linked graph G obtained by attaching graphs to a vertex of the K_5 is not a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 .*

Proof. By Lemma 4.2, all of the possible graphs attached by a cut vertex to K_5 are intrinsically S^1 linked. Therefore, if G' is a subgraph attached by vertex v in K_5 , G' contains either K_4 or $K_{3,2}$ as a minor. The graph G obtained by pasting G' along vertex v to K_5 has either a K_4 pasted to a K_4 along vertex v or a $K_{3,2}$ pasted to a K_4 along vertex v since K_4 can be obtained as a minor of K_5 by contracting or deleting a vertex other than v .

The graph G obtained by attaching a graph G' to a degree 2 vertex of the K_5 can be contracted so that it has the graph G which consists of the graph G' attached to a K_5 at one (call it v) of the five degree 4 vertices of the K_5 as a minor and so it has either a K_4 pasted to a K_4 along vertex v or a $K_{3,2}$ pasted to a K_4 along vertex v . \square

Definition 4.4. *A graph G is intrinsically S^1 (a,b) -neighborly linked if G is linked in all S^1 embeddings that have vertex a as a neighbor to vertex b .*

Lemma 4.5. *If G is a connected graph that is not intrinsically S^1 linked, but that is intrinsically S^1 (a,b) -neighborly linked, then G contains a 4-cycle with a and b non-adjacent on the 4-cycle as a minor. We will call this 4-cycle with a and b as non-adjacent vertices C_4^* .*

Proof. We will prove the lemma by the contrapositive. Assume that G does not have C_4^* with vertices a and b as opposite vertices as a minor. Then, there are not two or more paths with completely disjoint vertices from a to b since any two completely distinct paths from a to b can be contracted to the graph C_4^* . Also, there is at least 1 distinct path from a to b since G is a connected graph.

Assume that there is more than one distinct path from a to b with maximum length. Any two of these paths must have at least one vertex in common since there cannot be two paths with completely disjoint vertices. Call one such vertex, v . Assume that there are n (for some integer n , $n \geq 1$) vertices on each of the distinct paths with maximum length from a to b between a vertex v (not a or b) and some vertex w , which is possibly the same as one of the vertices, a or b (n is an integer ≥ 1 because two paths, (a, v, b) , are not distinct). Because n is ≥ 1 , C_4^* is a minor. See Figure 12. This contradicts the hypothesis, so there is exactly one distinct path from a to b with maximum length.

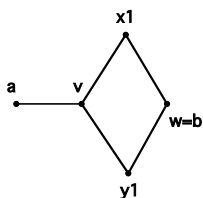


Figure 12: The graph C_4^* is a minor if there is more than one distinct path from a to b with maximum length

Consider the unique path of maximum length from a to b that includes vertices a and b . Call this path p . Embed p in S^1 so that if there are n (n is possibly 0) vertices on the unique path of maximum length from a to b other than a and b , then a neighbors b . Also if $n = 1$, v_1 neighbors a and b . If $n > 1$, v_1 neighbors a and v_2 , v_i neighbors v_{i-1} and v_{i+1} for all integers i , $1 < i < n$ and v_n neighbors v_{n-1} and b .

Embed any vertex that is connected by a path to vertex a by an edge or a path (but not by any edge or path that contains another vertex of p) so that it is on the opposite component of $S^1 - \{a, v_1\}$ as vertex b . The reason for considering such vertices of G that do not connect by an edge or path to any other vertex of p is that if one of these points (call it w) connects by a path to a and to v_1 , then $(a, w, v_1, v_2, \dots, v_n, b)$ has a greater length than p , which is the unique path with maximum length from a to b by assumption. Also, if w connects by a path to a and to one of the other vertices along p (besides a and v_1) then the graph G has C_4^* as a minor by contracting the edges of either (a, w, v_i, q_1) (where $2 \leq i \leq n$) or (a, w, b, q_2) where q_1 represents the path along p from v_i back to a and q_2 represents the path along p in the direction from b to a .

