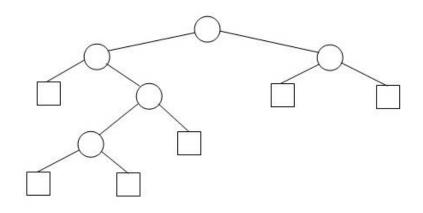
# CSSE 230 Day 20



Extended Binary Trees Recurrence relations

### Reminders/Announcements

#### Today:

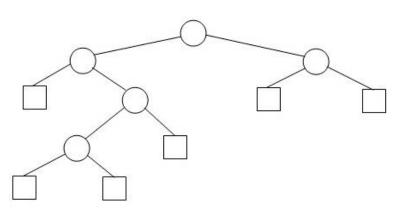
- Extended Binary Trees (basis for much of WA8, which includes 3 induction proofs and no programming)
- Recurrence relations, part 1
- EditorTrees worktime

# Extended Binary Trees (EBT's)

Bringing new life to Null nodes!

An Extended Binary Tree (EBT) just has null external nodes as leaves

- Not a single NULL\_NODE, but many NULL\_NODEs
- An Extended Binary tree is either
   an *external (null) node*, or
  - an (internal) root node and two EBTs  $T_1$  and  $T_R$ .
- We draw internal nodes as circles and external nodes as squares.
  - Generic picture and detailed picture.
- This is simply an alternative way of viewing binary trees, in which we view the external nodes as "places" where a search can end or an element can be inserted.



1-2

## A property of EBTs

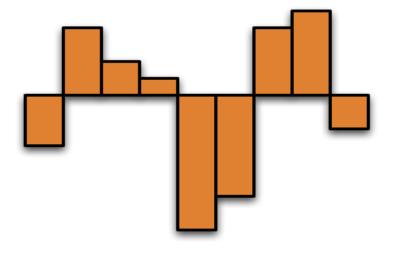
- Property P(N): For any N>=0, any EBT with N internal nodes has \_\_\_\_\_ external nodes.
- Proof by strong induction, based on the recursive definition.
  - A notation for this problem: IN(T), EN(T)
  - Note that, like a lot of other simple examples, this one can be done without induction.
  - But one purpose of this exercise is practice with strong induction, especially on binary trees.
- What is the crux of any induction proof?
  - Finding a way to relate the properties for larger values (in this case larger trees) to the property for smaller values (smaller trees). Do the proof now.

## Introduction to Recurrence Relations

A technique for analyzing recursive algorithms

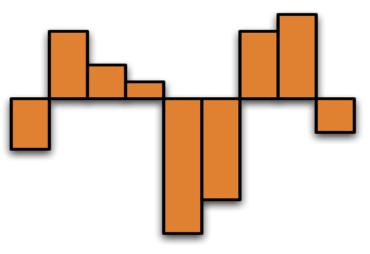
#### Recap: Maximum Contiguous Subsequence Sum problem

*Problem definition*: Given a non-empty sequence of *n* (possibly negative) integers  $A_1, A_2, ..., A_n$ , find the maximum consecutive subsequence  $S_{i,j} = \sum_{k=i}^{j} A_k$ , and the corresponding values of *i* and *j*.



## Divide and Conquer Approach

- Split the sequence in half
- Where can the maximum subsequence appear?
- Three possibilities :
  - entirely in the first half,
  - entirely in the second half, or
  - begins in the first half and ends in the second half



### This leads to a recursive algorithm

- Using recursion, find the maximum sum of first half of sequence
- 2. Using recursion, find the maximum sum of **second** half of sequence
- 3. Compute the max of all sums that begin in the first half and end in the second half

• (Use a couple of loops for this)

4. Choose the largest of these three numbers

```
12 - 13
private static int maxSumRec( int [ ] a, int left, int right )
    int maxLeftBorderSum = 0, maxRightBorderSum = 0;
    int leftBorderSum = 0, rightBorderSum = 0;
    int center = ( left + right ) / 2;
    if( left == right ) // Base case
        return a[ left ] > 0 ? a[ left ] : 0;
   int maxLeftSum = maxSumRec( a, left, center );
    int maxRightSum = maxSumRec( a, center + 1, right );
    for( int i = center; i >= left; i-- )
       leftBorderSum += a[ i ];
        if( leftBorderSum > maxLeftBorderSum )
           maxLeftBorderSum = leftBorderSum;
                                                     So, what's the
    }
                                                     run-time?
    for (int i = center + 1; i <= right; i++ )
       rightBorderSum += a[ i ];
        if ( rightBorderSum > maxRightBorderSum )
           maxRightBorderSum = rightBorderSum;
    }
    return max3 ( maxLeftSum, maxRightSum,
                 maxLeftBorderSum + maxRightBorderSum );
```

# Analysis?

- Use a Recurrence Relation
  - Typically written T(N), gives the run-time as a function of N
  - Two (or more) part definition:
    - Base case,
       like T(1) = c
    - Recursive case,
       like T(N) = T(N/2)



So, what's the recurrence relation for the recursive MCSS algorithm?

```
15-16
private static int maxSumRec( int [ ] a, int left, int right )
    int maxLeftBorderSum = 0, maxRightBorderSum = 0;
    int leftBorderSum = 0, rightBorderSum = 0;
    int center = ( left + right ) / 2;
    if( left == right ) // Base case
        return a[ left ] > 0 ? a[ left ] : 0;
   int maxLeftSum = maxSumRec( a, left, center );
    int maxRightSum = maxSumRec( a, center + 1, right );
    for( int i = center; i >= left; i-- )
                                                  What's N in the
       leftBorderSum += a[ i ];
                                                  base case?
        if ( leftBorderSum > maxLeftBorderSum )
            maxLeftBorderSum = leftBorderSum;
    }
    for( int i = center + 1; i <= right; i++ )</pre>
        rightBorderSum += a[ i ];
        if ( rightBorderSum > maxRightBorderSum )
            maxRightBorderSum = rightBorderSum;
    }
    return max3 ( maxLeftSum, maxRightSum,
                 maxLeftBorderSum + maxRightBorderSum );
```

### **Recurrence Relation, Formally**

- An equation (or inequality) that relates the n<sup>th</sup> element of a sequence to certain of its predecessors (recursive case)
- Includes an initial condition (base case)
- Solution: A function of n.

- Similar to differential equation, but discrete instead of continuous
- Some solution techniques are similar to diff. eq. solution techniques

#### 18-20: Skip 17 for now

#### Solve Simple Recurrence Relations

- One strategy: guess and check
- Examples:

• 
$$T(0) = 0, T(N) = 2 + T(N-1)$$

• 
$$T(0) = 1$$
,  $T(N) = 2 T(N-1)$ 

• T(0) = T(1) = 1, T(N) = T(N-2) + T(N-1)

• 
$$T(0) = 1$$
,  $T(N) = N T(N-1)$ 

• 
$$T(0) = 0, T(N) = T(N - 1) + N$$

• 
$$T(1) = 1$$
,  $T(N) = 2 T(N/2) + N$ 

(just consider the cases where  $N=2^k$ )

#### 20

## **Another Strategy**

#### Substitution

- T(1) = 1, T(N) = 2 T(N/2) + N (just consider N=2<sup>k</sup>)
- Suppose we substitute N/2 for N in the recursive equation?
  - We can plug the result into the original equation!

### Solution Strategies for Recurrence Relations

- Guess and check
- Substitution
- Telescoping and iteration
- The "master" method



# Editor Trees Work Time