Continue to embed any vertex that is connected by an edge or a path to vertex v_i (but not by any edge or path that contains another vertex of p), for some i ($1 \leq i \leq n - 1$), so that it is on the opposite component of $S^1 - \{v_i, v_{i+1}\}$ as vertex b . Also, embed any vertex that is connected by an edge or a path to vertex v_n (but not by any edge or path that contains another vertex of p) so that it is on the opposite component of $S^1 - \{v_n, b\}$ as vertex a . Notice that none of these vertices are connected by a path that does not include v_i ($1 \leq i \leq n$) along p to any of the other vertices along p by the same argument as that given for vertex w . Call the vertex that now

neighbors b at this point in embedding the graph G , $w*$. Also, embed any vertex that is connected by an edge or a path to vertex b (but not by any edge or path that contains another vertex of p) so that it is on the opposite component of $S^1 - \{w*, b\}$ as vertex a . Notice that none of these vertices are connected by a path that excludes the vertex b along p to any of the other vertices along p by the same argument as that given for vertex w . Therefore, no two of the vertices that are connected by an edge or a path to a vertex in p (but not by any edge or path that contains another vertex of p) form an edge that links with an edge along p .

Also, the vertices that are connected by an edge or a path to p_i (a vertex in p , possibly a or b), but not by any edge or path that contains another vertex of p along with the vertex p_i itself do not form edges that are linked with each other. This is because the graph G is not intrinsically S^1 linked, so no minor of G is intrinsically S^1 linked. Therefore, the subgraphs that are embedded all on the same component of $S^1 - \{p_i, p_{i+1}\}$ (p_i and p_{i+1} are two adjacent vertices along p) can be folded so that there is no link in the part of the graph that is connected at a vertex along p by 1.1. The edges formed by vertices connected by an edge or a path to b (but not by any edge or path that contains another vertex of p) and the same kind of edges formed by vertices connected to v_n do not form links because the vertices are on different components of $S^1 - \{w*, b\}$ and there cannot exist such a path from b to $w*$ because this would be part of a longer path from a to b than p . Also, the vertices on different components of $S^1 - \{p_i, p_{i+1}\}$ cannot have edges between them because then the vertices on different components of $S^1 - \{p_i, p_{i+1}\}$ are connected by an edge or a path to more than one vertex of p .

The edges formed strictly using the vertices along p also are not linked by construction.

Because G is a connected graph, all the vertices are connected by some path to the vertices along p . The edges formed using strictly vertices (such as w) that are connected by a path to a vertex a , b , or v_i in p by an edge or a path (but not by any path that contains another vertex of p) do not form edges that link. These vertices also do not form edges that link with edges in p . Finally, none of the edges formed strictly using the vertices in p contain a link.

Note that G is not intrinsically S^1 a,b-neighborly linked, since we have constructed an embedding of G without a link and with a as a neighbor to b . □

Definition 4.6. *Attaching a graph G to a connected graph G' along two vertices (v, w) of K_5 is an operation performed by choosing two vertices in G' , say a and b , and adding edges (a, v) and (b, w) and then contracting edges (a, v) and (b, w) . Attaching a connected graph G' along an edge of the K_5 is a particular case where the two vertices $(a$ and $b)$ are adjacent degree 4 vertices in the subdivision of K_5 .*

We will only consider attaching a graph, G' , to a K_5 if G' is a connected graph because otherwise G is not a connected graph and therefore will not be minor-minimal.

Lemma 4.7. *Attaching a connected graph G' along an edge (a, b) of K_5 does not create a minor minimally non-planar intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 .*

Proof. We want to consider all of the ways that a connected graph G' can be attached to the K_5 along an edge (a, b) . There are three possibilities that we will consider for G' : (i) G' is not intrinsically S^1 linked and is not intrinsically (a, b) -neighborly linked, (ii) G' is not intrinsically S^1 linked and is intrinsically (a, b) -neighborly linked, and (iii) G' is intrinsically S^1 linked.

Case 1: If G' is not intrinsically S^1 linked and is not intrinsically (a, b) -neighborly linked, then there is a linkless embedding of G' with a and b as neighbors. There is also a 3-linkless embedding of a K_5 with any two adjacent degree 4 vertices, v and w as neighbors. If G' contains edge (a, b) , then by Theorem 1.1 the graph G formed by attaching G' to a K_5 along edge (a, b) is not intrinsically S^1 3-linked.

If G' does not contain edge (a, b) , then since G' is not intrinsically (a, b) -neighborly linked, there is an embedding of G' with a and b as neighbors and without a link. Adding edge (a, b) to this embedding of the graph does not create a link. Therefore, the graph G formed by attaching $G' + (a, b)$ to a K_5 along edge (v, w) is not intrinsically S^1 3-linked by the Folding Lemma.

Case 2: Consider attaching a connected graph G' that is not intrinsically S^1 linked and that is intrinsically (a, b) -neighborly linked to K_5 along edge (v, w) . G' can be contracted to C_4^* (with a and b as non-adjacent vertices) since it contains it as a minor (by Lemma 4.5). When attaching G' to K_5 , the edges, (a, v) and (b, w) are not part of the C_4^* minor

of G' because they are attached to G' before contracting. Also, edge (a, b) is not part of the C_4^* minor of G' because a and b are on non-adjacent edges of the 4-cycle. Therefore, when edges (a, v) and (b, w) are contracted, C_4^* is still a minor of the graph G and (a, b) is not an edge of C_4^* because now $a = v$ and $b = w$. Thus, the graph G with connected graph G' attached contains K_5 with a graph C_4^* attached along edge (v, w) (so that edge (v, w) is not an edge in the C_4^*). This is the graph T_7 with the extra edge (v, w) . Therefore, T_7 is a minor of any graph G with a K_5 and a graph such as G' attached along an edge to the graph K_5 .

Case 3: If a connected graph, G' , is intrinsically S^1 linked, then the graph G formed by attaching G' to the graph K_5 along an edge (a, b) contains a minor minimally intrinsically S^1 3-linked planar graph with a cut vertex as a minor. This is because if G' is intrinsically S^1 linked, then it contains $K_{3,2}$ or K_4 as a minor. The graph G obtained by attaching G' along edge (a, b) to K_5 has either a K_4 pasted to a K_4 along vertex a or b or a $K_{3,2}$ pasted to a K_4 along vertex a or b as a minor.

□

We will now consider all of the other ways to attach another edge or graph G' to a subdivided K_5 at 2 vertices (where at least one of the vertices is not a degree 4 vertex).

Lemma 4.8. *Consider the graph G formed by attaching a connected graph G' to a K_5 at either two degree 2 vertices on an incident edge of the K_5 (See Figure 13) or by attaching a connected graph G' at a vertex a in the K_5 and at a degree 2 vertex on the same edge $((a, b)$ of the original K_5 (See Figure 14)). The graph G is not a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 .*

Proof. For the graph G formed by attaching the degree 2 vertex on the same edge $((a, b)$ of the original K_5) as a down to b , add edge (a, b) . This graph is now K_5 with a graph G'' pasted along an edge. The graph G'' is not intrinsically linked and not (v, w) -neighbor-linked where v and w are the vertices in G'' that are attached along edge (a, b) (as shown by the embedding in Figure 15). This graph is not S^1 3-linked so the graph without edge (a, b) is also not S^1 3-linked. This graph is not a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 .

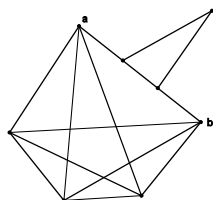


Figure 13: One way a graph G' might be connected to a K_5 at two degree 2 vertices

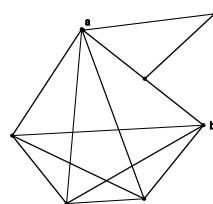


Figure 14: One way a graph G'' might be connected to K_5 at two degree 2 vertices

For the graph G formed by attaching a connected graph G' at a vertex a in the K_5 and at a degree 2 vertex on the same edge $((a, b))$ of the original K_5 , add edge (a, b) . This graph is now K_5 with a graph G'' pasted along an edge. The graph G'' is not intrinsically linked and not (v, w) -neighbor-linked where v and w are the vertices in G'' that are attached along edge (a, b) . This graph is not S^1 3-linked so the graph without edge (a, b) is also not S^1 3-linked. This graph is not a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 . \square

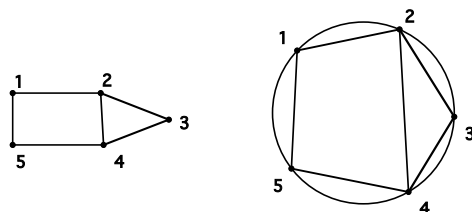


Figure 15: A picture of G'' and a linkless S^1 embedding of this graph

Lemma 4.9. Consider the graph G formed by either attaching a connected graph G' or an edge to a K_5 at a vertex a in the K_5 and at a degree 2 vertex on a different edge (not incident to a in the original K_5), at two degree 2 vertices on adjacent edges of the K_5 , or at two degree 2 vertices on non-adjacent edges of the K_5 . The graph G contains $K_{3,3}$ as a minor.

Proof. Each of the three types of graphs described contain a $K_{3,3}$ as illustrated in Figures 16, 17, and 18.

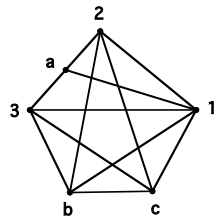


Figure 16: The graph G is obtained from a K_5 by adding a graph G' or an edge at a vertex and a degree 2 vertex on a different edge

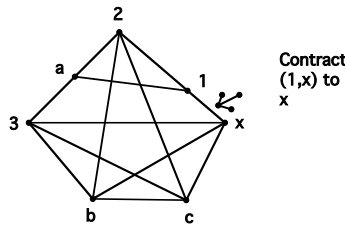


Figure 17: The graph G is obtained from K_5 by adding a graph G' or an edge at two degree 2 vertices on adjacent edges of the K_5

□

Lemma 4.10. Consider the graph G formed by either attaching a connected graph G' or an edge to a K_5 at non-adjacent degree 4 vertices. The graph G contains T_7 as a minor.

Proof. Contract the graph G' to a single vertex that is still attached to a K_5 at non-adjacent degree 4 vertices. Contract the edges between the two

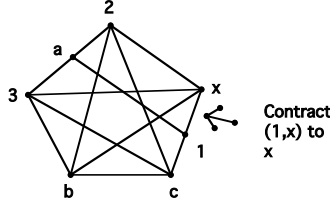


Figure 18: The graph G is obtained from K_5 by adding a graph G' or an edge at two degree 2 vertices on non-adjacent edges of the K_5

degree 4 vertices so that there is exactly one degree 2 vertex between the two degree 4 vertices. This graph is T_7 and is a minor of G . \square

We know that attaching one connected graph G' along an edge (a, b) of K_5 does not create a minor minimally non-planar intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 . We will now consider attaching more than one graph G' along an edge in the original K_5 .

Lemma 4.11. *Consider the graph G obtained by attaching more than one connected graph along an edge in the original K_5 where one of the graphs is intrinsically S^1 linked or where one of the graphs is not intrinsically S^1 linked, but that is intrinsically (x, y) neighbor-linked (where vertices x and y which are being attached to edge (x, y) in the K_5). The graph G is not minor minimal with respect to being intrinsically S^1 3-linked.*

Proof. This graph where one of the graphs being attached is intrinsically S^1 linked has a minor minimally intrinsically S^1 3-linked, planar graph with a cut vertex as a minor. For the graph where one of the graphs is not intrinsically S^1 linked but that is intrinsically (x, y) neighbor-linked, G contains T_7 as a minor. \square

Lemma 4.12. *If the graph G , obtained by attaching more than one graph that is neither intrinsically S^1 linked nor (x, y) -neighborly-linked along edges in the original K_5 (so that each x and y are vertices in the K_5), is intrinsically S^1 3-linked, then G contains $R_8 + e$, T_8 , or T_9 as a minor.*

Proof. First assume that all of the vertices of the edges (that a graph will be attached to in the K_5) can be simultaneously embedded as neighbors in an S^1 embedding of the K_5 . Because all of the graphs being attached to the K_5

along an edge are not (x, y) -neighborly linked where (x, y) is the edge that is attached to an edge in the original K_5 and because each of the pairs of vertices needed for these edges are neighbors in a particular S^1 embedding of the K_5 , the folding lemma can be applied for each of these graphs. Therefore, G is not intrinsically S^1 3-linked.

Now assume that all of the vertices of the edges (that a graph will be attached to in the K_5) cannot be simultaneously embedded as neighbors when embedding the K_5 by itself in S^1 . Note that if a degree 2 vertex is placed on each such edge, then G has either $R_8 + e$, T_8 , or T_9 as a minor. Consider the graph G formed by attaching each of the graphs along an edge in the K_5 . Contract all of these graphs attached along an edge to the original K_5 to a vertex adjacent to the two vertices of the edge and remove the original edges in the K_5 that have a graph attached to them. Notice that this is the same as performing a degree 2 vertex expansion of the K_5 and that this graph is a minor of G . Therefore, all such graphs G have a graph with degree 2 vertex expansions of the K_5 as a minor.

By Lemma 4.1, the graphs $R_8 + e$, T_8 , and T_9 are the minors of any intrinsically S^1 3-linked graph that can be obtained from K_5 by degree 2 vertex expansion. Therefore, the graph G obtained by attaching more than one graph that is neither intrinsically S^1 linked nor (x, y) -neighborly-linked along edges in the original K_5 (so that each x and y are vertices in the K_5) is intrinsically S^1 3-linked, it will have one of these graphs as a minor. \square

Lemma 4.13. *The graph G obtained by attaching one or more connected graphs at three or more vertices of the original K_5 contains a $K_{3,3}$ as a minor.*

Proof. Contract one of the graphs that is attached to the K_5 by three or more vertices to a single vertex that is still adjacent to the vertices of the original K_5 . Label this single vertex 1. Label three of the vertices that 1 is connected to in the K_5 as a , b , and c . Then label the two remaining vertices of the K_5 as 2 and 3. The vertices labeled 1, 2, 3, a , b , and c have the requisite edges for the graph, $K_{3,3}$. \square

Lemma 4.14. *The graph G obtained by attaching at least one connected graph at three or more vertices (to a subdivided K_5) is not a minor minimally, non-planar intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 . In particular, each of these graphs has either $K_{3,3}$ or T_7 as a minor.*

Proof. By Lemma 4.13, if G is a graph obtained by attaching one or more connected graphs at three or more vertices of the original K_5 contains a $K_{3,3}$ as a minor. If G is a graph obtained by attaching one or more graphs at any three or more degree 2 vertices on two distinct edges of the original K_5 , then the graph has $K_{3,3}$ as a minor by Lemma 4.9.

If G is a graph obtained by attaching one or more graphs at two of the original vertices of the K_5 and at a degree 2 vertex not on the edge between them, then G contains $K_{3,3}$ as a minor by Lemma 4.9. If G is a graph obtained by attaching one or more graphs at two of the original vertices of the K_5 and at a degree 2 vertex on the edge between them, then G contains T_7 as a minor.

If G is a graph obtained by attaching one or more connected graphs at one of the degree 4 vertices, v , in the subdivided K_5 and at two degree 2 vertices on edges of the original K_5 not incident to v or on distinct edges, then G contains $K_{3,3}$ as a minor by Lemma 4.9. If G is a graph obtained by connecting one or more graphs at one of the degree 4 vertices, v , in the subdivided K_5 and at two degree 2 vertices on the same edge incident to v , then G contains T_7 as a minor.

If G is a graph obtained by attaching one or more connected graphs at any three or more degree 2 vertices on one edge of the original K_5 , then the graph has T_7 as a minor. \square

Lemma 4.15. *The graph G obtained by a combination of degree 2 vertex expansions and attaching graphs along a vertex, an edge, or along 3 or more vertices of the K_5 is not a minor minimally, non-planar intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 .*

Proof. Assume that G is a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 . If one of the graphs that is attached is intrinsically S^1 linked or if one of the graphs is not intrinsically S^1 linked, but is intrinsically (x, y) neighborly linked for the vertices x and y being attached to an edge of the K_5 , then by Lemma 4.11 G is not minor minimally intrinsically S^1 3-linked. Also by Lemmas 4.13 and 4.14, if a graph G' is attached at three or more vertices of the K_5 , G contains $K_{3,3}$ or T_7 as a minor. Therefore, G must be obtained by degree 2 vertex expansions and adding graphs that are not intrinsically S^1 linked and not intrinsically (x, y) -neighborly linked for the vertices x and y being attached to an edge of the K_5 . By the proof of Lemma 4.12, either G is not intrinsically S^1 3-linked or G has one of $R_8 + e$, T_8 , and T_9 as a minor. The graph T_9 is the only one of

these graphs that is a non-planar minor minimally intrinsically S^1 3-linked graph. \square

Theorem 4.16. *The complete minor minimal set of non-planar intrinsically S^1 3-linked graphs is the set of the three graphs, $K_{3,3}$, T_7 , and T_9 .*

Proof. By Kuratowski's Theorem ([1]) we know that any non-planar graph contains $K_{3,3}$ or K_5 as a minor. Because $K_{3,3}$ is minor minimally intrinsically S^1 3-linked, any other non-planar, minor minimally intrinsically S^1 3-linked graphs contain K_5 as a minor, but do not contain $K_{3,3}$ as a minor. The graph K_5 itself is not intrinsically S^1 3-linked.

Any proper supergraph, G , of K_5 (that does not contain $K_{3,3}$ as a minor) is related to K_5 in one of the following ways: (i) G is obtained from a K_5 by degree 2 vertex expansions only, (ii) G has a graph attached at a vertex along the K_5 , (iii) G has a graph attached along 2 vertices of the original K_5 , (iv) G has a graph attached along 3 or more vertices of the original K_5 , or (v) G has a combination of degree 2 vertices and graphs attached along a vertex, along 2 vertices, and along 3 or more vertices of the K_5 .

First consider the supergraphs of K_5 that only have degree 2 vertex expansions. By Lemma 4.1, the graphs $R_8 + e$, T_8 , and T_9 are minors of any graph that can be obtained from K_5 by degree 2 vertex expansion. Note that $R_8 + e$ and T_8 are not in the set of non-planar, minor minimally intrinsically S^1 3-linked graphs. The graph $R_8 + e$ has R_8 as a minor, which is planar and which is minor minimally intrinsically S^1 3-linked. The graph T_8 has D_8 as a minor, which is planar and which is minor minimally intrinsically S^1 3-linked. The graph T_9 is non-planar and minor minimally intrinsically S^1 3-linked.

Any supergraph of K_5 that has a cut vertex is not a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 by Lemma 4.3. All such graphs contain a planar, minor minimal intrinsically S^1 3-linked graph as a minor.

The only ways to attach a graph G' along 2 vertices in a subdivision of K_5 are to attach the G' along an edge of the original K_5 , to attach G' to one vertex a of the original K_5 and to a degree 2 vertex on an edge that is not incident to a , to attach G' to one vertex of the original K_5 , a and at a degree 2 vertex on an incident edge, to attach G' at two degree 2 vertices on the same edge of the original K_5 , to attach G' at two degree 2 vertices on adjacent edges of the original K_5 , to attach G' at two degree 2 vertices on

non-adjacent edges of the original K_5 , or to attach G' at two non-adjacent degree 4 vertices in a subdivided K_5 .

Any supergraph of K_5 obtained by attaching a graph G' along an edge (a, b) of K_5 does not create a minor minimally non-planar intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 by Lemma 4.7.

By Lemma 4.8, the graph G formed by attaching a graph G' to a K_5 at either two degree 2 vertices on the same edge of the K_5 (See Figure 13) or by attaching a graph G' at a vertex a in the K_5 and at a degree 2 vertex on the same edge $((a, b)$ of the original K_5 (See Figure 14)) is not a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 .

By Lemma 4.9, the graph G formed by either attaching a graph G' or an edge to a K_5 at a vertex a in the K_5 and at a degree 2 vertex on a different edge (not containing a in the original K_5), at two degree 2 vertices on adjacent edges of the K_5 , or at two degree 2 vertices on non-adjacent edges of the K_5 , contains $K_{3,3}$ as a minor.

By Lemma 4.10, the graph G formed by either attaching a connected graph G' or an edge to a subdivided K_5 at non-adjacent degree 4 vertices contains T_7 as a minor.

By Lemma 4.13, the graph G obtained by attaching one or more graphs at three or more vertices of the original K_5 contains a $K_{3,3}$ as a minor.

By Lemma 4.14, the graph G obtained by attaching at least one graph at three or more vertices (possibly not in the original K_5) is not a non-planar, minor minimally intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 .

By Lemma 4.15, the graph G obtained by a combination of degree 2 vertex expansions and attaching graphs along a vertex, along 2 vertices, and along 3 or more vertices in the K_5 is not a minor minimally, non-planar intrinsically S^1 3-linked graph other than $K_{3,3}$, T_7 , and T_9 . \square

References

- [1] K. Kuratowski. *Sur le probleme des courbes gauches en topologie*, Fund. Math. **15** (1930), 271-283.